



**Part II: To be Completed by Subrecipients NOT participating in the FDP Clearinghouse Pilot**

**1. Facilities & Administrative Rates (F&A)**

F&A Rates included in this proposal have been calculated based on the following:

Our federally negotiated F&A rate for this type of work.

No federal negotiated rate and we hereby agree to accept the 10% de minimis MTDC rate as a subrecipient.

A reduced F&A rate dictated by the prime sponsor that we hereby agree to accept. Rate: \_\_\_\_\_

Not applicable (no indirect cost are requested). If checked, please specify rationale in Comment Section below.

Indirect costs are not separately requested as costs are fully burdened.

**2. Fringe Benefit Rates**

Fringe Benefit Rates included in this proposal have been calculated based on the following:

Rates are consistent with our federally negotiated rates.

Other rates (please specify in Comment Section below the basis on which the rate has been calculated)

Fringe Benefits are not separately requested as costs are fully burdened.

**3. Financial Conflict of Interest**

Subrecipient organization/institution has an active and enforced policy on conflict of interest.

Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by URI's policy.

*To comply with URI's policy, please attach a completed Non-URI Affiliate Disclosure Form for each investigator on this project.*

**4. Financial Conflict of Interest – Public Health Service (PHS)**

*Applicable to projects funded by PHS/NIH, or any sponsor following PHS FCOI Regulations.*

Not applicable because this project is not being funded by PHS/NIH or any other sponsor following the PHS FCOI Regulations.

Subrecipient organization/institution has an active and enforced policy on conflict of interest consistent with the provision of 42 CFR Part 50 Subpart F.

Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by URI's policy. To comply with URI's policy, please attach a completed Non-URI Affiliate Disclosure Form for each investigator on this project.

**5. Ethics in Research Training**

*Applicable to projects funded by NSF or any other programs requiring Ethics in Research Training.*

Not applicable because this project is not being funded by NSF or any other programs requiring Ethics in Research Training.

Subrecipient organization/institution will ensure that all undergraduates, graduate students, and postdoctoral researchers who will be supported by this NSF proposal will be trained on the oversight in the responsible and ethical conduct of research.

**6. Debarment, Suspension, Excluded**

Is the subrecipient, PI or any other individual participating in this project debarred, suspended, or otherwise excluded from or ineligible for participation in federal agency, assistance programs or activities?    Yes            No

If YES, please explain in Comment Section below.

### 8. Audit Status

1. Was the subrecipient required to conduct an annual audit in accordance with the Single Audit Act or Uniform Guidance Subpart F, Audit Requirements for the most recent audit year? \*Yes No

a) Was an audit in accordance with the Single Audit Act completed for the most recent fiscal year? Yes No

b) Were there any audit findings reported? Yes No If Yes, please clarify in the comment section below.

\*If YES is checked, a complete copy of subrecipient's most recent audit report, or the Internet URL link to a complete copy, must be furnished to URI before a subaward will be issued. URL: \_\_\_\_\_

If no audit was completed OR If Subrecipient is not subject to the Single Audit Act or Uniform Guidance, A limited-scope audit will be reviewed and approved before a subaward can be issued.

### 9. Subrecipient Institutional Information

*Federal policy requires subrecipients of federal funds to be registered in SAM*

1. Is subrecipient currently registered in SAM? (www.sam.gov) Yes No

If NO, organizations that have not registered in SAM will need to obtain a Unique Entity Identifier (UEI) number first and then registration through the SAM (System for Award Management) home page at <https://www.sam.gov> (U.S. organizations will also need to provide an Employer Identification Number from the Internal Revenue Service that may take an additional 2-5 weeks to become active). Completing and submitting the registration takes approximately one hour to complete and your SAM registration may take 3-5 business days to process. Subrecipient must maintain current information in SAM.

2. EIN #: \_\_\_\_\_

3. Be sure that the UEI number is entered on page 1.

### Comment