



# PH.D. IN BUSINESS ADMINISTRATION HANDBOOK

2021-2022

The University of Rhode Island  
College of Business

## PH.D. IN BUSINESS ADMINISTRATION HANDBOOK

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### **PREFACE**

This handbook has been prepared for students admitted into the Ph.D. Program in Business Administration in order to help them be informed about the process, procedures, and requirements of the program. Please note that the information is current as of today's date. However, rules and procedures change and these changes are available on the website.

Please consult the Graduate School for detailed rules, procedures, and necessary forms:

<http://web.uri.edu/graduate-school/graduate-degree-requirements>

Please consult the College of Business website for details:

<http://web.uri.edu/business/academics/phd/>

Most important, please consult your area's Ph.D. coordinator, mentor, and/or major professor for clarifications and updates. It is your responsibility to stay abreast of all rules, deadlines, and procedures that govern your progress through the system.

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**PH.D. PROGRAM ADMINISTRATORS**
Maling Ebrahimpour

Dean and the Alfred J. Verrecchia–Hasbro Inc. Leadership Chair in Business

401.874.4348; [mehrahimpour@uri.edu](mailto:mehrahimpour@uri.edu)

Shaw K. Chen

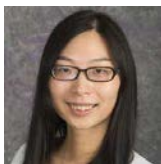
Associate Dean for Research and Outreach  
Professor

401.874.4339; [chenshaw@uri.edu](mailto:chenshaw@uri.edu)

Shingo Goto

Associate Professor  
Director of Doctoral Program and Research  
(DDR)

401.874.4318; [shingo\\_goto@uri.edu](mailto:shingo_goto@uri.edu)

Xiaowei Xu

Associate Professor  
Finance Area Ph.D. Coordinator

401.874.5489; [xiaowei@uri.edu](mailto:xiaowei@uri.edu)

Jerry Xia

Assistant Professor  
Marketing Area Ph.D. Coordinator

401.874.4193; [jerryxia@uri.edu](mailto:jerryxia@uri.edu)

Koray Özpolat

Associate Professor  
Supply Chain Management Area Ph.D.  
Coordinator

401.874.5750; [koray@uri.edu](mailto:koray@uri.edu)

Shili Shen

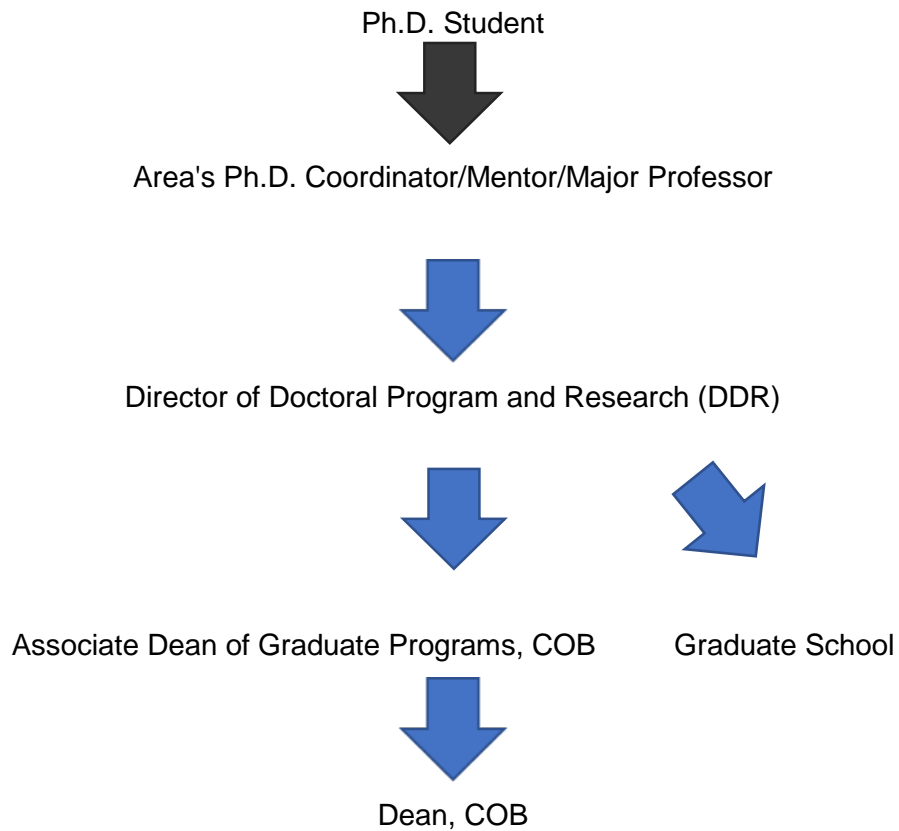
Coordinator  
Global Education, Graduate Programs, &  
PACAP Research Center

401.874.5105 – [slshen@uri.edu](mailto:slshen@uri.edu)

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**CHAIN OF COMMUNICATION**

Any academic issues related to the Ph.D. program should be communicated through the following chain; please copy the email to DDR and [slshen@uri.edu](mailto:slshen@uri.edu).



