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Information Technology (IT) is critical for all constituencies and functions of the University of Rhode Island (URI) community. It is ubiquitous in a way that would have been hard to envision even just a few years ago, and the rapidity of change will no doubt continue and hasten. It is within this context that the IT Strategic Plan outlines critical strategic goals developed and defined through a collaborative effort between IT and the URI community of faculty, staff, students, and administrators. As URI strives for increased innovation in a rapidly changing higher education landscape, IT must evolve, adapt and deliver on the action items identified in this plan. Indeed, our future will depend on our ability to be nimble, creative, and responsive to contemporary and emerging advances in technology in all aspects of our enterprise.

The newly formed IT Governance Committee (ITGov), working with consultants from BerryDunn, provided leadership for the development of this first ever URI Information Technology Strategic Plan. On behalf of the entire URI community, I thank ITGov and Information Technology Services for their tireless and collaborative efforts in reaching out to and engaging with the URI community in developing this plan. As with our Academic Strategic Plan, this plan is intended as a “living” plan consisting of goals, strategies, and actions that will no doubt evolve over time. Nevertheless, the elements of this plan will guide our strategic directions and strategic IT investments and will enable us to remain innovative and responsive to emerging opportunities that will define our future.

Guiding the development of this IT Strategic Plan are these key values and comments from the Academic Strategic Plan:

President's Transformational Goals for the 21st Century

- Creating a 21st Century 24/7 Learning Environment
- Increasing the Magnitude, Prominence, and Impact of URI’s Research, Scholarship, and Creative Work
- Internationalizing and Globalizing URI
- Building a Community at URI that Values Equity and Diversity

Change in Higher Education Will Impact URI

The following excerpt is from the Provost’s letter to the URI community in the Academic Strategic Plan.

“The landscape of higher education is changing rapidly and dramatically. Disruptive technologies, rising student debt, access and affordability, a renewed focus on student success and degree completion, globalization, and the evolving demography of our nation present challenges for every higher education institution in our nation. However, for those institutions committed to thoughtful strategies and innovation, these challenges will be opportunities for advancement and success. URI must be one such institution.

Our future depends on our comprehensive commitment to thoughtfully and selectively embracing innovations with impact to shape a vibrant institutional future. We must define and invest in new modalities of student learning and educational technology, partnerships that support and enhance impactful scholarship, streamlined procedures and processes to allow agility, and advancement strategies that enhance our resource base and reputation. In so doing, we will become the first-choice institution for a dedicated and diverse community of students, staff, and faculty, and ensure a system of shared governance that will enable and empower URI in the future.”

Donald H. DeHayes
Provost and Vice President for Academic Affairs
Section 2 | IT’s Strategic Role at URI

URI engaged BerryDunn to conduct an independent assessment of URI’s current IT organization, operations, and services, and to lead the University in developing a five-year IT Strategic Plan. BerryDunn’s IT Assessment, issued in February 2016, offers observations and recommendations, and provides the baseline for the development of this IT Strategic Plan for URI.

How This Plan Will Positively Impact the URI Community

This plan will serve to guide the transformation of technology delivery and applications at URI over the next few years. It also will enable the University community to keep pace with change and to gain from opportunities for better communication and customer service, more streamlined operations, and new means of technology service delivery.

The IT Strategic Plan is designed to both coexist and support the University’s Academic Strategic Plan. Given the critical role of technology services at the University, the plan is purposely in place during the same period as the Academic Strategic Plan, Innovation with Impact: Shaping the Future of URI (2016–2021)

All members of the URI community will benefit:

• Students will be equipped with up-to-date and program-appropriate technology. They will have access to learning spaces in a way that is flexible and makes efficient use of resources. Future apps will help to navigate to appropriate services and information to promote and advance their success.
• Faculty will benefit from more robust IT services that support course design and delivery and enhance learning opportunities in and outside of the classroom, and foster meaningful use of technology and applications for teaching, learning, and scholarship through greater access to relevant services.
• The Research Community across all disciplines will have increased access to technology services and support for computer-intensive research and collaboration efforts. Data analytics, high-performance computing (HPC) capabilities and other research computing services will enhance our competitive position to compete for research funding while gaining broader recognition for the research accomplishments of our faculty, graduate and undergraduate students.
• Staff will benefit from opportunities to streamline essential business operations and workflow on the campus, enabling even greater focus on the URI mission and the University’s Academic Strategic Plan. Increased training resources and capabilities will support the use of new tools and capabilities in service delivery across all URI services.
• IT staff will work within a service-oriented culture that supports recruiting, retention, and development of excellent employees and provides people with the communications and the training they need to be successful. This will be true for both Information Technology Services (ITS) staff and non-ITS staff as they work more closely together to serve the URI community.

