URI Board of Trustees
Institutional Risk & Compliance Committee Calendar

January Meeting
1) Review Affirmative Action Plan compliance
   (Vice President, Administration and Finance (VPAF) Abbi Rider)
   (Assistant Vice President, Enterprise Risk Management (AVP,ERM) Kara Larsen)
2) Approve annual Compliance Plan (VPAF, AVP,ERM)
3) Review compliance with standards governing the conduct of research (VP Research and Economic Development, Peter Snyder)

April Meeting
1) Review of University institutional risk assessment and mitigation plans (VPAF, AVP,ERM)
2) Research grant accounting compliance review (VPAF, Controller)
3) Annual review of committee charter (Committee)
4) Compliance review (VPAF, AVP,ERM)

June Meeting
1) Approve external auditing plan (VPAF, Controller)
2) Review University cybersecurity plan (Provost, Don DeHayes, VPAF, Chief Information Officer (CIO) Karlis Kaugars)
3) Compliance review (VPAF, AVP,ERM)

September Meeting
1) Review compliance on required training (VPAF, AVP,ERM)
2) Update from external auditors (Committee, External Auditors)
3) Compliance review (VPAF, AVP,ERM)

October Meeting
1) Review annual financial report with external auditors (External Auditors, VPAF, Controller)
2) Recommend board approval of annual external audit (Committee)
3) Compliance review (VPAF, AVP,ERM)

Other items to be added as needed
1) Review and approve University’s responses to external auditor’s management letters (VPAF, Controller)
2) Approve scope and contract with external auditing firm (Committee, VPAF)
3) Recommend investigations, inspections, and reviews relating to the expenditure of state and federal funds when necessary. (Committee, VPAF)
4) Review state audits and approve any associated management response. (Committee, VPAF, Controller)