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## **PH.D. IN BUSINESS ADMINISTRATION: PROGRAM OVERVIEW**

The Ph.D. program in Business Administration at the University of Rhode Island currently offers specializations in Finance, Marketing, and Operations and Supply Chain Management. The Ph.D. program at COB is known for its close mentoring relationships as Ph.D. students work closely with professors to develop an area of specialization that will distinguish their careers in higher education or in the private and public sectors.

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## **OUR DISTINCTIVENESS**

The Ph.D. program offers a caring environment where each student benefits from easy and close interaction with the faculty from the chosen area of specialization as well as faculty from other areas in the College of Business. Academic interactions are supplemented with social relationships through events that occur in and out of the academic setting.

Classroom experience is mandatory as each Ph.D. student is expected to teach in our undergraduate and graduate programs.

A one-credit course in pedagogy (BUS601 or BUS602) prepares the student which is supplemented with actual teaching experience including total responsibility for a particular course from syllabus design to execution.

Student evaluations provide feedback and opportunities for improvement.

- During the first two years of the program, students satisfy the required coursework and develop their research ideas into major papers. The coursework consists of 10 or more advanced courses beyond the master's degree (a minimum of 30 credits). In addition, two additional one-credit courses are required—one on research in business and the other in pedagogy.
- Guided by one or more faculty members, Ph.D. students write a major field paper of very high academic quality as part of the course requirements.
- The comprehensive examination (written and oral) takes place after the formal course requirements are satisfied.
- Each student is encouraged to develop the dissertation research topic early in their career. The Doctor of Philosophy degree is awarded upon successful completion of all requirements, including a public dissertation defense.

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## **ASSISTANTSHIPS**

Competitive assistantships are available to a limited number of Ph.D. students. Applicants with their own funding (e.g. government or other scholarships) are also encouraged to apply. Each assistantship is granted for a maximum period of four years renewable subject to the satisfactory completion of program requirements each year. The assistantship offers tuition remission (up to 12 credit hours per semester that will be prorated to the percentage of the appointment) and health benefits as well as a monthly stipend for the academic year. COB assistantships require each student to complete 20 hours of work each week in the capacity of a teaching assistant.

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## **CULTURE**

The Ph.D. Program in Business Administration holds its students to high standards for professionalism. Students are expected to attend meetings and research presentations, to promptly respond to communications, and to work on research, independently as well as collaboratively with faculty and their peers, during the school year and over the summer. Ultimately, your personal experience and outcomes will depend on your own inputs. In other words, you will get out of it what you put into it.

## **INTERIM EVALUATIONS OF PERFORMANCE**

Performance evaluation of each doctoral student occurs annually at the end of the academic year. Each student is expected to demonstrate a reasonable rate of progress through course work and dissertation phases of the program as well as of teaching and assistant activities. The overall evaluation of performance and rate of progress is used as one basis for determining whether the student continues in the program and whether assistantships are renewed.

## **DOCTORAL STUDENT BEST PRACTICES**

Our most successful students demonstrate initiative in taking advantage of university and college resources by being curious, actively engaging in research, and exploring research and teaching-related issues. Interactions with faculty, Ph.D. students and researchers from multiple disciplines are key to student success. Summer periods offer excellent opportunities to concentrate on research efforts. It is in the student's interest to develop interactions with our community of scholars.

## **DOCTORAL OFFICE SPACE**

Each Ph.D. student has designated desk space in the larger shared doctoral offices. Priority is given to resident students who are regularly engaged in teaching and research activities on campus, including during the summer. Other doctoral students who have full-time appointments elsewhere or who are not present regularly on campus during the entire year - semesters and summer - will be considered on a case-by-case basis, subject to space and resource constraints. Space assignment is made by Ms. Shen, in consultation with the DDR. If you are teaching, you must keep student exams and graded materials confidential under the Family Educational Rights and Privacy Act (FERPA). They should be locked in a drawer or filed by an administrative assistant. Please be mindful that student advising and other teaching-related activities do not interfere with other students' attempts to concentrate or make progress on their own programs.