As a public institution, URI plays an influential and impactful role across the state and beyond. The University is committed to delivering effective IT infrastructure and services that enable a diverse community to interact both locally and globally.

Next Steps and Acknowledgments

The rollout of this plan is intended to engage the URI community in the intentional and thoughtful process through which it was developed. We would like to thank the plan’s executive sponsors, the members of the IT Strategic Governance Committee (ITGov), and the many individuals who contributed their time, insight and perspective throughout the planning process.
Section 3 | Six Goals of the IT Strategic Plan

This plan sets forth strategic direction for IT that will guide priorities, efforts, and investments related to IT over the coming years. The immediate focus of the plan is to align IT initiatives and engage the URI community to better support and realize the goals, strategies, and actions set forth in the Academic Strategic Plan (ASP):

**Teaching and Learning:**
Enable and support innovative teaching and learning through advanced IT services.

**Research:**
Create and enhance IT services to support research, scholarship, and creative work.

**IT Infrastructure:**
Establish and create an agile, sustainable, and effective IT infrastructure.

**IT Services:**
Advance the development, integration, and delivery of University-wide IT services to support effective management of physical, financial, and human resources.

**IT Risk Management:**
Design and implement a secure IT environment that reduces risk and ensures business continuity.

**IT Governance:**
Foster a collaborative and transparent planning, management, and communication protocol to effectively deliver, coordinate, and prioritize IT services.

**Sustaining the IT Plan**
This plan also sets forth an approach, structure, and mechanism by which the process of technology planning is sustained so that priorities and decisions are made in alignment with the strategic direction of the University. Altogether, the plan identifies 14 strategies that will be undertaken in a logical and prioritized manner. An annual planning cycle for IT is in this plan.
Teaching and Learning

Enable and support innovative teaching and learning through advanced IT services.

Preamble: URI must create a more connected campus community and leverage the innovative use of collaborative technologies with the creative energy of our faculty to advance new engaging and effective modes of learning and discovery. The University will benefit from greater engagement of ITS with faculty, staff, and students. This expanded engagement would focus on planning, implementation, and faculty and staff development in creative applications of technology to support innovative teaching, learning, and research needs.

Strategy 1
Establish a Culture of Collaboration and Leverage Existing Technology Investments to Advance Learning

ACTIONS NEEDED TO IMPLEMENT
1. Engage faculty and students in the selection and application of new and innovative tools for collaboration, such as Google Apps for Education.
   a. Support and incentivize the use of these collaboration tools and assess outcomes.
2. Create a better-connected campus community and leverage technologies to strengthen internal and external communication.
3. Standardize one system for campus-wide communication regarding IT.
4. Expand ITS engagement with departments, committees, and initiatives supporting teaching and learning to help maximize and leverage existing technology investments supporting the University’s academic core mission.
   a. Ensure appropriate ITS collaboration, engagement, and ongoing communications with all departments, committees, and initiatives that support teaching and learning.
5. Support the development and procurement, maintenance, and timely replacement of relevant technology in the classroom environment.
6. Select, implement and maintain student-required technology in the classroom with consideration for cost, feasibility and usability.
7. Support IT infrastructure and the needs of faculty for innovative teaching and learning strategies.
8. Maintain and support a scalable and sustainable Learning Management System (LMS) working with appropriate departments and committees to continually evaluate the performance and usability of the LMS while looking for opportunities for growth.
9. Create collaboration opportunities with faculty, staff and groups who may have subject matter expertise on particular pedagogical technologies or tools.
10. Develop a life-cycle maintenance plan for technology that supports teaching and learning to effectively plan for replacements and upgrades to technology.

Strategy 2
Provide and Promote Digital Literacy in Support of Teaching and Learning

ACTIONS NEEDED TO IMPLEMENT
1. Provide technology-training services for URI faculty to demonstrate best pedagogical applications of specific software and hardware in relation to teaching and student learning.
   a. Inventory existing learning technologies deployed at URI.
   b. Solicit faculty, students, and staff input on training needs, whether through ITS or through external providers, including online training delivery.
   c. Develop an institutional technology solution, in support of accessibility requirements and guidelines, to caption all classroom video materials, online or other medium.
   d. Provide options, both internally or outsourced, for adding closed captioning to faculty-created video.
2. Engage faculty and academic leadership to determine IT priorities that support teaching and learning.
   a. Establish an agreed-upon planning cycle with ITGov; Faculty Senate Committee on Information Technologies, Infrastructure, Computing, Communications, and Networking (CITICCN); and the Office of the Provost. The cycle will represent an understanding of how technology can best support faculty teaching and scholarship.
3. Promote awareness of IT services targeted to faculty and student needs. Provide targeted faculty and student communication about technology in a timely manner.
   a. Create regular communications that highlight available IT services and provide information about training opportunities for community members.
4. Continue to develop a digital culture beyond the classroom at URI.
Research

Create and enhance IT services to support research, scholarship, and creative work.

