

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)**

**SUPPLEMENTAL INFORMATION AND
SINGLE AUDIT COMPLIANCE REPORTS
YEAR ENDED JUNE 30, 2022**



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**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
TABLE OF CONTENTS
YEAR ENDED JUNE 30, 2022**

INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH <i>GOVERNMENT AUDITING STANDARDS</i>	1
INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR THE MAJOR FEDERAL PROGRAM, REPORT ON INTERNAL CONTROL OVER COMPLIANCE, AND REPORT ON THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE	3
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS	7
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS	18
SCHEDULE OF FINDINGS AND QUESTIONED COSTS	20



**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

Board of Trustees
University of Rhode Island
Kingston, Rhode Island

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the business-type activities and the aggregate discretely presented component units of the University of Rhode Island (the University), as of and for the year ended June 30, 2022, and the related notes to the financial statements, which collectively comprise the University's basic financial statements and have issued our report thereon dated October 21, 2022. Our report includes a reference to other auditors who audited the financial statements of the University of Rhode Island Foundation & Alumni Engagement and University of Rhode Island Research Foundation, as described in our report on the University's financial statements. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors.

Report on Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses or significant deficiencies may exist that were not identified.

Report on Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University’s financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity’s internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity’s internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

CliftonLarsonAllen LLP

CliftonLarsonAllen LLP

Cranston, Rhode Island
October 21, 2022



**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR THE MAJOR
FEDERAL PROGRAM, REPORT ON INTERNAL CONTROL OVER COMPLIANCE,
AND REPORT ON THE SCHEDULE OF EXPENDITURES OF
FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE**

Board of Trustees
University of Rhode Island
Kingston, Rhode Island

Report on Compliance for the Major Federal Program

Opinion on the Major Federal Program

We have audited the University of Rhode Island's (the University) compliance with the types of compliance requirements identified as subject to audit in the OMB *Compliance Supplement* that could have a direct and material effect on the University's major federal program for the year ended June 30, 2022. The University's major federal program is identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

In our opinion, the University complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on its major federal program for the year ended June 30, 2022.

Major Program and Low-Risk Auditee Determinations

As a component unit of the state of Rhode Island (the State), the University's major federal program, the dollar threshold used to determine type A and type B programs, and the determination if the State qualifies as a low-risk auditee is established at the State level by the Office of the Auditor General.

Basis for Opinion on the Major Federal Program

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America (GAAS); the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Our responsibilities under those standards and the Uniform Guidance are further described in the Auditors' Responsibilities for the Audit of Compliance section of our report.

We are required to be independent of the University and to meet our other ethical responsibilities, in accordance with relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion on compliance for the major federal program. Our audit does not provide a legal determination of the University's compliance with the compliance requirements referred to above.

Responsibilities of Management for Compliance

Management is responsible for compliance with the requirements referred to above and for the design, implementation, and maintenance of effective internal control over compliance with the requirements of laws, statutes, regulations, rules, and provisions of contracts or grant agreements applicable to the University's federal programs.

Auditors' Responsibilities for the Audit of Compliance

Our objectives are to obtain reasonable assurance about whether material noncompliance with the compliance requirements referred to above occurred, whether due to fraud or error, and express an opinion on the University's compliance based on our audit. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS, *Government Auditing Standards*, and the Uniform Guidance will always detect material noncompliance when it exists. The risk of not detecting material noncompliance resulting from fraud is higher than for that resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Noncompliance with the compliance requirements referred to above is considered material if there is a substantial likelihood that, individually or in the aggregate, it would influence the judgment made by a reasonable user of the report on compliance about the University's compliance with the requirements of the major federal program as a whole.

In performing an audit in accordance with GAAS, *Government Auditing Standards*, and the Uniform Guidance, we:

- exercise professional judgment and maintain professional skepticism throughout the audit.
- identify and assess the risks of material noncompliance, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the University's compliance with the compliance requirements referred to above and performing such other procedures as we considered necessary in the circumstances.
- obtain an understanding of the University's internal control over compliance relevant to the audit in order to design audit procedures that are appropriate in the circumstances and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over compliance. Accordingly, no such opinion is expressed.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and any significant deficiencies and material weaknesses in internal control over compliance that we identified during the audit.

Other Matters

The results of our auditing procedures disclosed an instance of noncompliance, which is required to be reported in accordance with the Uniform Guidance and which is described in the accompanying schedule of findings and questioned costs as item 2022-001. Our opinion on the major federal program is not modified with respect to these matters.

Government Auditing Standards requires the auditor to perform limited procedures on the University's response to the noncompliance findings identified in our compliance audit described in the accompanying schedule of findings and questioned costs. The University's response was not subjected to the other auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

Report on Internal Control Over Compliance

Our consideration of internal control over compliance was for the limited purpose described in the *Auditors' Responsibilities for the Audit of Compliance* section above and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies in internal control over compliance and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, as discussed below, we did identify certain deficiencies in internal control over compliance that we consider to be significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiency in internal control over compliance described in the accompanying schedule of findings and questioned costs as item 2022-001, to be a significant deficiency.

Our audit was not designed for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, no such opinion is expressed.

Government Auditing Standards requires the auditor to perform limited procedures on the University's response to the internal control over compliance findings identified in our audit described in the accompanying schedule of findings and questioned costs. The University's response was not subjected to the other auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the financial statements of the business-type activities and the aggregate discretely presented component units of the University as of and for the year ended June 30, 2022, and the related notes to the financial statements, which collectively comprise the University's basic financial statements. We have issued our report thereon, dated October 21, 2022, which contained unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

