

DOMESTIC SUBRECIPIENT COMMITMENT FORM

MAY 2025

Part I. To be Completed by all Subrecipients

All subrecipients must complete this form when submitting a proposal to the University of Rhode Island (URI) or at the time requested by URI. This form must be signed and dated by an authorized organizational representative.

1. University of Rhode Island Proposal Information - Pass Through Entity (PTE)			
URI PI Name		Project Title	
URI Proposal Number			
Prime Sponsor			
2. Subrecipient Proposal Information			
Legal Name		Subrecipient PI	
Address		Subrecipient PI Email	
City, State, Zip+4		Project Period	to
Phone		Total Request Amount	
UEI Number		Congressional District	
3. Subrecipient Administrative Contacts			
	Name	Phone	Email
Administrative			
Financial			
Compliance			
Authorized Org. Rep			
4. Required Proposal Documents			
Scope of Work		Budget Justification	
Budget		F&A Rate Agreement	
<i>If utilizing a provisional rate or de minimus rate, this rate will be utilized until documentation is provided of a new negotiated F&A rate agreement.</i>			
5. Does this Project Involve:			
<i>Documentation of Subrecipient's approval(s) may be required</i>			
Human Subjects		Recombinant DNA	
Vertebrate Animals		Export Control Restrictions	
Cost Sharing		Cost Share Amount:	
6. FDP Clearinghouse			
Are you a participant in the Federal Demonstration Partnership (FDP)?		Yes	No
If No, complete Part II of this form			
By signing below, I certify that I am an authorized institutional representative and the information and representations made herein are true, accurate and complete. The appropriate programmatic and administrative personnel involved in this application are aware of all sponsor policies regarding subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. For federal awards, records for this award will be maintained according to 2 CFR 200.344 which states that records should be kept for 3 years after the date of the submission of the final expenditure report. Further, notification of an audit by the federal sponsor requires all records be maintained until the completion of the audit. Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.			

Signature of Subrecipient's Authorized Institutional Official

Name and Title of Subrecipient's Authorized Institutional Official

Date

Part II: To be Completed by Subrecipients NOT participating in the FDP Clearinghouse Pilot

1. Facilities & Administrative Rates (F&A)

F&A Rates included in this proposal have been calculated based on the following:

Our federally negotiated F&A rate for this type of work.

No federal negotiated rate and we hereby agree to accept the 15% de minimis MTDC rate as a subrecipient.

A reduced F&A rate dictated by the prime sponsor that we hereby agree to accept. Rate: _____

Not applicable (no indirect cost are requested). If checked, please specify rationale in Comment Section below.

Indirect costs are not separately requested as costs are fully burdened.

2. Fringe Benefit Rates

Fringe Benefit Rates included in this proposal have been calculated based on the following:

Rates are consistent with our federally negotiated rates.

Other rates (please specify in Comment Section below the basis on which the rate has been calculated)

Fringe Benefits are not separately requested as costs are fully burdened.

3. Financial Conflict of Interest

3a. Does the subrecipient have a Financial Conflict of Interest (FCOI) policy that complies with the U.S. Public Health Service (PHS) regulations (42 CFR Part 50 Subpart F and 45 CFR Part 94)?

Yes - Subrecipient certifies that it has its own PHS-compliant FCOI policy that it will follow.

No - Subrecipient does not have a PHS-compliant FCOI policy and will follow URI's FCOI policy.

If "No", please contact the [URI Office of Research Integrity \(ORI\)](#) for guidance prior to proposal submission and answer question 3b below.

3b. If the subrecipient does not have a compliant policy, does the subrecipient agree to follow URI's FCOI policy and process, including required training and disclosures?

Yes

No

Note: If this option is selected, the subrecipient's investigators may be required to complete URI-specific FCOI forms and training. Please consult with the [Office of Research Integrity](#) for current procedures.

5. Ethics in Research Training

Applicable to projects funded by a program requiring training in responsible and ethical conduct of research.

Not applicable because this project is not funded by a program requiring training in the responsible and ethical conduct of research.

Subrecipient organization/institution will ensure that all study personnel who will be supported by this proposal will be trained in the responsible and ethical conduct of research.

6. Debarment, Suspension, Exclusion

Subrecipient, PI or any other individual participating in this project are not a current party to a malign foreign talent recruitment program, debarred, suspended, or otherwise excluded from or ineligible for participation in federal agency, assistance programs or activities. Yes No If YES, please explain in Comment Section below.

7. Audit Status

1. Was the subrecipient required to conduct an annual audit in accordance with the Single Audit Act or Uniform Guidance Subpart F, Audit Requirements for the most recent audit year? *Yes No

a) Was an audit in accordance with the Single Audit Act completed for the most recent fiscal year? Yes No

b) Were there any audit findings reported? Yes No If Yes, please clarify in the comment section below.

*If YES is checked, a complete copy of subrecipient's most recent audit report, or the Internet URL link to a complete copy, must be furnished to URI before a subaward will be issued. URL: _____

If **no audit was completed** OR If **Subrecipient is not subject to the Single Audit Act or Uniform Guidance**, a limited-scope audit will be reviewed and approved before a subaward can be issued.

8. Subrecipient Institutional Information

Federal policy requires subrecipients of federal funds to be registered in SAM

1. Is subrecipient currently registered in SAM? (www.sam.gov) Yes No

If NO, organizations that have not registered in SAM will need to obtain a Unique Entity Identifier (UEI) number first and then registration through the SAM (System for Award Management) home page at <https://www.sam.gov> (U.S. organizations will also need to provide an Employer Identification Number from the Internal Revenue Service that may take an additional 2-5 weeks to become active). Completing and submitting the registration takes approximately one hour to complete and your SAM registration may take 3-5 business days to process. Subrecipient must maintain current information in SAM.

2. EIN #: _____

3. Be sure that the UEI number is entered on page 1.

Comments