Preamble: Research-related infrastructure investments will be planned in support of an increasingly diverse computing capacity related to different types of data sets, applications, and devices. This effort will leverage work that has been done to date, including, but not limited to, high performance computing (HPC) and research computing. To ensure that the appropriate levels of infrastructure, resources, and capacity are maintained, the University will establish a baseline of services in support of infrastructure to replace/upgrade core research systems.

Strategy 1
Manage Research Data and HPC

ACTIONS NEEDED TO IMPLEMENT

1. Form an interdisciplinary technology research group to support this initiative in coordination with the Faculty Senate Council for Research and Faculty Senate CITICON.
2. Identify a baseline recurring technology budget for research.
   a. Explore new ways of funding certain technology-based research investments (such as research overhead), focusing on funding approaches conducive to sharing resources across research disciplines with similar computing needs.
3. Establish mechanisms for improving communications and increasing awareness around research computing.
4. Expand HPC and research computing capacity and develop an operational business plan for the use and sharing of HPC and support.
5. Identify and map centralized IT services, hardware, and networks dedicated to HPC and research computing.
6. Develop data and asset classification for research activities, with clear definitions for protocols.
7. Consult with the Council of Deans and Vice President for Research and Economic Development to determine priorities that inform planning around research computing needs.
8. Develop a process with URI Purchasing and the Division of Research and Economic Development to track research-computing investments.
9. Create data management and archiving functions for research.
10. Develop a centralized and secure system (a research information management system) to share, preserve, cede, explore, and analyze research data with input of researchers and library faculty and staff.
11. Establish a maintenance plan (life cycle) to replace/upgrade core computing systems that support research.

IT Infrastructure

Establish and create an agile, sustainable, and effective IT infrastructure.

Preamble: URI needs a robust IT infrastructure with a funded replacement cycle that will enable this foundational infrastructure to support the mission of the University and allow it to continue on its path of innovative growth. Essential components include the design and engineering, procurement, implementation, and integration of existing successful architecture into system solutions.

As the infrastructure ages, URI should proactively consider and reassess new approaches to replacement planning, including potential opportunities to outsource aspects of the environment as alternative models continue to mature in the higher education market. Examples of alternative models include the increased presence of cloud-based services, such as infrastructure-as-a-service, software-as-a-service, and platform-as-a-service.

Strategy 1
Manage Technology Life Cycles

ACTIONS NEEDED TO IMPLEMENT

1. Create a detailed inventory of IT infrastructure.
2. Establish a network advisory working group, which will enable continuous improvement, through feedback and evaluation, on topics such as dissemination of communications, wireless functional needs, logical topology issues, etc.
3. Develop a coordinated approach to replacement planning, including potential opportunities to outsource aspects of the environment as alternative models continue to mature in the higher education market.
4. Develop an IT Infrastructure Service Catalog in conjunction with Goal 4 (IT Services), to help identify available infrastructure services, responsible parties, and contacts.
5. Develop a TRM for provisioning services to the campus community, e.g. virtual server allocation, cloud services.
Optimize Enterprise Software Systems

NOTE: The term “enterprise software systems” in this context refers to any information technology system provided at URI that serves the entire campus community or multiple colleges and departments, including academic, research and administrative. 