CliftonLarsonAllen LLP

CliftonLarsonAllen LLP

Cranston, Rhode Island
February 20, 2023

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Student Financial Assistance Cluster:							
U.S. Department of Education							
Federal Supplemental Educational Opportunity Grants	84.007				\$ 1,510,719	\$ 1,510,719	\$ -
Federal Work-Study Program	84.033				1,064,978	1,064,978	-
Federal Perkins Loan Program (Beginning of Year)	84.038				2,944,187		-
Federal Perkins Loan Program (Current Year Expenditures))	84.038				-	2,944,187	-
Federal Pell Grant Program	84.063				16,963,674	16,963,674	-
Federal Direct Student Loans	84.268				77,409,143	77,409,143	-
Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	84.379				16,974	16,974	-
Total U.S. Department of Education, Student Financial Assistance					99,909,675	99,909,675	-
U.S. Department of Health and Human Services							
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Student (Beginning of Year)	93.342				1,992,846		-
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Student (Current Year Expenditures)	93.342				277,820	2,270,666	-
Nursing Student Loans (Beginning of Year)	93.364				1,753,706	-	-
Nursing Student Loans (Current Year Expenditures)	93.364				293,078	2,046,784	-
Total U.S. Department of Education, Student Financial Assistance					4,317,450	4,317,450	-
Total Student Financial Assistance Cluster					104,227,125	104,227,125	-
Research and Development Cluster:							
U.S. Department of Agriculture:							
Direct Awards:							
Agricultural Research-Basic and Applied Research	10.001				54,147	54,147	-
Plant and Animal Disease, Pest Control, and Animal Care	10.025				113,728	113,728	-
Specialty Crop Block Grant Program-Farm Bill	10.170				154,553	154,553	84,072
Acer Access Development Program	10.174				251,908	251,908	-
Grants for Agricultural Research, Special Research Grants	10.200				165,076	165,076	-
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203				1,730,908	1,730,908	-
Animal Health And Disease Research	10.207				41,800	41,800	-
Higher Education - Multicultural Scholars Grant Program	10.220				33,650	33,650	-
Organic Agriculture Research and Extension Initiative	10.307				27,447	27,447	13,746
Specialty Crop Research Initiative	10.309				1,460	1,460	-
Agriculture and Food Research Initiative (AFRI)	10.310				871,350	871,350	145,995
Crop Protection and Pest Management Competitive Grants Program	10.329				85,919	85,919	-
Cooperative Extension Service	10.500				1,351,977	1,351,977	-
Expanded Food and Nutrition Education Program	10.514				63,997	63,997	-
Renewable Resources Extension Act and National Focus Fund Projects	10.515				1,210	1,210	-
Forest Health Protection	10.680				1,483	1,483	-
Soil and Water Conservation	10.902				250,091	250,091	148,615
Soil Survey	10.903				4,885	4,885	-
Environmental Quality Incentives Program	10.912				90,147	90,147	25,557
Technical Agricultural Assistance	10.960				498,212	498,212	-
Developing Risk Management and Marketing Plans for Shellfish Growers, Women, and Beginning Farmers in RI and MA	10.RD	57326		National Crop Insurance Services	(263)	(263)	-
Promotion of Saffron as an Extremely High Value Crop for Rhode Island Agriculture	10.RD	3664284		RI Dept of Environ Magt	17,784	17,784	-
FORESTRY SUPPORT TO NRCS	10.RD	RIRCD McWilliams 100219	RI Resource Conservation & Development	RIRCD McWilliams 100219	80,408	80,408	-

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Research and Development Cluster (Continued):							
U.S. Department of Agriculture (Continued):							
Pass-through Awards:							
Plant and Animal Disease, Pest Control, and Animal Care	10.025		University of Connecticut STORRS	146838169	\$ 2,697		\$ -
Plant and Animal Disease, Pest Control, and Animal Care	10.025		Louisiana State University	76657	(274)	\$ 2,423	-
Specialty Crop Block Grant Program-Farm Bill	10.170		RI Dept of Environ Magt	3763567	4,309	4,309	-
Grants for Agricultural Research, Special Research Grants	10.200		University of Maryland	91285+2511204	52,423		3,690
Grants for Agricultural Research, Special Research Grants	10.200		University of Maryland	91289-2511208	56,163	108,586	33,433
Sustainable Agriculture Research and Education	10.215		University of Vermont	ONE21-390-35383	3,087		-
Sustainable Agriculture Research and Education	10.215		University of Vermont	LNE15-342-29994	(1,662)		(1,662)
Sustainable Agriculture Research and Education	10.215		University of Vermont	SNE20-012-RI-34268	20,135		-
Sustainable Agriculture Research and Education	10.215		University of Vermont	LNE19-381-33243	58,094	79,654	-
Extension Collaborative on Immunization Teaching & Engagement	10.229		Extension Foundation	EXCI-2021-2086	20,570	20,570	-
Integrated Programs	10.303		West Virginia University	18-726-URI	25,395	25,395	-
Homeland Security Agricultural	10.304		Michigan State University	RC112910N	18,115		-
Homeland Security Agricultural	10.304		Michigan State University	RC106556AF	3,329	21,444	-
Specialty Crop Research Initiative	10.309		Pennsylvania University State	S000323-USDA	23,955	23,955	-
Agriculture and Food Research Initiative (AFRI)	10.310		University of Washington	UWSC10287	14,982		-
Agriculture and Food Research Initiative (AFRI)	10.310		University of Connecticut STORRS	420026	1,708		-
Agriculture and Food Research Initiative (AFRI)	10.310		Ohio State University	60079113	8,207	24,897	-
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561		RI Dept of Human Services	3593369	952,999	952,999	-
Cooperative Forestry Assistance	10.664		RI Dept of Environ Magt	3702896	17,391		-
Cooperative Forestry Assistance	10.664		RI Dept of Environ Magt	3754278	20,723	38,114	-
Total U.S. Department of Agriculture					7,194,223	7,194,223	453,446
U.S. Department of Commerce:							
Direct Awards:							
Ocean Exploration	11.011				34,150	34,150	-
Economic Development Technical Assistance	11.303				990	990	-
Sea Grant Support	11.417				2,644,919	2,644,919	286,399
Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program	11.427				22,956	22,956	-
Climate and Atmospheric Research	11.431				147,168	147,168	5,894
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432				16,266,152	16,266,152	14,558,143
Marine Fisheries Initiative	11.433				80,793	80,793	20,113
Center for Sponsored Coastal Ocean Research Coastal Ocean Program	11.478				329,961	329,961	39,192
Manufacturing Extension Partnership	11.611				63,917	63,917	-
Pass-through Awards:							
OECI-OET-ISC Education/Outreach Video Production/Broadcasting	11.RD	2021-022	OCEAN EXPLORATION TRUST	2021-022	129,798	129,798	-
Ocean Exploration	11.011		Smithsonian Institute	22-SUBC-440-0000468472	33,260	33,260	-
Integrated Ocean Observing Systems (IOOS)	11.012		University of Delaware	UDR000081	57,212		-
Integrated Ocean Observing Systems (IOOS)	11.012		NERACOOS	N21A013007	34,461		-
Integrated Ocean Observing Systems (IOOS)	11.012		NERACOOS	A008-003	63,874		-
Integrated Ocean Observing Systems (IOOS)	11.012		Rutgers University	731601	2,045	157,592	-
Economic Adjustment Assistance	11.307		401 Tech Bridge	2022-05	6,208		-
Economic Adjustment Assistance	11.307		401 Tech Bridge	2022-02	8,581		-
Economic Adjustment Assistance	11.307		401 Tech Bridge	2022-03	6,294	21,083	-