## **OFFICE SPACE ETIQUETTE**

The common space also has a shared computer, refrigerator, and microwave. These facilities are not private and you must make every effort to keep them usable and clean for all. Please bring any problems to the attention of the administration.

- Be considerate of others.
- Please do not use your shared office space for storage.
- Social visits, cell phone conversations, etc. should be conducted out of the shared offices.
- Please ensure perishable food is regularly removed.
- Please do not use the shared office as a locker or changing room.
- Please keep your desk space and surrounding area clean and free from food, trash, clothes, and clutter.



## SPECIALIZATIONS

### FINANCE

The objective of our finance Ph.D. program is to produce knowledgeable and skillful researchers who have the passion to advance our understanding of global capital markets. The finance faculty's research interests include global capital markets, asset pricing, corporate finance, risk management, financial econometrics, and computational finance, among others.

Program contact: Xiaowei Xu

Affiliated Faculty	Terminal Degree	Email
<b>Shaw K Chen</b> , Professor	Ph.D., University of Michigan	<a href="mailto:chenshaw@uri.edu">chenshaw@uri.edu</a>
<b>Gordon Dash, Jr.</b> , Professor	Ph.D., University of Colorado	<a href="mailto:ghdash@uri.edu">ghdash@uri.edu</a>
<b>Shingo Goto</b> , Assoc. Professor	Ph.D., University of California, Los Angeles	<a href="mailto:shingo_goto@uri.edu">shingo_goto@uri.edu</a>
<b>Choonsik (Chris) Lee</b> , Asst. Professor	Ph.D., State University of New York at Buffalo.	<a href="mailto:choonsiklee@uri.edu">choonsiklee@uri.edu</a>
<b>Bing-Xuan Lin</b> , Professor	Ph.D., Georgia State University	<a href="mailto:blin@uri.edu">blin@uri.edu</a>
<b>Georges Tsafack</b> , Asst. Professor	Ph.D., University of Montreal, CAN	<a href="mailto:gtsafack@uri.edu">gtsafack@uri.edu</a>
<b>Xiaowei Xu</b> , Assoc. Professor	Ph.D., University of Alberta, CAN	<a href="mailto:xiaowei@uri.edu">xiaowei@uri.edu</a>

### MARKETING

The marketing specialization provides intensive coursework on specific topics such as Consumer Behavior, Marketing Strategy and Research Methods (quantitative and qualitative designs and analysis), and flexibility for students to customize a curriculum to their research interests. Faculty research includes big data, branding, consumer culture theory, and sensory marketing.

Program contact: Feihong (Jerry) Xia

Affiliated Faculty	Terminal Degree	Email
<b>Christy Ashley</b> , Assoc. Professor	Ph.D., University of Rhode Island	<a href="mailto:ChristyAshley@uri.edu">ChristyAshley@uri.edu</a>
<b>Stephen Atlas</b> , Assoc. Professor	Ph.D., Columbia University	<a href="mailto:satlas@uri.edu">satlas@uri.edu</a>
<b>Mehdi Hossain</b> , Asst. Professor	Ph.D., University of Texas, Arlington	<a href="mailto:hossainm@uri.edu">hossainm@uri.edu</a>
<b>Lauren Labrecque</b> , Assoc. Professor	Ph.D., University of Massachusetts, Amherst	<a href="mailto:Lauren33@uri.edu">Lauren33@uri.edu</a>
<b>Hillary Leonard</b> , Assoc. Professor	Ph.D., University of Utah	<a href="mailto:hleonard@uri.edu">hleonard@uri.edu</a>
<b>Edward Mazze</b> , Professor	Ph.D., Penn. State University	<a href="mailto:emazze@uri.edu">emazze@uri.edu</a>
<b>Kevin Sample</b> , Asst. Professor	Ph.D., University of Georgia	<a href="mailto:kevinsample@uri.edu">kevinsample@uri.edu</a>
<b>Daniel Sheinin</b> , Professor	Ph.D., Columbia University	<a href="mailto:dsheinin@uri.edu">dsheinin@uri.edu</a>
<b>Feihong (Jerry) Xia</b> , Asst. Professor	Ph.D., University of Pittsburgh	<a href="mailto:jerryxia@uri.edu">jerryxia@uri.edu</a>