**ACTIONS NEEDED TO IMPLEMENT**

1. Assist functional stakeholders with improving access to information and strengthening reporting capabilities and analytics.
2. Establish clear data and application ownership for all enterprise systems, including Google Apps for Education and academic applications.
   a. Meet with data and application owners to identify and discuss opportunities for integration and/or consolidation of systems and design improvements.
   b. Look to and application owners for collaborative leadership in establishing direction and improvement of enterprise services, including Academic and Google Apps for Education.
3. Inventory enterprise systems, including feeder and shadow systems, to help define the full enterprise architecture of software applications licensed at URI.
4. Identify best practices for PeopleSoft/Oracle (e-Campus) modules; review other higher education implementations to gain lessons learned and leverage new functionality not being utilized today at URI.
5. Identify best practices for enterprise academic systems, such as (but not limited to) Learning Management Systems and Google Apps for Education; review other higher education implementations to gain lessons learned and leverage new functionality not currently being utilized at URI.
6. Map PeopleSoft customizations with respect to fit, usefulness, and consolidation opportunities. Use this information to reduce and consolidate URI-specific customizations or replace customizations with vendor-provided features.
7. Perform a fit/gap analysis on current URI PeopleSoft/Oracle (e-Campus) features and functionality, as well as additional features available from PeopleSoft/Oracle, to determine opportunities for improvement, streamline business processes, and implement reduction of customizations to vendor-delivered software.
8. Provide online learning and training opportunities to the URI community on e-Campus and Google Apps for Education functionality and improve documentation and access.
   a. Develop a central repository of instructional materials for common tasks and ensure that it is maintained and updated.
   b. Provide a repeatable process for periodically reviewing systems and identifying systems to be retired or upgraded.
9. Develop a formalized and consistent process for evaluating current and future information systems in the context of the existing environment, with a full understanding of resource options, total cost of ownership, and University goals and needs, at both departmental and University levels.
10. Identify and document data and application owners for systems represented in the Enterprise Architecture Model (see Goal 5: IT Risk Management, Strategy 4: Strengthen Data Quality to Increase Analytics).
11. Develop a formalized and consistent process for evaluating current and future information systems in the context of the existing environment, with a full understanding of resource options, total cost of ownership, and University goals and needs, at both departmental and University levels.
12. Select new systems consistent with selected IT service methodology and best practices, which are inclusive of all impacted parties and which consider how they will fit into the overall enterprise ecosystem and plan for total cost of ownership: acquisition, implementation, and maintenance.
13. Create an enterprise architecture model that depicts the University’s systems, including those systems managed by ITS and those systems managed by other non-ITS (vendor and distributed URI) entities. Use the enterprise architecture model to inform University IT decision-making, highlight gaps and integration needs, provide data, and support consolidation of redundant functions across systems.

**IT Services: Advance the development, integration, and delivery of University-wide IT services to support effective management of physical, financial, and human resources.**

**Preamble:** URI will focus on IT services that reduce administrative and back-office IT support needs, allowing the University to repurpose IT resources to support the URI mission and Academic Strategic Plan.

The IT service delivery model will provide a framework for managing the needs, priorities, and resources shift.

**Strategy 1**

**Adopt Best Practices to Strengthen IT Service Delivery**

**ACTIONS NEEDED TO IMPLEMENT**

1. As a priority item and continuation of URI’s strategic planning effort, identify and define the URI community of IT service providers: who, what, and where. Include this group throughout development and implementation of a Service Delivery strategy.
2. Develop training requirements for IT staff focused on delivery of services and skills required for current and future IT needs at the University.
   a. To support this action, management of all IT staff should develop an annual (or semi-annual) training plan for each staff member, including IT leadership, which meets the goals of delivering proficient, skillful services for their organizational unit.
3. Adopt a proven IT service methodology that fits the needs of the URI community.
4. Develop a process to ensure IT services meet current and known future regulatory needs, such as accessibility requirements.
5. Define IT services and service owners (both ITS and external to ITS) for purposes of creating a University-wide IT Service Catalog.
   a. Engage stakeholder participation (internal and external to ITS).
   b. The IT Service Catalog must be customer-centric and improve visibility of IT services across the University. The IT Service Catalog will have a critical role in improving communication and awareness for IT services at URI by identifying available services and indicating where the University’s pockets of excellence exist for those services.
   c. Regularly assess the contents of the catalog, eliminate items not needed or used, and add items that are requested by users.
   d. Create, document and publish the IT Service Catalog to the ITS website.
   e. Establish a process for updating the IT Service Catalog.
6. Define and implement an IT service delivery model, consistent with the service methodology adopted, which organizes the various elements involved in establishing and maintaining an effective portfolio of IT services. URI’s selected IT service delivery model will provide a framework for managing the elements of the IT Service Catalog as needs, priorities, and resources shift.
7. Rebrand and market the URI Help Desk as the IT Service Desk, which will become owner and manager of the IT Service Catalog.
   a. Establish a core set of updated values, goals and purpose for the newly branded IT Service Desk, consistent with the adopted IT Service Methodology.
   b. Educate central and distributed IT staff about the Service Desk, the IT Service Catalog, and how IT staff actions impact customer service.
   c. Implement a new ticketing system that drives communication and incorporates both IT and distributed inputs and outputs to continuously improve customer service.
GOAL 04

IT Services (Continued)

d. Communicate and promote service functions of the IT Service Desk to faculty, staff, and students.
e. Create a qualification process that would enable distributed IT support to have enhanced capabilities, like the ability to reset passwords (see Identity Management).
f. Create a process that would regularly examine ticketing data to identify changes in IT services that can be made to lower requests for assistance and improve response times.
g. Create a dashboard that would provide instant visibility of system status to the user community.

