

Instructions:

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Complete Section I (Invention), Section II (Sponsor), Section III (Inventors), and Section IV (Assignment) in the disclosure form with required signatures. Each URI Inventor must sign an individual Assignment form. All inventors must sign the Inventor's page and provide their home address, e-mail, title, and country of citizenship.

Print document.

Email or deliver to Tamara Vittone, Office of the Vice President for Research and Economic Development, Carlotti Admin Bldg., 2nd Floor, 75 Lower College Road, Kingston, RI

If you have an immediate publication or presentation date and gathering all the information would delay the submission of this form to the Office of the Vice President for Research and Economic Development, please submit it immediately with the information in hand, and provide the detail information as soon as possible afterwards.

Submitted invention disclosure forms are treated as confidential until a patent application is filed or the invention is publicly disclosed (i.e. via an inventor's publication). Therefore, prior to a patent filing or public disclosure, the information submitted in a disclosure form will not be distributed except (i) to research sponsors as may be stipulated by contract, (ii) to parties that are under attorney-client privilege or confidentiality agreements, or (iii) as may be required by law.

**Questions: Tamara Vittone 4-5507
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Office Use Only:

NAME: _____

URI #: _____

Federal Sponsored: Y [] N []

Federal Reporting: Y [] N []

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AGENCY: _____

GRANT #: _____

Assignment Signed by Each Inventor: []

Inventor(s) Statement Signed: []

INTELLECTUAL PROPERTY
INVENTION DISCLOSURE**I. Description of Invention, its Novelty, Potential Use, and Current Development Status****A. Invention Title:**

B. Invention Details:**i) What is your invention?**

Is the invention a new process, method, composition of matter, living organisms, a device, or one or more products? Is it a new use for, or an improvement to, an existing product or process?

ii) What is the problem being solved?

What problems does it solve, or what advantage does it possess concerning potential commercial applications?

iii) What prior attempts were used to solve the problem?

If applicable, please use any information known to you including your own research as it relates to the problem you are addressing.

iv) What are the disadvantages or shortcomings of previous attempts to solve the problem?

If applicable, please use any information known to you including your own research as it relates to the problem you are addressing.

v) Provide a detailed description of the invention

Give a detailed description of what your invention is and how it works. What are the components (or steps if the invention is a method) of the invention and what is the function of each component? How do the components interact with each other? Include sketches, drawings, photographs, etc. to help illustrate your invention. You may attach your description separately if convenient.

vi) Novel features

Please point out and expand on novel and unusual features of your invention. How does the invention differ from present technologies currently being used? Or how does it work differently from other devices or processes that accomplish the same purpose? (Please DO NOT just refer to published papers or reports)

vii) Are there any variations of your basic invention that accomplish the same purpose?

If your described invention having elements A+B+C+D, would someone be able to make virtually the same thing by leaving out, for example, D?

viii) Relevant references

Are you aware of any inventions or publications (patent, journal, magazine, web-posting, social media, etc.) by you or anyone else outside your research group that are related to this invention?

Yes No . If yes, please provide a list.

C. Other invention details:**i) Date and place invention was first conceived?**

ii) **Experimental verification.** Please indicate if you have run experiments to verify that the invention works.

Yes No . If yes, describe below.

iii) **MTAs.** Was the invention made with any material or biological substance obtained through a Material Transfer Agreement?

Yes No . If yes, please provide the name of the provider, their affiliation and a copy of the agreement as an attachment.

iv) Have you constructed a prototype, model, or test sample which are available for examination?

Yes No . If yes, describe below.

D. Publication or other disclosures**i) Disclosure of invention to others within URI?**

Yes No . If yes, describe below.

ii) Disclosure of invention to others outside of URI:

Yes **No** . If yes, please give full details of the activity, including dates when activities occurred. Please indicate if party(ies) were under obligation of confidentiality.

iii) **Has invention been published in an abstract, paper, talk, news story, or thesis, etc.?**

Yes **No** . If yes, type of disclosure (attach copy) _____

Date of Disclosure: _____

iv) **Is a publication planned in the next six months?**

Yes **No** . If yes, type of disclosure (attach copy) _____

Date of Disclosure: _____

E. Commercialization Questionnaire:

i) **Possible means of commercialization:** Please provide your ideas on the most likely commercial path for the invention. How do you envision that the invention might be used in a commercial product or a process for producing a product?

ii) **Have you made any attempts to commercialize your invention** (for example, have you approached any companies about licensing or manufacturing your invention)?

iii) **What stages of development your invention or technology belongs to?** Please check all the appropriate boxes listed below that closely describe your current developmental stage.

Initial scientific research has been conducted: **Yes** **No** .

Proof of concept established in the lab: **Yes** **No** .

System/process tested in a relevant environment: **Yes** **No** .

Prototype developed & tested in the lab: **Yes** **No** **NA** .

Prototype tested in an operational environment: **Yes** **No** **NA** .

Additional details (Optional)

iv) **What immediate future development remains to be accomplished?**

v) **Provide names of possible licensees, if any.** Please provide any industry contacts that you have made and companies or industry areas that you believe would have an interest in the invention.

