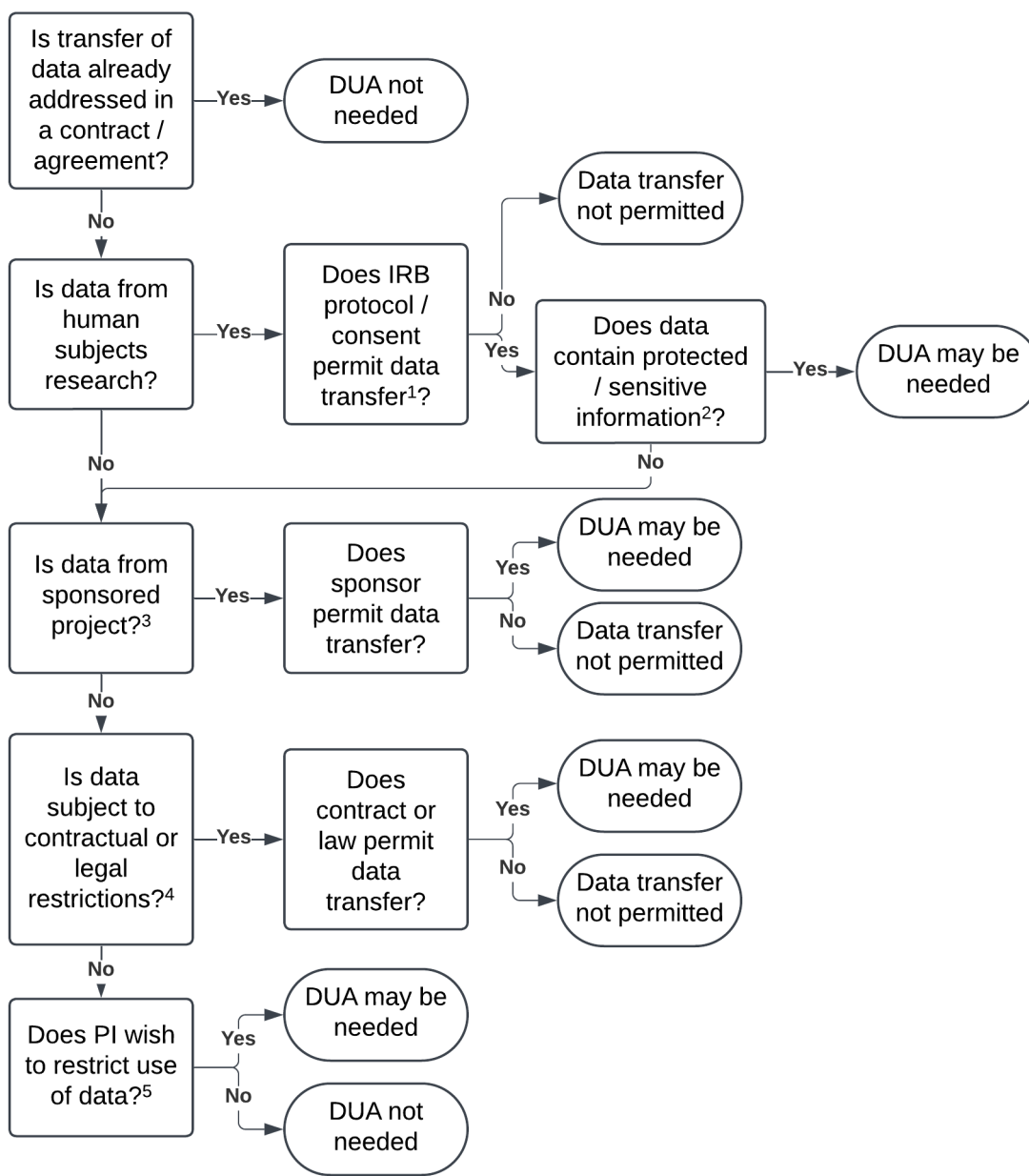


Collaborative research often involves the transfer of data between researchers, and institutions use Data Use Agreements (DUAs) to facilitate and document these transfers. See below for guidance about when data transfer may not be permitted and when a DUA might be needed. URI uses the [FDP Data Transfer and Use Agreement templates](#) when possible. If you have any questions, don't hesitate to reach out to [Research Integrity!](#)



- 1) If the transfer of human subjects' data is not addressed in the consent process, or if subjects do not consent to data transfer, then data transfer may not be permitted.
- 2) Protected / sensitive data from human subjects can include:
 - a. Protected Health Information (PHI) per HIPAA
 - b. Education records per FERPA
 - c. Personally identifiable private information
- 3) Some research sponsors limit how data generated by the sponsored project is transferred or disseminated. Check the terms and conditions of the award for more information.
- 4) Examples of contractual or legal restrictions affecting data transfer include, but are not limited to:
 - a. EU General Data Protection Regulations (GDPR)
 - b. Export controls laws
 - c. An existing DUA
 - d. Non-disclosure agreement (NDA)
 - e. Restriction from the original data provider
 - f. Controlled Unclassified Information (CUI) security requirements
 - g. Intellectual Property considerations
- 5) A researcher may wish to establish other standards or limits for the transfer of data, for example:
 - a. Data are considered proprietary
 - b. Citation / acknowledgement requirements
 - c. Authorship agreements
 - d. Right to conduct pre-publication review
 - e. Time limits on use of data
 - f. Payment for use of data
 - g. Data destruction requirements

UNC Office of Sponsored Projects. (n.d.). Data Use Agreement Guidance. <https://osp.unc.edu/resources/guidance-faqs/dua-guidance/>

Federal Demonstration Partnership. (2024, October 18). Data Transfer and Use Agreements. <https://thefdp.org/demonstrations-resources/dtuas/>