8. Establish University-wide metrics of service levels.
9. Define and formalize Service Level Agreements (SLAs) and a common SLA template in conjunction with the IT Service Catalog.
10. Define and formalize an escalation and priority system for service requests.

11. Establish and maintain an IT service delivery model that proactively allocates resources and priorities based on the needs of the University’s Academic Strategic Plan and any strategic University initiatives.

Strategy 2

Coordinate IT Providers to Deliver Services to the URI Community

ACTIONS NEEDED TO IMPLEMENT

1. Reorganize the ITS organizational structure to reflect the new model and the emphasis on service delivery.
   a. Promote agility and flexibility in the IT workforce with the goal of being more adaptive to IT’s ever-changing demands. URI must establish mechanisms to periodically review IT positions and update job descriptions.
   b. Using the IT Service Catalog as a starting point, identify and coordinate hybrid IT services (provided by both ITS and non-ITS) from a functional perspective (not an organizational perspective).

2. Conduct a pilot effort with one or two IT services identified as hybrid services.
   a. Determine and implement organizational changes (i.e., implementing dotted reporting lines with a coordinating entity).
   b. Establish a communication mechanism for units involved in providing each hybrid service.
   c. Expand efforts to include other hybrid IT services identified in step one, applying lessons learned during the pilot effort.
   d. Develop cross-functional communications structures for IT service providers.
   a. Create technical affinity groups across central and distributed IT.
   b. Document responsibilities and roles for all IT positions.
   c. Require departments and colleges to coordinate all IT hiring with ITS before it occurs.
   d. Update all nonclassified IT job descriptions with meaningful and up-to-date job titles, skills, roles, and responsibilities used in the marketplace today.

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   c. Require departments and colleges to coordinate all IT hiring with ITS before it occurs.
   d. Update all nonclassified IT job descriptions with meaningful and up-to-date job titles, skills, roles, and responsibilities used in the marketplace today.

5. Establish and communicate information security as a University-wide initiative, with the active support of senior leadership.

6. Develop and implement an information security training program with requirements for participation across the URI community.
   a. Establish a process for determining annual training content for end users and for the IT community. As part of the process, consider input from IT security risk assessment efforts, help desk tickets, security incidents, Office of the Chief Information Security Officer, IT directors from across the campus, and in conjunction with ITG
c. Identify what end users and IT Community practitioners will need for training.
   d. Implement training program.
   e. Define metrics for pre- and post-training.
   f. Establish mechanisms for tracking and reporting training completed by the URI community.
   g. Conduct annual assessment of training program to identify areas for improvement.

7. Expand Information Security Education and Awareness

ACTIONS NEEDED TO IMPLEMENT

1. Establish and communicate information security as a University-wide initiative, with the active support of senior leadership.

2. Develop and implement an information security training program with requirements for participation across the URI community.
   a. Establish a process for determining annual training content for end users and for the IT community. As part of the process, consider input from IT security risk assessment efforts, help desk tickets, security incidents, Office of the Chief Information Security Officer, IT directors from across the campus, and in conjunction with ITG
b. Develop and get approval of the information security training program.
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   d. Implement training program.
   e. Define metrics for pre- and post-training.
   f. Establish mechanisms for tracking and reporting training completed by the URI community.
   g. Conduct annual assessment of training program to identify areas for improvement.

9. Leverage Communications and Marketing for developing an IT security communications plan.

   a. Determine how the Knowledgebase will be delivered (i.e., where will the Knowledgebase exist and how will people find it?). Consider this effort in conjunction with changes to the IT Service Desk and an IT Service Catalog.
Strategy 2
Develop and Sustain a Robust Information Security Program

**ACTIONS NEEDED TO IMPLEMENT**

1. Establish and communicate the Information Security Program as a University-wide initiative. Emphasize the importance of following best security practices to all faculty, students, researchers and staff.

2. Establish ITS Security as an Information Security Audit group, in accordance with current IT Security standards, inclusive of institution-wide digital and physical information.

3. Develop security solutions that cut across organizational boundaries, providing departments the opportunity to identify security threats and vulnerabilities. Focus on protecting infrastructure, end points, and URI data (physical and digital) with multiple layers of highly integrated protection.