III. URI and NON-URI Inventors

Please print name and sign. **ALL inventors must sign.** Recommend the percent of potential royalty revenue to be shared by both URI and Non-URI Inventors (to total 100%). A complete and accurate **resident address** and country of citizenship are required in the event this invention disclosure is sent to URI's patent attorney.

Name: _____

Title: Check one: Dr. Faculty: Undergraduate Student:
 Grad Student (Master or PhD): _____ Post Doc:

URI College of _____ (Engineering, Arts & Sciences, Pharmacy, CELS,
 Oceanography, Human Science & Services, Nursing or Business Administration)

Resident Address: _____

Name of Institution or Company: _____

Email: _____ Telephone/Cell # _____ Country of Citizenship: _____
 _____ percent

Signature _____ Date: _____

 Name: _____

Title: Check one: Dr. Faculty: Undergraduate Student:
 Grad Student (Master or PhD): _____ Post Doc:

URI College of _____ (Engineering, Arts & Sciences, Pharmacy, CELS,
 Oceanography, Human Science & Services, Nursing or Business Administration)

Resident Address: _____

Name of Institution or Company: _____

Email: _____ Telephone/Cell # _____ Country of Citizenship: _____
 _____ percent

Signature _____ Date: _____

 Name: _____

Title: Check one: Dr. Faculty: Undergraduate Student:
 Grad Student (Master or PhD): _____ Post Doc:

URI College of _____ (Engineering, Arts & Sciences, Pharmacy, CELS,
 Oceanography, Human Science & Services, Nursing or Business Administration)

Resident Address: _____

Name of Institution or Company: _____

Email: _____ Telephone/Cell # _____ Country of Citizenship: _____
 _____ percent

Signature _____ Date: _____

III. URI and NON-URI Inventors (Continued)

Please print name and sign. **ALL inventors must sign.** Recommend the percent of potential royalty revenue to be shared by both URI and Non-URI Inventors (total must = 100%). A complete and accurate **resident address** and country of citizenship are required in the event this invention disclosure is sent to URI's patent attorney.

Name: _____

Title: Check one: Dr. Faculty: Undergraduate Student:
 Grad Student (Master or PhD): _____ Post Doc:

URI College of _____ (Engineering, Arts & Sciences, Pharmacy, CELS,
 Oceanography, Human Science & Services, Nursing or Business Administration)

Resident Address: _____

Name of Institution or Company: _____

Email: _____ Telephone/Cell # _____ Country of Citizenship: _____
 _____ percent

Signature _____ Date: _____

 Name: _____

Title: Check one: Dr. Faculty: Undergraduate Student:
 Grad Student (Master or PhD): _____ Post Doc:

URI College of _____ (Engineering, Arts & Sciences, Pharmacy, CELS,
 Oceanography, Human Science & Services, Nursing or Business Administration)

Resident Address: _____

Name of Institution or Company: _____

Email: _____ Telephone/Cell # _____ Country of Citizenship: _____
 _____ percent

Signature _____ Date: _____

 Institutional Representative:

Signature _____ Date _____

Title: _____

University of Rhode Island
Carlotti Administration Bldg., 2nd Floor
75 Lower College Road, Kingston, RI 02881
Tel.: (401) 874-4807 Fax: (401) 874-7832

IV. Assignment
(Each URI Inventor must complete an individual Assignment)

UNIVERSITY OF RHODE ISLAND BOARD OF TRUSTEES

As a below named inventor or joint inventor of an invention or improvement entitled:

for which I have executed an application for Letters Patent of the United States of America;
and

WHEREAS, **University of Rhode Island Board of Trustees, having an address of 35 Campus Avenue, Kingston, RI 02881**, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application in the United States of America and in any and all countries foreign thereto;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer and set over, unto said **University of Rhode Island Board of Trustees**, its successors, legal representatives, and assigns, my entire right, title and interest in, to, and under said invention, and said application, and all divisional, renewal, substitutional, and continuation applications thereof, and all Letters Patent of the United States of America which may be granted thereof and all reissues and extensions hereof, and all applications for Letters Patent which may be filed for said invention in any country or countries foreign to the United States of America, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, all rights to register said invention in appropriate registries, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States of America, and all extensions, renewals, and reissues thereof, and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to said **University of Rhode Island Board of Trustees**, its successors, legal representatives, and assigns, in accordance with the terms of this instrument.

And I hereby covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

And I further covenant and agree that I will communicate to said **University of Rhode Island Board of Trustees**, its successors, legal representatives, and assigns any fact known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisions, renewal, substitutional, continuing, and reissue

applications, make all rightful declarations and/or oaths and generally do everything possible to aid said **University of Rhode Island Board of Trustees**, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said invention in all countries and to abide by the terms of the **University of Rhode Island Intellectual Property Policy** as approved by the **University of Rhode Island Board of Trustees**.

IN TESTIMONY WHEREOF, I authorize and affirm said assignments with the signature set forth below on the indicated date(s).

Print Inventor Name: _____

Signature

Date