

DOMESTIC SUBRECIPIENT COMMITMENT FORM

MAY 1, 2023

Part I. To be Completed by all Subrecipients

All subrecipients must complete this form when submitting a proposal to University of Rhode Island (URI) or at the time requested by URI. This form must be signed and dated by an authorized organizational representative.

1. University of Rhode Island Proposal Information - Pass Through Entity (PTE)			
URI PI Name		Project Title	
URI Proposal Number			
Prime Sponsor			
2. Subrecipient Proposal Information			
Legal Name		Subrecipient PI	
Address		Subrecipient PI Email	
City, State, Zip+4		Project Period	to
Phone		Total Request Amount	
UEI Number		Congressional District	
3. Subrecipient Administrative Contacts			
	Name	Phone	Email
Administrative			
Financial			
Compliance			
Authorized Org. Rep			
4. Required Proposal Documents			
Scope of Work		Budget Justification	
Budget		F&A Rate Agreement	
<i>If utilizing a provisional rate or de minimus rate, this rate will be utilized until documentation is provided of a new negotiated F&A rate agreement.</i>			
5. Does this Project Involve:			
<i>Documentation of Subrecipient's approval(s) may be required</i>			
Human Subjects		Recombinant DNA	
Vertebrate Animals		Export Control Restrictions	
Cost Sharing	Cost Share Amount:		
6. FDP Clearinghouse			
Are you a participant in the Federal Demonstration Partnership (FDP)?		Yes	No
If No, complete Part II of this form			
By signing below, I certify that I am an authorized institutional representative and the information and representations made herein are true, accurate and complete. The appropriate programmatic and administrative personnel involved in this application are aware of all sponsor policies regarding subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. For federal awards, records for this award will be maintained according to 2 CFR 200.344 which states that records should be kept for 3 years after the date of the submission of the final expenditure report. Further, notification of an audit by the federal sponsor requires all records be maintained until the completion of the audit. Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.			

Signature of Subrecipient's Authorized Institutional Official

Name and Title of Subrecipient's Authorized Institutional Official

Date

Part II: To be Completed by Subrecipients NOT participating in the FDP Clearinghouse Pilot

1. Facilities & Administrative Rates (F&A)

F&A Rates included in this proposal have been calculated based on the following:

Our federally negotiated F&A rate for this type of work.

No federal negotiated rate and we hereby agree to accept the 10% de minimis MTDC rate as a subrecipient.

A reduced F&A rate dictated by the prime sponsor that we hereby agree to accept. Rate: _____

Not applicable (no indirect cost are requested). If checked, please specify rationale in Comment Section below.

Indirect costs are not separately requested as costs are fully burdened.

2. Fringe Benefit Rates

Fringe Benefit Rates included in this proposal have been calculated based on the following:

Rates are consistent with our federally negotiated rates.

Other rates (please specify in Comment Section below the basis on which the rate has been calculated)

Fringe Benefits are not separately requested as costs are fully burdened.

3. Financial Conflict of Interest

Subrecipient organization/institution has an active and enforced policy on conflict of interest.

Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by URI's policy.

To comply with URI's policy, please attach a completed Non-URI Affiliate Disclosure Form for each investigator on this project.

4. Financial Conflict of Interest – Public Health Service (PHS)

Applicable to projects funded by PHS/NIH, or any sponsor following PHS FCOI Regulations.

Not applicable because this project is not being funded by PHS/NIH or any other sponsor following the PHS FCOI Regulations.

Subrecipient organization/institution has an active and enforced policy on conflict of interest consistent with the provision of 42 CFR Part 50 Subpart F.

Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by URI's policy. To comply with URI's policy, please attach a completed Non-URI Affiliate Disclosure Form for each investigator on this project.

5. Ethics in Research Training

Applicable to projects funded by NSF or any other programs requiring Ethics in Research Training.

Not applicable because this project is not being funded by NSF or any other programs requiring Ethics in Research Training.

Subrecipient organization/institution will ensure that all undergraduates, graduate students, and postdoctoral researchers who will be supported by this NSF proposal will be trained on the oversight in the responsible and ethical conduct of research.

6. Debarment, Suspension, Excluded

Is the subrecipient, PI or any other individual participating in this project debarred, suspended, or otherwise excluded from or ineligible for participation in federal agency, assistance programs or activities? Yes No

If YES, please explain in Comment Section below.

8. Audit Status

1. Was the subrecipient required to conduct an annual audit in accordance with the Single Audit Act or Uniform Guidance Subpart F, Audit Requirements for the most recent audit year? *Yes No

a) Was an audit in accordance with the Single Audit Act completed for the most recent fiscal year? Yes No

b) Were there any audit findings reported? Yes No If Yes, please clarify in the comment section below.

*If YES is checked, a complete copy of subrecipient's most recent audit report, or the Internet URL link to a complete copy, must be furnished to URI before a subaward will be issued. URL: _____

If no audit was completed OR If Subrecipient is not subject to the Single Audit Act or Uniform Guidance, A limited-scope audit will be reviewed and approved before a subaward can be issued.

9. Subrecipient Institutional Information

Federal policy requires subrecipients of federal funds to be registered in SAM

1. Is subrecipient currently registered in SAM? (www.sam.gov) Yes No

If NO, organizations that have not registered in SAM will need to obtain a Unique Entity Identifier (UEI) number first and then registration through the SAM (System for Award Management) home page at <https://www.sam.gov> (U.S. organizations will also need to provide an Employer Identification Number from the Internal Revenue Service that may take an additional 2-5 weeks to become active). Completing and submitting the registration takes approximately one hour to complete and your SAM registration may take 3-5 business days to process. Subrecipient must maintain current information in SAM.

2. EIN #: _____

3. Be sure that the UEI number is entered on page 1.

Comment