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Research and Development Cluster (Continued):							
U.S. Department of Commerce (Continued):							
Pass-through Awards (Continued):							
Sea Grant Support	11.417		University of Connecticut STORRS	36463	\$ 25,885		\$ -
Sea Grant Support	11.417		University of Connecticut STORRS	368948	86,585		-
Sea Grant Support	11.417		NESEC	NA19NWS467011-SUD	96		-
Sea Grant Support	11.417		NESEC	NA20NWS4670061-SURI	25,356		-
Sea Grant Support	11.417		The Nature Conservancy	NOAA-2	9,210	\$ 147,132	-
Coastal Zone Management Estuarine Research Reserves	11.420		RI Dept of Environ Magt	NA17NOS4200117	2,012	2,012	-
Climate and Atmospheric Research	11.431		RI Natural History Survey	2019-1	75,769	75,769	-
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432		Northeast States Emergency Consortium	NA21NWS4670007	6,552		-
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432		Woods Hole Oceanographic Institution	A101446	7,051	13,603	-
Office for Coastal Management	11.473		National Fish and Wildlife Foundation	0318.20.069217	43,952	43,952	-
Atlantic Coastal Fisheries Cooperative Management Act	11.474		Rutgers University	1194043	90,761	90,761	-
Total U.S. Department of Commerce					20,305,968	20,305,968	14,909,741
U.S. Department of Defense:							
Direct Awards:							
Basic and Applied Scientific Research	12.300				3,063,467	3,063,467	96,138
Scientific Research - Combating Weapons of Mass Destruction	12.351				429,975	429,975	-
Military Medical Research and Development	12.420				195,110	195,110	-
Basic Scientific Research	12.431				127,726	127,726	45,726
The Language Flagship Grants to Institutions of Higher Education	12.550				591,779	591,779	-
Basic, Applied, and Advanced Research in Science and Engineering	12.630				244,829	244,829	70,120
Air Force Defense Research Sciences Program	12.800				144,030	144,030	-
Pass-through Awards:							
Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation	12.003		City of Newport, RI	001	278,126	278,126	-
National Defense Education Program	12.006		University of Connecticut STORRS	150275136	36,235	36,235	-
Basic and Applied Scientific Research	12.300		Navatek	00-K493-90000	628,027		-
Basic and Applied Scientific Research	12.300		HiRigh Optics, Inc	2021_URI	7,815		-
Basic and Applied Scientific Research	12.300		Creare LLC	103289	49,663		-
Basic and Applied Scientific Research	12.300		McLaughlin Research Corporation	PO-20-0902	8,864		-
Basic and Applied Scientific Research	12.300		RI Dept. Environmental Management	3684199	16,521		-
Basic and Applied Scientific Research	12.300		University of Connecticut Avery Point	158902343	9,211		-
Basic and Applied Scientific Research	12.300		University of Connecticut Avery Point	157507720	7,515		-
Basic and Applied Scientific Research	12.300		University of Connecticut Avery Point	157334323	17,086		-
Basic and Applied Scientific Research	12.300		University of Connecticut Avery Point	157336841	2,876		-
Basic and Applied Scientific Research	12.300		University of Connecticut Avery Point	157333334	12,678		-
Basic and Applied Scientific Research	12.300		University of Connecticut Avery Point	157330959	11,138		-
Basic and Applied Scientific Research	12.300		University of Connecticut Avery Point	158905075	7,827		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	165273	2,890		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347117	15,984		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347127	119,237		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347128	19,496		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347129	16,057		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347130	(87)		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386364	8,725		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386368	921		-

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Research and Development Cluster (Continued):							
U.S. Department of Defense (Continued):							
Pass-through Awards (Continued):							
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386373	\$ 20,807		\$ -
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386378	80,397		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386381	82,892		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386382	177,559		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386388	346,285		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	388683	35,893		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	388687	452		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	389994	39,454		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	390719	19,772		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	405429	75,106		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	405447	153,287		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419209	39,021		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419210	136,808		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419212	83,366		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419214	123,072		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419215	36,236		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419221	72,705		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419224	113,556		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422919	74,537		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422920	22,691		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422924	34,187		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422925	82,405		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422926	79,544		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422927	36,236		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422928	52,061		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422929	7,788		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422930	67,565		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422931	3,767		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422932	42,017		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	423318	69,921		-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	426742	167,484		-
Basic and Applied Scientific Research	12.300		Naval Undersea Warfare Center	N00174-19-1-0005	71,027		-
Basic and Applied Scientific Research	12.300		Goetz Composites	N66604-18-9-0001	98,455		-
Basic and Applied Scientific Research	12.300		Goetz Composites	PO#1477	7,000		-
Basic and Applied Scientific Research	12.300		University of North Carolina-CH	5120732	10,166		-
Basic and Applied Scientific Research	12.300		Rite Solutions	RITE002706	34,645		-
Basic and Applied Scientific Research	12.300		Physical Science, Inc	SC-8030-001	132,775		-
Basic and Applied Scientific Research	12.300		Triton Systems, Inc	TSI-5032-21-20206309	25,013		-
Basic and Applied Scientific Research	12.300		US Office of Naval Research	N00014-21-1-2281	49,261	\$ 3,767,657	-
Scientific Research - Combating Weapons of Mass Destruction	12.351		Northeastern University	505201-78050	178,611		178,611
Military Medical Research and Development	12.420		The Research Foundation for SUNY	1156130-85693	45,254	45,254	-
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Harvard University	124152-5105177	104,567	104,567	-
Air Force Defense Research Sciences Program	12.800		URI Research Foundation	FSElectro12017	37,754		-
Air Force Defense Research Sciences Program	12.800		Brown University	1913	9,692		-
Air Force Defense Research Sciences Program	12.800		Cherokee Nation Technology Solutions	15700-0040/001	49,349		-
Air Force Defense Research Sciences Program	12.800		RI Commerce Corp	Kunal_RICC_093022	43,186	139,981	-
Total U.S. Department of Defense					9,347,347	9,347,347	211,984