4. Conduct a current risk profile, as well as ongoing risk assessments.

5. Create a target risk profile to guide development of a risk-informed security profile.
   a. Determine, analyze, and prioritize security gaps to allow departments to improve their current risk state.
   b. Develop a security action plan (road map) to the target risk state.

6. Align the security program with the development of URI’s Technical Reference Model (TRM – see Goal 3).

7. Create a centralized location for security policies, frequently asked questions, and alerts or announcements.

8. Provide users with a single resource (website and human resource) for researching questions related to information security policies and practices as part of the new IT Service Desk.

Strategy 3
Establish Identity Access Management (IAM) Life Cycle

**ACTIONS NEEDED TO IMPLEMENT**

1. Identify and catalog systems that URI users need to gain access to or to confirm identity. E.g., PeopleSoft, Sakai, Google, computer labs, Wi-Fi access, door swipes, document signatures, etc.

2. Create a system to identify user types, roles, and authorizations (access).

3. Evaluate Identity Access Management systems and compare to currently used systems.

4. Research and evaluate systems used by peer universities for single sign-on (SSO) systems.

5. Implement a federated identity, SSO, identity access management system, and/or portal system at URI.

6. Create a plan and a system to archive, purge, and notify inactive identities and accounts across all URI systems.

7. Extend self-service password changes.

8. Establish and publish a standard for managing identities and access to IT services and data.

9. Create a maintenance plan for identification and access.

Strategy 4
Strengthen Data Quality to Improve Analytics

**ACTIONS NEEDED TO IMPLEMENT**

1. Establish a data governance structure that results in improved data quality and usability to inform University decision-making and recognizes the value of data as an institutional asset.
   a. Establish a cross-functional project team to work with University leadership, ITS and ITGov to develop and implement data governance.

2. Create a unified data dictionary for all databases. First priority should be all enterprise-wide systems and data stores such as, but not limited to: e-Campus, financial, HR, budget, student administration, as well as alumni systems and learning management systems.

3. URI will need to assign roles and responsibilities that consider the following duties:
   a. Data owners establish policies and own data quality for one or more master data domains, such as customer data, product data, portfolio data, location data, etc.
   b. Data stewards implement and enforce policies and business rules, and correct data quality problems, including matching records, replacing bad data with good data, and making “survivorship” decisions if more than one record for the same person exists.
   c. Data architects evaluate and modify system components to alleviate data quality problems.
   d. Data models capture and document business rules that determine data quality.
   e. Data analysts discover and research problems for the data owner(s) and investigate data quality on a record-by-record, value-by-value basis to look for exceptions, duplicates, etc.

4. Identify enterprise systems and sources of data, including data feeds into and out of all enterprise systems.

5. Identify data governance processes to maintain data and share data among systems and people.

6. Leverage data governance to establish improved reporting capabilities, streamline business processes, and gain value from data analytics.

7. Consider the following elements in developing a data management program to strengthen data quality at URI:
   a. Data policy: Have a shared understanding for how data is to be used at the University. This understanding will be supported by clearly defined data security classification policies stating which systems are the “system of record” for different data types. These policies should be University-wide.
   b. Data standards: Have a standard naming convention, which complies with industry best practices, for University data elements.
   d. Enabling technologies: Support a common set of tools to manage and distribute data, such as data dictionaries, business analytics, and other tools to enable data-driven decision-making across the institution.
   e. Risk management and compliance: Gradually move toward a model in which data quality controls are fully automated and integrated, and data classification policies are incorporated into the University’s risk management practices.

8. Improve data quality, using PeopleSoft (e-Campus) as the system of record to position the University to establish a data analytics strategy. ITS will work collaboratively with stakeholders to develop analytics models that focus on those factors that are most important to URI.

Strategy 5
Develop and Implement an IT Business Continuity Plan

**ACTIONS NEEDED TO IMPLEMENT**

1. Create a cross-functional, collaborative project team focused on creating a set of processes, tools, roles, and responsibilities in the event of a disaster, so that the University can continue critical operations on its way to full recovery.

2. Inventory critical operations required to maintain minimal business operations.
IT Governance

Foster a collaborative and transparent planning, management, and communications protocol to effectively deliver, coordinate, and prioritize IT services.

Preamble: URI should establish a University-wide planning model inclusive of ITS, distributed IT staff, and the needs of the URI community. This initiative extends to the entire IT community, which consists of central (ITS) and distributed IT services. In order to operate in a way that is coordinated, collaborative, and effective, a functional governance structure is imperative. Informing the URI community about technology initiatives and projects will help the IT community gain credibility and open additional opportunities for effective service delivery.

