SHINGO GOTO, Ph.D.
Summary of Activities: 2013-Present

Positions Held (2013-2018):
Associate Professor, Finance, College of Business, Univ. of Rhode Island (URI), Kingston, RI (2016-present), Clinical Associate Professor, Finance, Moore School of Business, Univ. of South Carolina, Columbia, SC (-5/2016)

Qualifications: Ph.D. in Finance, 2002, The Anderson Graduate School of Management at UCLA.

Teaching (2013-2018):

At URI (2016-Present): Ph.D. seminars in Asset Pricing Theories and Advanced Topics in Investments (doctoral), Portfolio Theory and Security Analysis (master's), Ram Fund - Student Managed Investments (undergrad.), Financial Management (undergrad. large sections). Doctoral committee co-chair for 1 student and committee member for 1 student. Finance Ph.D. Program Coordinator.

At the Moore School (2013-5/2016): In addition to regular teaching responsibilities (omitted), Shingo offered a practicum course in collaboration with Nomura Asset Management (Spring 2016), and collaborated with the SC Retirement System Investment Commissions (state pension fund) on investment management education (Spring 2015,2016), and organized 10 Columbia Investment Forums - early-morning forums with local investment professionals and students (3/2015-4/2016).

Outside Teaching Engagements (2013-Present): Tokyo Univ. of Science in Tokyo, Japan (6/2018); Nomura Asset Management in Tokyo, Japan (7/2015, 8/2016, 6/2017, 6/2018); Research Affiliates, LLC. in Newport Beach, CA (9/2017); Belarusian Economic Research and Outreach Center in Minsk, Belarus (5/2017); Belarusian State University, School of Business and Mgmt. of Technology in Minsk, Belarus (12/2016, 5/2017); Tokyo Keizai Univ. in Tokyo, Japan (7/2015,8/2016); Hanqing-Harris School at the Renmin Univ. in Beijing, China (7/2014).

Research (2013-2018): The following publications and 17 conference presentations.

- Employee Satisfaction and Firm Performance, (with Toru Yamada and Taketo Usui). Security Analysts Journal (Japan), Nov. 2017, The Best Paper Award in 2017 by the Security Analysts Association of Japan.
- The Duality of Value and Mean Reversion (with Noah Beck, Jason Hsu, and Vitali Kalesnik) in Ch. 9 of Portfolio Construction, Measurement, and Efficiency (ed. John Guerard), Springer 2017.
- The Information Content of Corporate Pension Funding Status in Japan, (with Noriyoshi Yanase), Journal of Business Finance & Accounting 43, July/August 2016.
- Improving Mean Variance Optimization through Sparse Hedging Restrictions (with Yan Xu), Journal of Financial and Quantitative Analysis 50(6), Dec. 2015.
- As Told by The Supplier: Trade Credit and The Cross Section of Stock Returns, (with Gang Xiao). Journal of Banking & Finance 60, Nov. 2015.
- Corporate Financial Stability and Corporate Pension Asset Allocation, (with Noriyoshi Yanase). Security Analysts Journal (Japan), May 2015.
- Financial Flexibility and Tax Incentives: Evidence from Defined Benefit Corporate Pension Plans in Japan, (with Noriyoshi Yanase), Geneva Papers on Risk and Insurance - Issues and Practice, 38(4) Oct. 2013.
- Market Valuation of Unrecognized Pension Obligations: A Puzzle, (with Noriyoshi Yanase and Takefumi Ueno). Gendai Finance 2013 (top finance scholarly journal in Japan)

Professional Engagements (2013-2018):

Consulting Engagements (as Advisors): Senior Advisor, Research Affiliates, LLC. in Newport Beach, CA (2012-Present); Academic Advisor, Nomura Asset Management in Tokyo, Japan (2016-Present); Senior Advisor, Rayliant Global Advisors, LLC., Hong Kong, China and Irvine, CA (2017-Present).

Other Significant Professional Engagements: International Examination Committee Chair/Member at The Association of Certified International Investment Analysts (ACIIA) in Geneva, Switzerland (2017-Present; Chair in 2018). (ACIIA is an international coalition of security analyst associations in 35 countries.)

Ad Hoc Referees for Academic Journals: Since 2013, Shingo has reviewed more than 35 papers that have been submitted to top quality journals including Review of Financial Studies, Journal of Financial and Quantitative Analysis, Journal of Banking & Finance, Journal of Empirical Finance, Real Estate Economics, Financial Analysts Journal, etc.