Export Control Issues: International Travel and Travelers

Office of Research Integrity
What does the Export Control Officer Do?

• The URI Export Control Officer is responsible for managing all aspects of Export Control at URI, to meet these goals:
  – Ensure that URI Faculty, Staff and Students don’t breach any export control laws or regulations,
  – Prevent unauthorized activities with proscribed countries, entities or individuals,
  – Prevent foreign nationals who could pose a threat to national security from participating in research or other URI activities controlled under US export laws and regulations.

• Duties:
  – Review sponsored projects and purchased equipment, services and software for export issues and client-specified export controls,
  – Screen selected parties to make sure their names aren’t on any watch lists,
  – Review travel plans to advise travelers of export-related issues and risks,
    • The International Travel Form is required from all URI international travelers along with TA requests: http://web.uri.edu/researchecocond/files/International-Travel-09.08.2017.pdf
  – Provide export control support and training for faculty and staff as needed.
The International Travel Form

• Required to be submitted with the TA for each international trip.

• Explains risks of international travel:
  – Is the trip to an Office of Foreign Asset Control (OFAC) sanctioned country?
  – Is the traveler planning to bring any restricted technology - defense articles or dual use restricted technology, materials or equipment?
  – Is the traveler planning to provide any defense technology or defense services?
  – Is the traveler planning to work with any restricted parties, entities or persons?
  – Protecting any data the traveler is planning to bring – use a loaner device, backup sensitive data to leave in the U.S.

• Exemptions
  – Fundamental Research Exclusion
  – Academic/Educational materials
  – Temporary or Personal Baggage

• Recent expansion of applicability
  – Previously only required for Sponsored Research international travelers
  – Now required for all international travelers
FAQs

• I’m not going to one of the countries listed on the form. Do I have to submit it?
  – This form addresses export control issues that can face an international traveler to any country. For example, there are controls on various areas of research technology that impact many other countries. Meetings could involve individuals or organizations that (unbeknownst to the traveler) are listed, or linked to entities listed on any of a number of watch lists that indicate potential terrorist, criminal or espionage-related activities.

• Should I resubmit my TA if I forgot to attach the form?
  – No, just sign and submit the form to the Export Control Officer. Please attach the form to the draft TA for future trips.

• How do I find out if there are any restrictions on what I can bring with me?
  – Contact the Export Control Officer

• Where can I get current advice about travel conditions at my destination?
  – The U.S. State Department posts travel warnings and advisories at: https://travel.state.gov/content/passports/en/alertswarnings.html

• Can the International Travel Form requirement be linked to the TA form?
  – This is in process now.