## OPERATIONS AND SUPPLY CHAIN MANAGEMENT

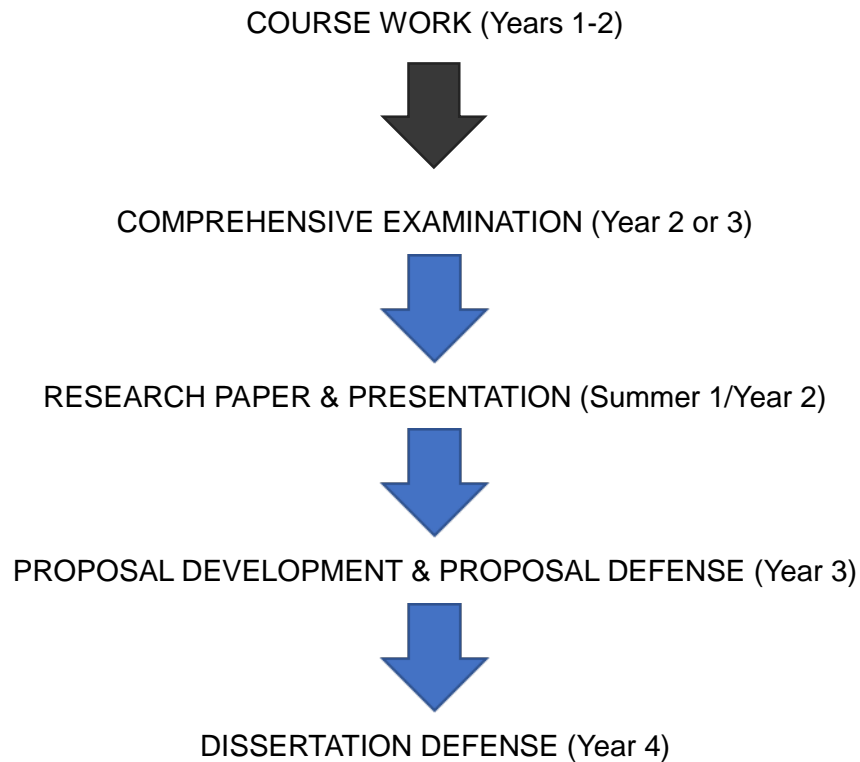
The operations and supply chain management faculty conducts research in the fields of transportation, logistics, operations and supply chain management. The doctoral program is designed to produce outstanding scholars in these fields.

Program contact: [Koray Özpolat](#)

Affiliated Faculty	Terminal Degree	Email
<b>Yuwen Chen</b> , Assoc. Professor	Ph.D., University of Florida	<a href="mailto:yuwen@uri.edu">yuwen@uri.edu</a>
<b>Maling Ebrahimpour</b> , Dean	Ph.D., University of Nebraska	<a href="mailto:mebrahimpour@uri.edu">mebrahimpour@uri.edu</a>
<b>Douglas Hales</b> , Professor	Ph.D., Clemson University	<a href="mailto:dhales@uri.edu">dhales@uri.edu</a>
<b>Mahab Kouhizadeh</b> , Asst. Professor	Ph.D., Worcester Polytechnic Institute	<a href="mailto:mkouhizadeh@uri.edu">mkouhizadeh@uri.edu</a>
<b>Koray Özpolat</b> , Assoc. Professor	Ph.D., University of Maryland	<a href="mailto:koray@uri.edu">koray@uri.edu</a>
<b>Dara Schneiderjans</b> , Assoc. Professor	Ph.D., Texas Tech University	<a href="mailto:schneiderjans@uri.edu">schneiderjans@uri.edu</a>
<b>Mehmet G. Yalcin</b> , Asst. Professor	Ph.D., University of Rhode Island	<a href="mailto:mgyalcin@uri.edu">mgyalcin@uri.edu</a>

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## PH.D. PROGRAM MILESTONES



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## PH.D. PROGRAM MILESTONE DETAILS

### QUALIFYING EXAMINATION

This examination is intended to assess a student's potential to perform satisfactorily at the doctoral level. A student without a master's degree who is accepted into a doctoral program is expected to take a qualifying examination, usually after 24-30 credits have been completed. A student who holds a master's degree in the same or a closely related field is normally not required to take the examination. If an examination is required, it will be stipulated at the time of admission.