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Research and Development Cluster (Continued):							
U.S. Department of Housing and Urban Development:							
Pass-through Awards:							
Hurricane Sandy Community Development Block Grant Disaster Recovery Grants. Pub. L. No 113-2 Cluster (CDBG-DR)	14.269		RI Office of Housing & Comm. Development	13/URI/DR-01	\$ (984)	\$ (984)	
U.S. Department of Interior:							
Direct Awards:							
Electromagnetic Field Impacts on American Eel Movement and Migration from Direct Current Cables	15.RD	CI - M17PS00057			58,706	58,706	\$ 31,856
Science and Technology Projects Related to Coal Mining and Reclamation	15.255				75,406	75,406	-
Bureau of Ocean Energy Management (BOEM) Environmental Studies (ESP)	15.423				194,819	194,819	29,753
North American Wetlands Conservation Fund	15.623				19,644	19,644	-
Coastal	15.630				112,847	112,847	-
National Wildlife Refuge System Enhancements	15.654				15,127	15,127	-
Migratory Bird Monitoring, Assessment and Conservation	15.655				6,932	6,932	-
Hurricane Sandy Disaster Relief Activities-FWS	15.677				36,560	36,560	-
Cooperative Ecosystem Studies Units	15.678				39,515	39,515	-
Assistance to State Water Resources Research Institutes	15.805				118,957	118,957	-
National Cooperative Geologic Mapping	15.810				20,575	20,575	-
Cooperative Research and Training Programs- Resources of the National Park System	15.945				253,862	253,862	13,444
Pass-through Awards:							
Real-Time Opportunity for Development of Environmental Observations (RODEO)	15.RD	1.0003E+12	HDR / e2M	1.0003E+12			-
CI - StateView Program Development and Operations for the State of Rhode Island	15.RD	AV18-RI-01	AmericaView	AV18-RI-01	30,324		
Block Island Structural Monitoring Program	15.RD	CRMC_DOI_Baxter_2019	RI Coastal Res Mgt Council	CRMC_DOI_Baxter_2019	100,317	130,641	19,384
Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)	15.423		HDR / e2M	1.0003E+12	42,026		-
Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)	15.423		University of Alaska	UA22-0040	116,286	158,312	-
Fish and Wildlife Management Assistance	15.608	3692226	RI Dept of Environ Management	3692226	50,540		-
Fish and Wildlife Management Assistance	15.608	GSA 00076 Supplement	Wildlife Management Institute	GSA 00076 Supplement	3,434	53,974	-
Wildlife Restoration and Basic Hunter Education	15.611		RI Dept of Environ Management	3607500	62,222		-
Wildlife Restoration and Basic Hunter Education	15.611		RI Dept of Environ Management	3394032	877		-
Wildlife Restoration and Basic Hunter Education	15.611		RI Dept of Environ Management	CI - Population Ecol	17,865	80,964	-
Multistate Conservation Grant	15.628		RI Dept of Environ Management	CA-DEMMILLER112018	18,727	18,727	-
Coastal	15.630		Wildlife Management Institute	GSA 00076	25,877		-
Coastal	15.630		RI Dept of Environ Management	3672035	1,341	27,218	-
State Wildlife Grants	15.634		RI Dept of Environ Management	3380685	55,463		-
State Wildlife Grants	15.634		RI Dept of Environ Management	3656336	14,944		-
State Wildlife Grants	15.634		RI Dept of Environ Management	3671997	1,235		-
State Wildlife Grants	15.634		RI Dept of Environ Management	3746461	2,718	74,360	-
Research Grants (Generic)	15.650		RI Dept of Environ Management	3665779	175,721	175,721	-
Geological Survey Research and Data Collection	15.808		RI Water Resources Board	RI_WRB	74,601		-
Geological Survey Research and Data Collection	15.808		Geological Survey	G21AC10789	20,636	95,237	-
Natural Resource Stewardship	15.944		New Mexico Dept of Game & Fish	51600-0000077833	28,331	28,331	-
Total U.S. Department of Interior					1,796,433	1,796,433	94,437

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Research and Development Cluster (Continued):							
U.S. Department of Justice:							
Pass-through Awards:							
Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications	16.003		RI Department of Public Safety	2020-CESF-007	\$ 37,452	\$ 37,452	\$ -
Paul Coverdell Forensic Sciences Improvement Grant Program	16.742		RI Department of Public Safety	2020 COVERDELL	79,410	79,410	-
Total U.S. Department of Justice					116,862	116,862	-
U.S. Department of Transportation:							
Pass-through Awards:							
University Transportation Center Regional 1	20.RD	UMS-1185	University of Maine	UMS-1185	274,284	274,284	-
Highway Planning and Construction Cluster	20.205		Rhode Island Division of Planning	3393404	58,980	58,980	-
Total U.S. Department of Transportation					333,264	333,264	-
National Aeronautics and Space Administration:							
Direct Awards:							
Aeronautics	43.001				369,008	369,008	-
Pass-through Awards:							
Aeronautics	43.001		University of Washington	UWSC10146	15,930		-
Aeronautics	43.001		Farallon Institute	020-241-URI	25,380		-
Aeronautics	43.001		University of Maine	UMS1296	89,702	131,012	-
Education	43.008		Brown University	1617	8,750		-
Education	43.008		Brown University	1796	26,214		-
Education	43.008		Brown University	1799	18,683		-
Education	43.008		Brown University	1823	40,930		-
Education	43.008		Brown University	1858	52,899		-
Education	43.008		Brown University	1859	40,830		-
Education	43.008		Brown University	1860	48,130		-
Education	43.008		Brown University	1896	593	237,029	-
Total National Aeronautics and Space Administration					737,049	737,049	-
National Science Foundation:							
Direct Awards:							
Engineering Grants	47.041				1,526,232	1,526,232	28,637
Mathematical and Physical Sciences	47.049				237,446	237,446	-
Geosciences	47.050				10,045,998	10,045,998	252,447
Computer and Information Science and Engineering	47.070				782,154	782,154	213
Biological Sciences	47.074				972,145	972,145	33,427
Social, Behavioral, and Economic Sciences	47.075				104,329	104,329	-
Education and Human Resources	47.076				1,310,114	1,310,114	46,444
Polar Programs	47.078				197	197	-
Office	47.079				48,467	48,467	10,742
Office of Integrated Activities	47.083				3,819,032	3,819,032	1,144,799