Strategy 1
Ensure Effective IT Governance

ACTIONS NEEDED TO IMPLEMENT

1. Consider and reassess new approaches to replacement planning, including potential opportunities to outsource aspects of the environment as alternative models continue to mature in the higher education market.
2. Maintain and incorporate the enterprise architecture model into ongoing planning as part of the IT governance function.
3. Implement a planning cycle based on the approach outlined in this Plan.
4. Continue to support and expand the functions of IT Governance through the IT Strategic Governance Committee (ITGov). Assign stewardship and assessment of the IT Strategic Plan to ITGov, in collaboration with the University’s chief information officer.

Strategy 2
Develop a Comprehensive IT Communications Model

ACTIONS NEEDED TO IMPLEMENT

1. Create a communication model that provides standards for keeping the URI community informed, provides information sharing, and enables a two-way, collaborative process and shared responsibilities with the URI community.
2. Develop communication protocols that focus on consistent messaging that people can recognize and understand.
   a. Create templates for common communications formats.
   b. Identify target audiences and the appropriate messaging tools for each group.
   c. Identify triggers and protocols for delivering particular communications to each target audience.
   d. Provide updates that are timely and succinct.
3. Determine if a self-service portal for choosing how communications are received can be developed to provide flexibility to end users while not allowing them to opt out of critical messaging.
4. Create a critical systems status page for enterprise software (for example, PeopleSoft, Sakai, Google, etc.).
   a. Provide information at a high level, but give users additional drill down capabilities.
5. Establish a University-wide communications model inclusive of ITS, distributed IT staff, and the University community.
6. Ensure that the IT communications model considers multiple delivery modes, including web presence, newsletters, push notifications, project portfolios, training status reports, demonstrations, IT Tech Fair, and inter-IT communication.
7. Work with URI Communications and Marketing and the Web Policy Council to continually improve the University’s web presence, including user experience, user interface and compliance.

Summary Matrix of Goals and Strategies

This section summarizes the six goals of this IT Strategic Plan and provides an overview of how each one links to the Academic Strategic Plan.

<table>
<thead>
<tr>
<th>Goal</th>
<th>Academic Strategic Plan Goals Linkage</th>
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| 1)  Teaching and Learning: Enable and support innovative teaching and learning through advanced IT services. | Broad impact on goals. Including, but not limited to:  
   Goal 1 – Enhance Student Success  
   Goal 2 – Expand Research, Scholarship, and Creative Work  
   Goal 3 – Grow a Global Presence |
| 2)  Research: Create and enhance IT services to support research, scholarship, and creative work. | Broad impact on goals. Including, but not limited to:  
   Goal 2 – Expand Research, Scholarship, and Creative Work  
   Goal 5 – Streamline Processes to Improve Effectiveness |
| 3)  IT Infrastructure: Establish and create an agile, sustainable, and effective IT infrastructure. | Goal 1 – Enhance Student Success, specifically Strategy 4  
In general, strengthening information security will benefit all ASP goals. |
| 4)  IT Services: Advance the development, integration, and delivery of University-wide IT services to support effective management of physical, financial, and human resources. | Broad impact on all goals, with an emphasis on Goal 5 – Streamline Processes to Improve Effectiveness, in particular Strategies 5–6 |
| 5)  IT Risk Management: Design and implement a secure IT environment that reduces risk and ensures business continuity. | Broad impact on goals. Including, but not limited to:  
   Goal 1 – Enhance Student Success  
   Goal 2 – Expand Research, Scholarship, and Creative Work  
   Goal 3 – Grow a Global Presence  
   Goal 4 – Embrace Diversity and Social Justice  
   Goal 5 – Streamline Processes to Improve Effectiveness, in particular Strategy 1–2 |
| 6)  IT Governance: Foster a collaborative and transparent planning, management, and communications protocol to effectively deliver, coordinate, and prioritize IT services. | Broad impact on goals. Including, but not limited to:  
   Goal 1 – Enhance Student Success, in particular Strategy 3  
   Goal 2 – Expand Research, Scholarship, and Creative Work, in particular Strategies 1 and 5  
   Goal 3 – Grow a Global Presence  
   Goal 4 – Embrace Diversity and Social Justice, in particular Strategy 1  
   Goal 5 – Streamline Processes to Improve Effectiveness, in particular Strategies 2–3 |

Goal | Academic Strategic Plan Goals Linkage |
|------|---------------------------------------|
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Section 4 | Implementing and Sustaining an IT Plan

The following exhibit depicts how the different elements of the IT plan will work together to support the IT community and the services delivered to students, faculty, staff, and leadership.