### COMPREHENSIVE EXAMINATION

Each doctoral student will take comprehensive examinations at or near but not later than 12 months after completion of the formal courses stipulated in the Program of Study. The examination is designed to assess the student's intellectual capacity and adequacy of training for scholarly research.

The comprehensive examination consists of two parts: written and oral.

The student, with the approval of his or her program committee, applies to the Graduate School to take the examination. The oral examination committee includes the student's committee and one additional graduate faculty member nominated by the major professor in consultation with the student, and formally appointed by the Dean of the Graduate School. The student's major professor arranges for and chairs the examination. Unanimous approval by the examining committee is required for the passing of the comprehensive examination. A student whose performance fails to receive unanimous approval of either examining committee may, with the committee's recommendation and the approval of the Dean of the Graduate School, be permitted one re-examination in the part or parts failed, to be taken no sooner than 10 weeks and no later than one year after the initial examinations.

### RESEARCH PAPER

In the current program, each student has to complete at least one paper beyond the research papers required of individual courses. This paper has to be presented to the area's faculty and it is highly recommended that each student submits and presents at least one paper at major conferences. These efforts are predictive of successful career placement at the end of the Ph.D. program.

Each area determines its research paper requirements. A paper is expected to be completed and presented to faculty sometime in Year 2.

### DISSERTATION PROPOSAL AND DEFENSE

The Ph.D. Dissertation is the final evidence of independent research and scholarship. A dissertation proposal, approved by the student's dissertation committee is required.

A dissertation proposal states a problem to be investigated and describes how the research will be performed and reported. Approval signifies that it meets the standards of the University

of Rhode Island for the degree desired. Therefore, the preparation and writing of the dissertation proposal are of utmost importance.

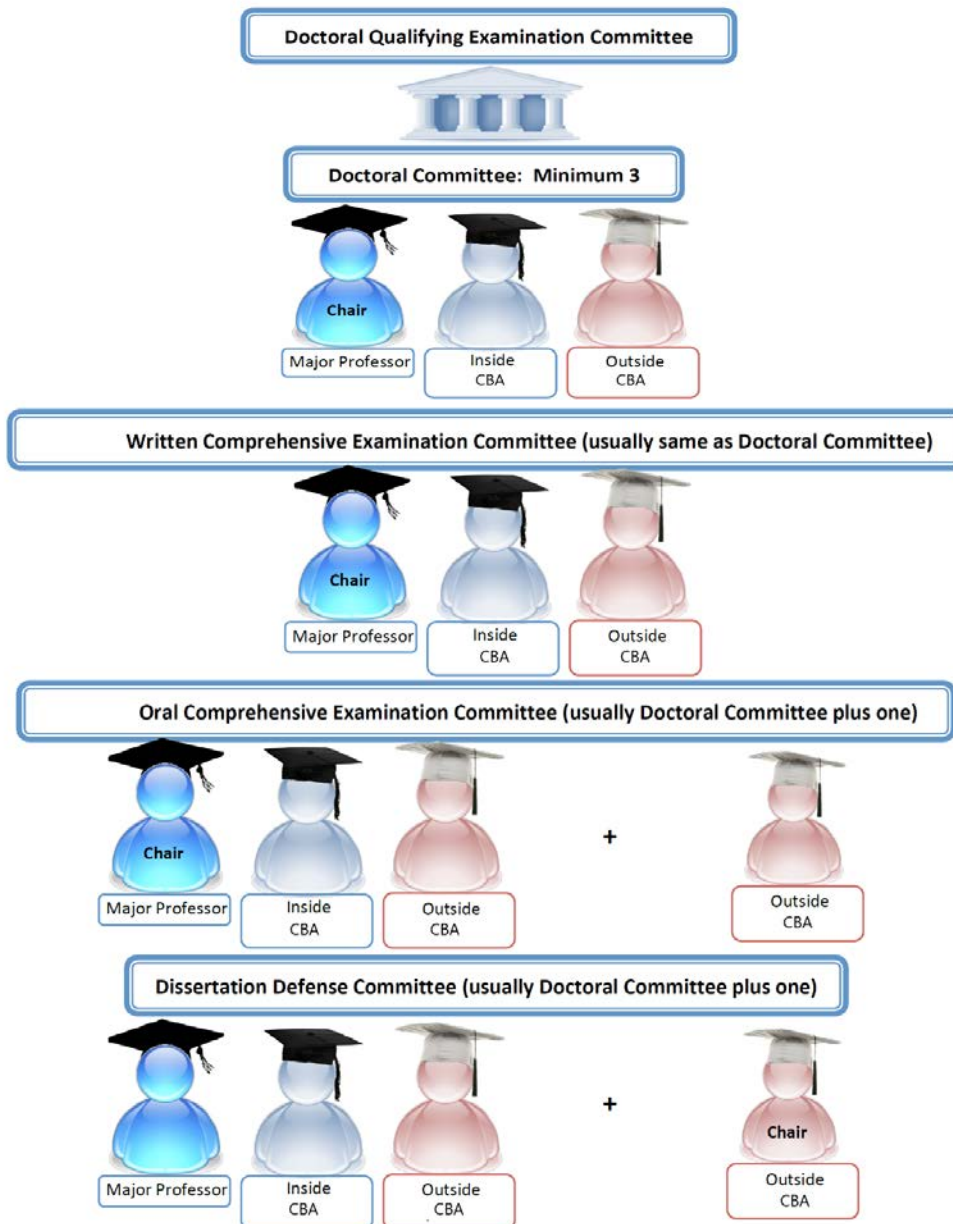
Although the student is expected to seek guidance in the choice of topic and the method of solving the problem involved, responsibility for the proposal lies with the student who will, as far as possible, work independently and demonstrate the ability to plan and outline an acceptable research project. Adherence to the guidelines given in the Thesis Proposal section of the link below should assure the student that all information necessary for the satisfactory evaluation of the plans for doctoral research will be included in the proposal. The proposal must be submitted to Graduate School in its required format. (see <http://web.uri.edu/graduate-school/proposals/> )