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Research and Development Cluster (Continued):							
National Science Foundation (Continued):							
Pass-through Awards:							
RORV2 Phase III - Ship Construction	47.RD	IGA11227782	Oregon State University	IGA11227782	\$ 42,102	\$ 42,102	\$ -
Engineering Grants	47.041		University of Southern Mississippi	8006386-01.01 URI	998		-
Engineering Grants	47.041		Florida International University	510	8,970		-
Engineering Grants	47.041		Arizona State University	asub00000339	(1)	9,967	-
Geosciences	47.050		Woods Hole Oce Institution	82423600	6,904		-
Geosciences	47.050		Princeton University	SUB0000525	41,748		-
Geosciences	47.050		Columbia University	32C(GG009393-04)	20,031		-
Geosciences	47.050		Columbia University	32D(GG009393-4)	31,235		-
Geosciences	47.050		Woods Hole Oce Institution	85568600	186,304	286,222	-
Computer and Information Science and Engineering	47.070		Columbia University	3(GG014586-02)	14,165		-
Computer and Information Science and Engineering	47.070		Columbia University	9(GG014586-02)	3,060		-
Computer and Information Science and Engineering	47.070		Yale University	GR109669(CON-80002382)	42,116	59,341	-
Education and Human Resources	47.076		University of Connecticut STORRS	147203923	32,689		-
Education and Human Resources	47.076		University of Michigan	5500020997	5,000	37,689	-
Polar Programs	47.078		University of Connecticut STORRS	KFS#5628610_PO13627	34,704	34,704	-
Office of International Science and Engineering	47.079		The University of Texas at Austin	UTAUS-SUB00000011	1,133	1,133	-
Office of Integrative Activities	47.083		University of California Santa Barbara	KK2235	87,860		-
Office of Integrative Activities	47.083		Dartmouth College	R1302	189,421		-
Office of Integrative Activities	47.083		University of Southern California	66919743	52,796	330,077	-
Total National Science Foundation					19,647,349	19,647,349	1,516,709
Small Business Administration:							
Direct Awards:							
Small Business Development Centers	59.037				1,121,378	1,121,378	-
Environmental Protection Agency:							
Direct Awards:							
Environmental Education Grants	66.951				57,521	57,521	16,581
Pass-through Awards:							
Southeast New England Coastal Watershed Restoration	66.129		Restore America's Estuaries	SNEPWG18-6-URI	59,359		11,588
Southeast New England Coastal Watershed Restoration	66.129		Restore America's Estuaries	SNEPWG20-6-URI-MHB	154,723		-
Southeast New England Coastal Watershed Restoration	66.129		Barnstable County	00A00249	(1,031)		-
Southeast New England Coastal Watershed Restoration	66.129		Town of Charlestown	56.000.G210.000	1,218		-
Southeast New England Coastal Watershed Restoration	66.129		University of Maine	SNEP1-06	35,914		-
Southeast New England Coastal Watershed Restoration	66.129		University of Maine	SNEP1-11	10,000	260,183	-
Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements	66.436		Rural Community Assistance Partnership	83938801	3,801		-
Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements	66.436		Rural Community Assistance Partnership	84007801	12,911	16,712	-
Capitalization Grants for Drinking Water- State Revolving Fund Cluster	66.468		RI Dept of Health	3695569-1	100,065	100,065	-
Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies	66.716		Extension Foundation	Alm_Extension_123122	6,754	6,754	-
Total Environmental Protection Agency					441,235	441,235	28,169

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Research and Development Cluster (Continued):							
U.S. Department of Energy:							
Direct Awards:							
Office of Science Financial Assistance Program	81.049				\$ 325,591	\$ 325,591	\$ 53,208
Pass-through Awards:							
Physical-Based Digital Twins for Optimal Asset Management	81.RD	103701-00001(ST0001)	Tufts University	103701-00001(ST0001)	49,564		-
Geotechnical Research for the Development of the HAGIS Anchoring System	81.RD	TSI-2576-19-109768	Triton Systems, Inc.	TSI-4089-20-20203103	79,067		-
Geotechnical Support for Prototype Development of the Triton Anchor System	81.RD	TSI-2576-19-108768	Triton Systems, Inc.	TSI-2576-19-108768	43,760		-
Development of Electrolytes for Use in LNMO/LTO Batteries for 48 V Applications	81.RD	USABC-Gotion	Gotion	USABC-Gotion	59,120		-
Synthesis, Screening and Characterization of Novel Electrolyte for Low Temperature Lithium-ion Batteries	81.RD	378690	Brookhaven National Laboratories	378690	106,449	337,960	-
Office of Science Financial Assistance Program	81.049		Deep Reach Technologies	T2022-URI-004	7,271		-
Office of Science Financial Assistance Program	81.049		Donald Danforth Plant Science Center	23021-R	303,398		-
Office of Science Financial Assistance Program	81.049		Pennsylvania State University	5027-URI-DOE-1090	181,004		-
Office of Science Financial Assistance Program	81.049		University of Georgia	SUB00001808	38,290		-
Office of Science Financial Assistance Program	81.049		University of Georgia	SUB000002708	12,994		-
Office of Science Financial Assistance Program	81.049		Rhode Island College	80000918-01A	100,834	643,791	-
Los Alamos National Laboratory - Fire Protection	81.140		Triad National Security, LLC	526876	11,985		-
Los Alamos National Laboratory - Fire Protection	81.140		Triad National Security, LLC	526766	3,783	15,768	-
Total U.S. Department of Energy					1,323,110	1,323,110	53,208
U.S. Department of Education:							
Pass-through Awards:							
Ready-To-Learn Television	84.295		PBS	URI/Sweetman	9,725	9,725	-
Education Research, Development and Dissemination	84.305		University of Wisconsin, Madison	184	7,678	7,678	-
Statewide Longitudinal Data Systems	84.372		RI Department of Education	3692189	489,048	489,048	-
Total U.S. Department of Education					506,451	506,451	-
U.S. Election Assistance Commission							
Direct Awards:							
Help America Vote Act Requirements Payments	90.401				102,726	102,726	-
U.S. Department of Health and Human Services:							
Direct Awards:							
CDC Evaluation of Commercially Available Natural Product-Based Acarids for Suppression of Host-Seeking Nymphal Ixodes Scapula	93.RD	75D30119C05160			34,526	34,526	-
Food and Drug Administration Research	93.103				111,706	111,706	-
Maternal and Child Health Federal Consolidated Programs	93.110				14,634	14,634	-
Environmental Health	93.113				342,477	342,477	-
Oral Diseases and Disorders Research	93.121				433,278	433,278	131,791
NIEHS Superfund Hazardous Substances Basic Research and Education	93.143				1,446,303	1,446,303	571,777
Human Genome Research	93.172				94,077	94,077	-
Research on Healthcare Costs, Quality and Outcomes	93.226				161,879	161,879	21,269
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243				73,993	73,993	-
Advanced Nursing Education Workforce Grant Program	93.247				700,698	700,698	179,470
Occupational Safety and Health Program	93.262				51,052	51,052	-
Alcohol Research Programs	93.273				748,119	748,119	25,281
Drug Abuse and Addiction Research Programs	93.279				345,706	345,706	108,152