Many changes outlined in this plan are nontechnical; for example, changes may entail cultural shifts, process changes facilitated by new initiatives, policy and guideline adjustments, or financial and/or budgetary modifications. A plan of this magnitude depends on the following:

- Continued active sponsorship and support from senior academic and administrative leaders will be critical to the successful implementation of the goals, strategies, and actions outlined.
- As initiatives are implemented, project goals and objectives must be clearly communicated to stakeholders and progress must be proactively monitored and communicated.
- Some additional or redirected technology resources will be required to manage new systems or new technologies and to properly react to changing business needs.
- Faculty, students, staff, and administrators need to work cooperatively and collaboratively to facilitate effective change in the best interest of the University.
- Training and technical support staff will be critical to the success of the Plan’s implementation. University constituents must be ready, willing, and able to expand skills to effectively use new technology and embrace change.
- The University’s Academic Strategic Plan includes specific consideration of how technology supports the mission of the University. It is important for URI to maintain an appropriate framework to evaluate, assess, and communicate emerging technologies for academic, operational, and administrative value.
- Effective communication is a critical aspect of successfully implementing and maintaining the initiatives that comprise the IT Strategic Plan. Accordingly, clear, consistent, and accurate communication on behalf of University leadership is required.

Process for Sustaining the Plan

The IT Strategic Plan is a living plan. Like the Academic Strategic Plan, it is intended to be dynamic and ambitious. Although the mission and core values of URI remain consistent, technology initiatives set forth in the Plan require ongoing assessment. The technology landscape will continue to change and this plan must evolve with and respond to that change. Accordingly, as part of adopting this plan, URI will establish an annual technology evaluation and plan update process.

The University’s formal body for IT governance, the IT Strategic Governance Committee (ITGov) and its contributing bodies, have important roles in both implementing and sustaining the IT Strategic Plan. ITGov proposes the following annual cycle (see figure, p. 22) for IT strategic planning updates that will guide the University in prioritizing technology planning and budgeting activities.
Many components of this planning cycle are already occurring at the University. However, these efforts have not been formalized and are often not visible to impacted stakeholders. By establishing a formalized IT planning model, the University demonstrates a commitment to a more intentional and proactive planning approach. This model also recognizes that opportunities and threats are often unpredictable. Accordingly, the planning cycle is intended to be flexible and adaptable, with built-in mechanisms for responding to new priorities, challenges, and mandates that will arise.

Throughout the development of the IT Strategic Plan, URI has undertaken a collaborative approach to planning that has broadly engaged the campus community. This has provided a framework for input from academic, research, and administrative stakeholders and campus leadership and from students, staff, and faculty. Future ongoing campus-wide engagement in the planning process will be critical to its success.

By establishing a University-wide technology planning approach, working to standardize tools and applications (where appropriate), and developing a repeatable process for setting technology priorities, University leadership has a more complete picture of resources, materials, and capabilities.

The coordination of centralized IT services (via ITS) and distributed IT services, must include proactive mechanisms for ensuring and improving communications across units providing similar services. The University’s chief information officer will be the accountable IT leader at URI and will need to ensure collaborative approaches and abundant communication that reflects and highlights the best interests of the University. Distributed service providers and centers must be open to new ways of doing business and sharing expertise and information to advance the University broadly.

To realize the full benefits of technology investments and expertise, the University must identify and plan for new business process designs that streamline operations and improve customer service. The University community must highlight and celebrate the services provided by its critical offices and personnel, and must collectively encourage, support, and acknowledge the benefits provided by efficient and effective service systems. Please refer to the appendix for defining “common good” IT services.
Section 5 | Appendix
Defining IT Common Good Services

Special Considerations for New IT Services

• Is there an expectation that demand for the service will grow to 80+% as the service continues to grow and mature?

• Is it anticipated that as this service matures, there will be benefits to delivery at scale? Future Common Good Services may require unique treatment.

Future Common Good Services

There needs to be a clear plan in place for transitioning from early adopter/pilot efforts to a centralized provisioning model.

Suggested Guide for IT Service Delivery Assessment

- Does the service directly impact 80% of campus constituents?
  - No
  - Yes

- Does the service support the institutional mission?
  - No
  - Yes

- Is the service still relevant/mature?
  - No
  - Yes

- Are there benefits to delivery at scale?
  - No
  - Yes

- Is the technology relatively stable and mature?
  - No
  - Yes

If the answer to all questions is "Yes," the service is a Common Good IT Service. If any answer is "No," the service is Not a Common Good IT Service.

uri.edu/itgov