In addition, the College of Business requires a public presentation of the proposal to the committee. The presentation is open to other faculty and students.

### **FINAL ORAL DISSERTATION DEFENSE EXAMINATION**

This examination is a defense of the dissertation and is open to all members of the faculty and, generally, to all students. The examination, usually two hours long, is conducted by an examining committee comprised of the student's program committee and two additional graduate faculty members appointed by the Dean of the Graduate School. One of the appointed members will be designated by the Dean to chair the examination. Unanimous approval of the examining committee is required for passing. If the student does not perform satisfactorily, the committee may recommend to the Dean of the Graduate School that the student take one re-examination under stated conditions. <http://web.uri.edu/graduate-school/graduate-degree-requirements/>

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**COMMITTEE COMPOSITION**


The Written Comprehensive Exam Committee is usually the same as the Doctoral Committee. However, the composition may vary per the area's agreed practices.

CBA (College of Business Administration) is now called COB (College of Business).

## FINANCE CURRICULUM

### OVERALL EXPECTATIONS

Our goal is to develop scholars in finance who will contribute to the general field of business education in higher institutions. We prepare our students for the academic careers by providing both intensive, closely mentored research and classroom teaching experiences. At the end of their period of study, each student should be able to demonstrate not only successful research performance in terms of conference presentations and published papers but also hands-on teaching experiences in the classroom.

To be able to accomplish this goal, each student is expected to fulfill the program requirements in a timely manner. This will involve persistent effort in the classroom and outside, during the academic semesters and intervening summers. For a successful placement after completion of the program of study, each candidate must be able to provide evidence of research performance at the highest standard possible before the job interviews occur at FMA.

A GPA of 3.0 is the required minimum for maintaining student status. The Finance faculty will evaluate each student's progress in the Spring of each year and identify strengths and weaknesses. Positive evaluations by the Faculty considering overall contributions are necessary for retaining assistantship support for the successive year.

### FINANCE AREA MILESTONES IN BRIEF

TIME	REQUIREMENTS	MILESTONE
Year 1, Semesters 1-2	Course Work - 20 credits or more.	Course Completion
Summer 1	Research Paper#1	Presentation to Faculty in the Fall
Year 2, Semesters 3-4	Course Work - 12 credits 2 Classroom Teaching Assistantship Hours	Required Course Work Completed
Create Doctoral Program Committee (DPC) and submit Program of Study (POS) and Request to Schedule Written Comprehensive Examination (RWC) to Graduate School with appropriate COB approval.		
Summer 2	Written and Oral Comprehensive Examination	Successful completion leads to pre-dissertation stage
Year 3, Semesters 5-6	Dissertation Research 2 Classroom Teaching Assistantship Hours	Submission of Paper to FMA, etc. in Spring
Summer 3	Dissertation Research	Preparation of job market packages.
Year 4, Semesters 7-8	Dissertation Research 2 Classroom Teaching Assistantship Hours	Dissertation Proposal Defense and Dissertation Defense

## FINANCE COURSE DETAILS

A minimum of 80 credits are required for granting a PhD Degree in Business Administration. 30 of 80 credits transfer from the Master's degree, regardless of where it is earned. Remaining credits must be earned in residence at URI.