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Number	Number	Entity	Award Number	Expenditures	Program	to Subrecipients
Research and Development Cluster (Continued):							
U.S. Department of Health and Human Services (Continued):							
Direct Awards (Continued):							
Emerging Infections Programs	93.317				\$ 67,869	\$ 67,869	\$ 7,794
Nursing Research	93.361				740,853	740,853	63,548
Cancer Cause and Prevention Research	93.393				15	15	-
Cancer Biology Research	93.396				370,456	370,456	-
Developmental Disabilities Basic Support and Advocacy Grants	93.630				581,413	581,413	552,359
Opioid STR	93.788				177,003	177,003	-
Cardiovascular Diseases Research	93.837				686,743	686,743	67,548
Lung Diseases Research	93.838				458,840	458,840	192,200
Blood Diseases and Resources Research	93.839				337,340	337,340	-
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853				1,628,955	1,628,955	170,873
Allergy and Infectious Diseases Research	93.855				2,476,993	2,476,993	1,186,885
Biomedical Research and Research Training	93.859				4,550,696	4,550,696	2,510,624
Child Health and Human Development Extramural Research	93.865				121,109	121,109	-
Aging Research	93.866				289,903	289,903	7,649
Geriatric Education Centers	93.969				767,900	767,900	171,659
Pass-through Awards:							
Neural Mechanisms of Protective Effects of Early Nutrition on the Development of Social-Emotional Difficulties	93.RD	A20-0501-S001	University of California at Davis	A20-0501-S001	83,499	83,499	-
Food and Drug Administration Research	93.103		RI Department of Environ Management	RIDEMU18FD005888	1,048	-	-
Food and Drug Administration Research	93.103		RI Department of Environ Management	3746324	33,691	34,739	-
Area Health Education Centers	93.107		Brown University	1125	58,532	58,532	-
NIEHS Superfund Hazardous Substances Basic Research and Education	93.143		Enchem Engineering Inc.	2R44ES028649-02-001	32,528	32,528	-
Mental Health Research Grants	93.242		Brown University	1682	52,113	-	-
Mental Health Research Grants	93.242		Women & Infants Hospital of RI	5001541-2	17,467	69,580	-
Research on Healthcare Costs, Quality and Outcomes	93.243		Community Care Alliance	MCA_CCA_URI	86,725	-	-
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		RI Dept of Behavioral Healthcare, Develop	3565112	59,320	-	10,654
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		RI Dept of Behavioral Healthcare, Develop	3626972	163,241	-	-
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		RI Dept of Behavioral Healthcare, Develop	3631046	85,130	-	-
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		RI Dept of Behavioral Healthcare, Develop	PO 3502193	41,406	-	7,616
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		Newport County CHMC, Inc	MCA_NMH_URI_2	370,592	806,414	15,870
Drug Abuse and Addiction Research Programs	93.279		Brown University	1483	203,404	-	-
Drug Abuse and Addiction Research Programs	93.279		Oregon Health & Science University	1011948_URI	233,745	-	-
Drug Abuse and Addiction Research Programs	93.279		Oregon Health & Science University	1016803_URI	42,778	-	-
Drug Abuse and Addiction Research Programs	93.279		University of Oregon	217300C	5,736	-	-
Drug Abuse and Addiction Research Programs	93.279		Rhode Island College	80000932-01A	22,931	-	-
Drug Abuse and Addiction Research Programs	93.279		Boston Medical Center	BMC ID 6706	(7,445)	-	-
Drug Abuse and Addiction Research Programs	93.279		Brandeis	GR403953_URI	14,750	-	-
Drug Abuse and Addiction Research Programs	93.279		Butler Hospital	5001781_URI	16,575	532,474	-
Minority Health and Health Disparities Research	93.307		University of California Riverside	S-001198	15,534	15,534	-
Trans-NIH Research Support	93.310		Brown University	1759	30,749	30,749	-
Nursing Research	93.361		Yale University	M17A12609(A10935)	(3,430)	(3,430)	-
Cancer Research Manpower	93.398		Brown University	941	(41)	(41)	-
Every Student Succeeds Act/Preschool Development Grants	93.434		RI Dept of Ed Ele and Sec Ed	2725-22102-210	48,682	48,682	-
Child Care and Development Block Grant Cluster	93.575		RI Assoc for the Educa of Young Children	3669269	102,669	102,669	-
Elder Abuse Prevention Interventions Program	93.747		Disabled Persons Protection Commission	Venkatasubramanian_MDPPC	87,346	87,346	-
Section 223 Demonstration Programs to Improve Community Mental Health Services	93.829		Newport County CHMC, Inc	MCA_NMH_URI	45,077	-	30,674
	Federal Assistance	Additional Award					

