The University of Rhode Island
Budget Request Template (Unrestricted Fund 100 and Overhead Fund 110 Only)
(No more than 4 pages should briefly address the following items)

1. A brief statement of division/unit mission.

   - One of the aims of The Division of Research and Economic Development is to provide a sufficient level of support that will lead to increased excellence in scholarship, research and economic development across all of the University’s disciplines.

2. Description of the division/unit’s plans and priorities, including a summary of specific goals/initiatives for supporting the Academic Plan.

   - Expand national and international reputation in scholarship and research
   - Focus on federal agenda and federal relationship building
   - Develop public-private research and development partnerships
   - Promote URI research to the university, local and federal governments, private and public sector constituents
   - Create efficient and profitable intellectual property management and commercialization processes
   - Continue to expand administrative infrastructure to optimize URI research and scholarly aspirations.

3. Briefly describe the progress made in your division/unit over the last 12-15 months in addressing strategic priorities or attach as an Appendix a brief annual report summary.

   - Sponsored Program Awards increased 52 percent in past three years from $69.1M in FY08 to $105M in FY10
   - R&D Expenditures increased 26 percent in past three years from $77.5K in FY08 to $97.4K in FY10
   - F&A revenue increased 32 percent in past three years from $10.6M in FY08 to $14M in FY10
   - YTD FY11 data support the positive trends in awards, expenditures, and F&A
   - Economic impact of URI’s research enterprise in FY10 is estimated at an all time high of $178.5M, which represents new money to the State that we would not have otherwise and in turn creates additional jobs and additional state and local taxes.

4. A description and justification of highest priority new resource needs for the unit for FY13, including a clear indication of whether the request is for base (permanent) or variable (one-time-only) funds. (complete Excel Spreadsheet found at www.uri.edu/budget/sbpcdocs.html)

   Ledger 100 State Appropriated General Fund (salary and benefits)
   - 0.5 FTE clerical support for federal relations (half of previous Federal & State Relations Officer) $35K (FY13)
Ledger 110 Overhead Fund (salary and benefits)
- IRB Coordinator $90K (FY13)
- Paralegal $90K (FY13)
- Full Time Diving Safety Officer $100K (FY13)

5. Provide any benchmark or comparison data relative to the request to help justify the budget request (peer comparison data, data that helps to clarify the current request and need, etc).

- With existing staff Research Compliance cannot meet increasing federal mandates on conflict of interest, export control, RCR, and proper use of humans, animals, and recombinant DNA.
- Survey: “Public Responsibility in Medicine & Research”
  - URI is in top 30 percent of IRB workload
  - URI is in bottom 10 percent of IRB staffing
- Prior to 2007, URI-URIF had 4 FTE assigned to IP management and commercialization
- AUTM survey shows median of 4 FTE at 4 URI peers that took part in survey
- Institutions with comparable research activity have larger IP staff
- Montana State University has 6 FTE for this purpose

6. Indicate any requests and rationale for major capital investments, such as new buildings or major renovations or proposed new construction with estimated costs to The University of Rhode Island Budget Request Template (Unrestricted Fund 100 and Overhead Fund 110 Only) (No more than 4 pages should briefly address the following items) unrestricted budget. (complete Excel Spreadsheet found at www.uri.edu/budget/sbpcdocs.html)

- Restoration of the administration building located at 70 Lower College Road (possibly with RICAP or similar funds?). Note that the third floor is occupied by staff from the Division of Administration and Finance.

7. A brief synopsis/projection of anticipatory budgetary needs and possible new initiatives for FY14 and FY15. (complete Excel Spreadsheet found at www.uri.edu/budget/sbpcdocs.html) Note: A new item in FY13 should not be restated in FY14. For example, if a new position is added in FY13, only the anticipated salary increase and associated fringe benefit increase should be reflected in future years. The anticipated fringe increase can be calculated by entering the anticipated salary in the fringe benefit calculator.

The animal research facilities are in need of significant upgrades, particularly as the institution plans to increase its emphasis in life-science and health-science research. Initial anticipatory budgetary needs amount to $138K. The addition of an BSL-3 facility would represent a significant additional expense.
Ledger 110  Overhead Fund (salary and benefits).

**FY14**
- Marketing Associate $98K
- Research Ethics Controller $95K
- Animal Cage Washer $85K

**FY15**
- Full-Time Attending Veterinarian $145K
- Animal Auto Clave $53K

Note: Completion of the templates, as referenced in #4, 6, and 7 above for the Budget Request, will outline financial requests for FY13 for permanent and one-time only funds including salary and fringe benefit calculations if appropriate. Similarly, anticipatory requests for FY14 and FY15 should also be completed in the appropriate section of the template Budget Request spreadsheet. (Please note that a fringe benefit calculator with early estimated rates will be made available on the URI Budget & Financial Planning Office website). Given that we do not have specific information on future fringe benefit premiums at this time, fringe benefit costs will ultimately have to be adjusted for FY13, FY14, and FY15).