University of Rhode Island Prohibition of Participation in Malign Foreign Talent Recruitment Programs

*Beginning August 9, 2024, participation in a Malign Foreign Talent Recruitment Program (MFTRP) meeting any of the criteria of the CHIPS and Science Act outlined below will be considered a "prohibited factor" for a researcher, which would preclude the researcher from receiving funding from the Department of Defense (DoD).

Prohibition: In accordance with federal requirements, the University of Rhode Island (URI) prohibits participation in MFTRPs. Per the CHIPS and Science ACT 2022, https://www.govinfo.gov/content/pkg/PLAW-117publ167/pdf/PLAW-117publ167.pdf the term "malign foreign talent recruitment program" means:

- "(A) any program, position, or activity that includes compensation in the form of cash, inkind compensation, including research funding, promised future compensation, complimentary foreign travel, things of non de minimis value, honorific titles, career advancement opportunities, or other types of remuneration or consideration directly provided by a foreign country at any level (national, provincial, or local) or their designee, or an entity based in, funded by, or affiliated with a foreign country, whether or not directly sponsored by the foreign country, to the targeted individual, whether directly or indirectly stated in the arrangement, contract, or other documentation at issue, in exchange for the individual—
 - (i) engaging in the unauthorized transfer of intellectual property, materials, data products, or other nonpublic information owned by a United States entity or developed with a Federal research and development award to the government of a foreign country or an entity based in, funded by, or affiliated with a foreign country regardless of whether that government or entity provided support for the development of the intellectual property, materials, or data products;
 - (ii) being required to recruit trainees or researchers to enroll in such program, position, or activity;
 - (iii) establishing a laboratory or company, accepting a faculty position, or undertaking any other employment or appointment in a foreign country or with an entity based in, funded by, or affiliated with a foreign country if such activities are in violation of the standard terms and conditions of a Federal research and development award;
 - (iv) being unable to terminate the foreign talent recruitment program contract or agreement except in extraordinary circumstances;
 - (v) through funding or effort related to the foreign talent recruitment program, being limited in the capacity to carry out a research and development award or required to engage in work that would result in substantial overlap or duplication with a Federal research and development award;
 - (vi) being required to apply for and successfully receive funding from the sponsoring foreign government's funding agencies with the sponsoring foreign organization as the recipient;
 - (vii) being required to omit acknowledgment of the recipient institution with which the individual is affiliated, or the Federal research agency sponsoring the research and development award, contrary to the institutional policies or standard terms and conditions of the Federal research and development award;
 - (viii) being required to not disclose to the Federal research agency or

employing institution the participation of such individual in such program, position, or activity; or

- (ix) having a conflict of interest or conflict of commitment contrary to the standard terms and conditions of the Federal research and development award; and
- (B) a program that is sponsored by—
- (i) a foreign country of concern or an entity based in a foreign country of concern, whether or not directly sponsored by the foreign country of concern; [Per the Act, foreign country of concern "means the People's Republic of China, the Democratic People's Republic of Korea, the Russian Federation, the Islamic Republic of Iran, or any other country determined to be a country of concern by the Department of State.]
- (ii) an academic institution on the list developed under section 1286(c)(8) of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (10 U.S.C. 2358 note; Public Law 115-232); or
- (iii) a foreign talent recruitment program on the list developed under section 1286(c)(9) of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (10 U.S.C. 2358 note; Public Law 115-232)."

URI requires that researchers comply with all sponsor policies and certifications regarding foreign talent recruitment programs as they are developed and implemented, and that disclosures are true, complete and accurate to the best of the faculty member's knowledge or the faculty member and/or URI could have serious consequences and face civil or criminal penalties.

Background: Section 10632 of the CHIPS and Science Act requires senior/key personnel to certify at proposal and annually for the duration of the award, **that they are not a party to a malign foreign talent recruitment program** and that the institution (URI) certify that such individuals have been made aware of the requirement that requires senior/key personnel to disclose participation in foreign talent recruitment program contracts, agreements, or other arrangements.

Links:

(DoD Decision Matrix)

DoD Countering Unwanted Foreign Influence in Department-funded Research at Institutions of Higher Education:

 $\underline{https://www.defense.gov/News/Releases/Release/Article/3445601/department-of-defense-strengthening-efforts-\underline{to-counter-unwanted-foreign-influen/}$

URI Conflict of Interest:

https://web.uri.edu/research-admin/office-of-research-integrity/conflicts-of-interest-overview/

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