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Research and Development Cluster (Continued):							
U.S. Department of Health and Human Services (Continued):							
Pass-through Awards (Continued):							
Section 223 Demonstration Programs to Improve Community Mental Health Services	93.829		Thrive Behavioral Health, Inc	CCBHC-URI	\$ 196,276	\$ 241,353	\$ 18,094
Cardiovascular Diseases Research	93.837		University of California, Los Angelis	031690-01-URI	183,940	183,940	-
Lung Diseases Research	93.838		Ocean State Research Institute, Inc	122208	24,972	24,972	-
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		Massachusetts General Hospital	236365	31,739	31,739	-
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		John Hopkins University	2004741519	(19,298)		-
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		John Hopkins University	2005138518	82,780		-
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		Northwestern University	60054064	141,326	204,808	-
Allergy and Infectious Diseases Research	93.855		Columbia University	8(GG014746-23)	413	413	-
Biomedical Research and Research Training	93.859		Brown University	938	18,727		-
Biomedical Research and Research Training	93.859		Brown University	1023	4,776		-
Biomedical Research and Research Training	93.859		Brown University	1920	13,230		-
Biomedical Research and Research Training	93.859		Brown University	1926	109,963		-
Biomedical Research and Research Training	93.859		Brown University	1928	59,909		-
Biomedical Research and Research Training	93.859		Brown University	1939	61,182		-
Biomedical Research and Research Training	93.859		Ocean State Research Institute, Inc	312240	12,582		-
Biomedical Research and Research Training	93.859		Yale University	GR108643(CON-80002175)	322,981		-
Biomedical Research and Research Training	93.859		Lifespan	7139196DSL	36,298		-
Biomedical Research and Research Training	93.859		Celdara Medical, LLC	CMCho2018	13,110		-
Biomedical Research and Research Training	93.859		Rhode Island Hospital	7137384NHW	19,153		-
Biomedical Research and Research Training	93.859		Butler Hospital	5001511-16	15,574		-
Biomedical Research and Research Training	93.859		The Miriam Hospital	7147123	24,832		-
Biomedical Research and Research Training	93.859		The Miriam Hospital	7147123KLL	13,398	725,715	-
Child Health and Human Development Extramural Research	93.865		Florida State University	R000002706	75,878	75,878	-
Aging Research	93.866		The Research Foundation for SUNY	82900	289,621		-
Aging Research	93.866		RI Hospital	701-7137507	25,169	314,790	-
Research, Prevention, and Education Programs on Lyme Disease in the United States	93.942		Western Connecticut State University	CDC2019A	34,149	34,149	-
Block Grants for Community Mental Health Services	93.958		Newport County CHMC, Inc	MCA_NMH_URI_3	75,154	75,154	-
Block Grants for Prevention and Treatment of Substance Abuse	93.959		RI Dept of Behavioral Healthcare, Develop	3740165	99,896		-
Block Grants for Prevention and Treatment of Substance Abuse	93.959		RI Dept of Behavioral Healthcare, Develop	3526599	135,513	235,409	-
PPHF Geriatric Education Centers	93.969		Bay State Medical Center	FED712-19163-N3	10,612	10,612	-
Total U.S. Department of Health and Human Services					21,872,743	21,872,743	6,051,787
U.S. Department of Homeland Security:							
Pass-through Awards:							
Support of ARA Explosive Detection	97.RD		Applied Research Associates	S-539-G40001-00-URI	58,942	58,942	-
Building Resilient Infrastructure and Communities	97.047		RI Emergency Management Agency	PDMC-PL-01-RI-2019-001	22,105	22,105	-
Centers for Homeland Security	97.061		University of North Carolina - CH	5101662	224,117		-
Centers for Homeland Security	97.061		University of North Carolina - CH	5117116	9,256		-
Centers for Homeland Security	97.061		University of North Carolina - CH	5119463	184,296		-
Centers for Homeland Security	97.061		Northeastern University	SUB 505245-78058	190,531		-
Centers for Homeland Security	97.061		Northeastern University	SUB 505035-78059	(2,274)	605,926	-
Total U.S. Department of Homeland Security					686,973	686,973	-

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Federal Grantor Program Title	Federal Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Pass-Through to Subrecipients
Research and Development Cluster (Continued):							
U.S. Agency for International Development:							
Direct Awards:							
USAID Foreign Assistance for Programs Overseas	98.001				\$ 6,514,440	\$ 6,514,440	\$ 2,999,161
Pass-through Awards:							
Samaki Salama: Securing Small-Scale Fisheries in Kenya for Healthy Nutrition and Ecosystems	98.RD	193900.312455.04C	Mississippi State University	193900.312455.04C	17,084		-
USAID Foreign Assistance for Programs Overseas	98.001		Mississippi State University	19300.312455.04	385,996		-
USAID Foreign Assistance for Programs Overseas	98.001		Mississippi State University	193900.312455.04E	15,553		-
USAID Foreign Assistance for Programs Overseas	98.001		Mississippi State University	193900.312455.04D	14,218		-
USAID Foreign Assistance for Programs Overseas	98.001		International Union for Conservation	IUCN-Ricci06102019	44,361		-
USAID Foreign Assistance for Programs Overseas	98.001		PACT	261-009519	541,189		-
USAID Foreign Assistance for Programs Overseas	98.001		PACT	265-011929	231,378		-
USAID Foreign Assistance for Programs Overseas	98.001		DAI Global LLC	1004343-S21-35515	843	1,250,622	-
Total U.S. Agency for International Development					<u>7,765,062</u>	<u>7,765,062</u>	<u>2,999,161</u>
Total Research and Development Cluster					93,297,189	93,297,189	26,318,642
Other Federal Programs :							
U.S. Department of Homeland Security:							
Direct Awards:							
Federal Emergency Management Agency (FEMA) - Disaster Grants - Public Assistance	97.036				691,166	691,166	-
U.S. Department of Education:							
Direct Awards:							
COVID-19 Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	84.425E				15,594,326		-
COVID-19 Higher Education Emergency Relief Fund (HEERF) Institutional Portion	84.425F				15,507,942	31,102,268	-
Total U.S. Department of Education					<u>31,102,268</u>	<u>31,102,268</u>	<u>-</u>
Total Other Federal Programs					<u>31,793,434</u>	<u>31,793,434</u>	<u>-</u>
Total Federal Expenditures					<u>\$ 229,317,748</u>	<u>\$ 229,317,748</u>	<u>\$ 26,318,642</u>

See Notes to the Schedule of Expenditures of Federal Awards

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2022**

NOTE 1 BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards (the Schedule) includes the federal award activity of the University of Rhode Island (the University) under programs of the federal government for the year ended June 30, 2022. The information in this Schedule is presented in accordance with the requirements of 2 CFR Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Because the Schedule presents only a selected portion of the operations of the University, it is not intended to and does not present the financial position, changes in net assets, or cash flows of the University.

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles contained in the Uniform Guidance, wherein certain types of expenditures are not allowable or are limited as to reimbursement. Negative amounts shown on the Schedule represent adjustments or credits made in the normal course of business to amounts reported as expenditures in prior years.