BUS601 and BUS602 are required of all Business Ph.D. students. The Finance program offers 4 required seminars (12 credits). An additional 20 credits are recommended by the Finance program to advance knowledge and depth in Financial Instruments and Institutions as well as Economics and Mathematics/ Statistics disciplines. The latter two disciplines exist outside COB but within URI. Choice of electives must be approved by the Mentor/Major Professor.

	<b>COURSES</b>
Year 1, Sem. 1 (12-14 credits)	BUS601 – Practicum in Business Teaching (1 credit) FIN604 – Finance Doctoral Seminar – Empirical Methods in Finance (3 credits) EEC518 – Mathematics for Economists (2 credits) (Recommended) EEC528 – Microeconomic Theory (4 credits) EEC576 – Econometrics (4 credits)
Year 1, Sem. 2 (10 credits)	BUS602 – Colloquium in Business Research (1 credit) FIN604 – Finance Doctoral Seminar – Corporate Finance (3 credits) 2 Electives from list below (6 credits)
Year 2, Sem. 1 (6 credits or more)	FIN604 – Finance Doctoral Seminar – Foundations of Asset Pricing (3 credits) 1 Elective from list below (3 credits)
Year 2, Sem. 2 (6 credits or more)	FIN604 – Finance Doctoral Seminar – Special Topics in Finance (3 credits) 1 Elective from list below (3 credits)
Years 3-4 (18 credits)	FIN699 – Independent and Dissertation Research

## RECOMMENDED LIST OF ELECTIVES

### Advanced Economics Courses

- Advanced Microeconomic Theory I, II
- Game Theory
- Advanced Econometrics
- Econometric Applications in Resource Economics

### Mathematics/Statistics Courses

- Multivariate Statistics
- Mathematical Statistics
- Probability and Stochastic Processes
- Structural Modeling
- A few other statistics courses such as Statistical Learning, Statistical Networks, etc. may be useful.

MSF Courses – especially for students who are not very familiar with financial instruments and institutions.

- Derivative Markets
- Applied Time Series Methods and Business Forecasting
- Fixed Income Analysis
- Advanced Portfolio Theory
- Advanced International Financial Management
- Security and Investment Analysis
- Advance Financial Theory
- Hedge Fund and Investment Banking
- Risk Management



## MARKETING CURRICULUM

### OVERALL EXPECTATIONS

Our goal is to develop scholars in marketing who will contribute to business education in higher institutions. We prepare our students for the academic careers by providing intensive, closely mentored research and classroom teaching experiences.

The marketing concentration aims to strengthen understanding in marketing foundations. To develop future scholars, pedagogical and research skills are incorporated into the curriculum. At the end of their period of study, each student should be able to demonstrate the ability to produce high-quality research (e.g. via conference presentations and published papers) and to create significant learning experiences in the classroom.

Each student is expected to exert persistent effort in and out of class, during the academic semesters and intervening summers, and to fulfill the program requirements in a timely manner. Students are expected to communicate clearly in English, and support and opportunities for practice are provided.

A minimum GPA of 3.0 is required to maintain student status. Marketing faculty will evaluate each student's progress after the Spring semester of each year, identify strengths and weaknesses, and provide a plan of action for the upcoming year. Positive evaluations by the Faculty are necessary to continue in the program.

### PROGRAM REQUIREMENTS

Minimum of 80 credits are required (Thirty credits are counted from a Master's degree program; Must be min. 42 at University of Rhode Island).

Students with a Master's degree from a non- Business discipline are required to take a course in Business

YEAR 1	
<b>Fall</b>	<b>10 total credits</b>
<b><u>Courses</u></b>	
<ul style="list-style-type: none"> <li>● BUS 601: Practicum in Business Teaching (1 credit)</li> <li>● MKT 610: Seminar in Marketing (3 credits)</li> <li>● MKT 612: Knowledge Systems in Managerial Disciplines (3 credits) <i>or alternative</i></li> <li>● STAT/PSY 532: Experimental Design (3 credits)</li> </ul>	
<b>Spring</b>	<b>20 total credits</b>
<b><u>Courses</u></b>	
<ul style="list-style-type: none"> <li>● BUS 602: Doctoral Colloquium in Business Research (1 credit)</li> <li>● MKT 611: Doctoral Research Seminar (3 credits)</li> <li>● STAT/PSY 533: Advanced Quantitative Methods in Psychology (3 credits)</li> <li>● Elective (see list of potential courses) (3 credits)</li> </ul>	