NOTE 3 INDIRECT COST RATE

The University elected not to use the 10% de minimis indirect cost rate allowed under the Uniform Guidance.

NOTE 4 FEDERAL STUDENT LOAN PROGRAMS

University Administered Loan Programs

The federal student loan programs listed subsequently are administered directly by the University, and balances and transactions relating to these programs are included in the University's basic financial statements. Loans outstanding at the beginning of the year and loans made during the year are included in the federal expenditures presented in the Schedule. The balance of loans outstanding and administrative costs incurred at June 30, 2022 consists of:

Assistance Listing Number	Program Name	Outstanding Balance at June 30, 2022	Administrative Costs
84.038	Federal Perkins Loans	\$ 1,592,095	\$ -
93.342	Health Professions Student Loans **	2,049,650	-
93.364	Nursing Student Loans	1,744,915	-

** - Including Primary Care Loans

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2022**

NOTE 4 FEDERAL STUDENT LOAN PROGRAMS (CONTINUED)

Direct Student Loan Program

The University disbursed \$77,409,143 of loans under the Federal Direct Student Loan program, which include Stafford Subsidized and Unsubsidized loans and Parent Plus loans. The University is not only responsible for the performance of certain administrative duties and, accordingly, there are no significant continuing compliance requirements and these loans are not included in the University's basic financial statements.

**UNIVERSITY OF RHODE ISLAND
 (A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
 SCHEDULE OF FINDINGS AND QUESTIONED COSTS
 YEAR ENDED JUNE 30, 2022**

Section I – Summary of Auditors’ Results

Financial Statements

1. Type of auditors’ report issued: Unmodified
2. Internal control over financial reporting:
- Material weakness(es) identified? _____ yes X no
 - Significant deficiency(ies) identified? _____ yes X no
3. Noncompliance material to financial statements noted? _____ yes X no

Federal Awards

1. Internal control over major federal programs:
- Material weakness(es) identified? _____ yes X no
 - Significant deficiency(ies) identified? X yes _____ no
2. Type of auditors’ report issued on compliance for major federal programs: Unmodified
3. Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)? X yes _____ no

Identification of Major Federal Programs

Federal Assistance Listing Number

84.425E and F

Name of Federal Program or Cluster

COVID – 19, Education Stabilization Fund:
 Higher Education Emergency Relief Fund,
 Student Aid and Institutional Portions

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Section I – Summary of Auditors’ Results (Continued)

Dollar threshold used to distinguish between
Type A and Type B programs:

\$ 20,680,389

Auditee qualified as low-risk auditee?

Not Applicable – Determined at the State Level

Section II – Financial Statement Findings

Our audit did not disclose any matters required to be reported in accordance with *Government Auditing Standards*.

Section III – Findings and Questioned Costs – Major Federal Programs

2022-001 – Reporting

Federal Agency: U.S. Department of Education

Federal Program: Education Stabilization Fund – Higher Education Emergency Relief Fund – Student Aid Portion

Federal Assistance Listing Number: 84.425E

Federal Award Identification Number: P425F:203721

Award Period: July 1, 2021 to June 30, 2022

Type of Finding: Other Matters and Significant Deficiency in Internal Control Over Compliance

Criteria or Specific Requirement: Institutions receiving funds under the Higher Education Emergency Relief Fund (HEERF) are required to submit a report to the secretary, at such time in such a manner as the secretary may require. Quarterly public reporting is required to report items noted in the Federal Register, Volume 85, No. 169 and Volume 86, No. 91 – Department of Education, Notice of Public Posting Requirement of Grant Information for HEERF. A required element is that the estimated total number of students at the institution that are eligible to receive Emergency Financial Aid Grants to Students under the CARES (a)(1) subprogram and the CRRSAA and ARP (a)(1) subprograms. The University is required to establish and maintain effective internal control over the Federal award that provides reasonable assurance that the University is managing the Federal award in compliance with Federal statutes. (2 CFR subsection 200.303).

Condition: The University did not include information regarding the number of students at the institution that are eligible to receive Emergency Financial Aid Grants to Students.

Questioned Costs: None.

Context: Two of the four required quarterly reports were tested, both reports omitted information regarding the number of students at the University that were eligible to receive Emergency Financial Aid Grants.

Cause: The University’s system of internal control did not contain elements to ensure all information required to be reported was included in the quarterly reports.

Effect: The University’s quarterly reports did not contain one of the required elements.

**UNIVERSITY OF RHODE ISLAND
(A COMPONENT UNIT OF THE STATE OF RHODE ISLAND)
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)
YEAR ENDED JUNE 30, 2022**

Section III – Findings and Questioned Costs – Major Federal Programs (Continued)

2022-001 – Reporting (Continued)

Repeat Finding: No.

Recommendation: We recommend that the University review its internal control procedures and policies that ensure all federal grant reporting requirements are met and make changes as needed.

Views of Responsible Officials: There is no disagreement with the audit finding.

United States Department of Education

The University of Rhode Island (the University) respectfully submits the following corrective action plan for the year ended June 30, 2022.

Audit period: July 1, 2021 – June 30, 2022

The findings from the schedule of findings and questioned costs are discussed below. The findings are numbered consistently with the numbers assigned in the schedule.

FINDINGS—FEDERAL AWARD PROGRAMS AUDITS

United States Department of Education

2022-001 Education Stabilization Fund, Higher Education Emergency Relief Fund, Student Aid Portion – Assistance Listing No. 84.425E

Recommendation: We recommend that the University review its internal control procedures and policies that ensure all federal grant reporting requirements are met and make changes as needed.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: See Corrective Plan below

Name(s) of the contact person(s) responsible for corrective action: Victoria McNeil

Planned completion date for corrective action plan: March 31, 2023

If the United States Department of Education has questions regarding this plan, please call Victoria McNeil at (401) 874-2956.

Corrective Action Plan:

- Thoroughly review requirements of Higher Education Emergency Relief Funds, HEERF Student Aid Portion Public Reporting Requirements, 86 FR 26213. Adjust website to ensure that all reporting requirements are properly posted.
- Identify and document roles and applicable procedures as it relates to HEERF federal reporting to ensure continuity as employee responsibilities change.
- As part of the procedures, institute a cross-departmental approval process to ensure new or existing HEERF federal reporting requirements are met.