<b>YEAR 2</b>	
<b>Fall</b>	<b>26 total credits (or more)</b>
<b><u>Courses</u></b>	
<ul style="list-style-type: none"> <li>• MKT 610: Seminar in Marketing (3 credits)</li> <li>• Elective/Independent study (3 or 6 credits)</li> </ul>	
<b>Spring</b>	<b>32 total credits (or more)</b>
<b><u>Courses</u></b>	
<ul style="list-style-type: none"> <li>• Elective (3 credits)</li> <li>• Elective/Independent study (3 or 6 credits)</li> </ul>	
<b>YEAR 3 &amp; 4</b>	
<b>Fall &amp; Spring</b>	<b>50 total credits or more</b>
<b><u>Courses</u></b>	
<ul style="list-style-type: none"> <li>• MKT 699: Doctoral Dissertation Research (9 + 9 credits)</li> </ul>	

MKT610 Seminars (3 credits each): Marketing Strategy, Consumer Behavior

Notes: Students who are not on Graduate Assistantships should enroll in a minimum of 9 credits in each semester to maintain a full-time student status..

**Required:** One paper (approved by chair and representatives chosen by the chair)

**Required:** Written and Oral Comprehensive Exam (scheduled by the chair, following submission of a program of study and selection of a committee, approved by the committee)

## POTENTIAL NON-MARKETING PH.D. ELECTIVES

This list is partial and for informative purposes only. Students must consult with their advisor/major professor in order to choose appropriate courses. Please note that research method courses can, and often are, used as elective courses.

### Research Methods

COM 502 Communications Methods	PSY 610 (STA 610) Parsimony Methods
EDC 530 Qualitative Research and Evaluation	PSY 611 Methods of Psychological Research and Experimental Design
ISE 533 Advanced Statistical Methods for Research and Industry	PSY 612 Structural Modeling
ISE 634 Design and Analysis of Industrial Experiment	PSY 613 Qualitative Research and Analysis in Psychology
MTH 550 Probability and Stochastic Processes	STA 501 Analysis of Variance and Variance Components
MTH 551 Mathematical Statistics	STA 502 Applied Regression Analysis
PSY 517 (STA 517) Small N Designs	STA 520 Fundamentals of Sampling and Applications
PSY 532 (STA 532) Experimental Design	STA 541 Multivariate Statistical Methods
PSY 533 Advanced Quantitative Methods in Psychology	STA 542 Discrete Multivariate Methods

### Non-methods

COM 501 Communication Theory	PSY 604 Cognitive Psychology
COM 510 Interpersonal Communications	PSY 605 Personality
COM 520 Media Studies	PSY 606 Social Psychology
PSY 600 Multicultural Issues in Psychology	

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**SUPPLY CHAIN MANAGEMENT CURRICULUM**
**TYPICAL PROGRAM TIMELINE**

<b>Year 1</b>		
<b>Fall</b>	<b>Spring</b>	<b>Summer</b>
PSY 532: Experimental Design (Univariate Statistics ) (3 credits)	PSY 533 Advanced Quantitative Methods in Psychology (Multivariate Statistics) (3 credits)	Qualifier
SCA 609-001: SCM Topics and Methods (3 credits)	SCA 609-003: Empirical Research in SCM (3 credits)	1st-year paper due Sep 1
SCA 609-002: Analytical Modeling in SCM (3 credits)	SCA 609-004: SC Information Systems (3 credits)	
BUS 601: Practicum in Business Teaching (1 credit)	BUS 602: Doctoral Colloquium in Business Research (1 credit)	
<b>Total: 11 credits</b>	<b>Total: 10 credits</b>	
<b>Year 2</b>		
<b>Fall</b>	<b>Spring</b>	<b>Summer</b>
ECN 576: Econometrics (4 credits) (cross-listed as STA 576)	Research Methodology / Elective	Comprehensive exam
SCA 609-005: Engaged Scholarship & SCM (3 credits)	Research Methodology / Elective	2nd year paper due
<b>Total: 6 credits or more</b>	<b>Total: 6 credits or more</b>	
Notes: Students who are not on Graduate Assistantships should enroll in a minimum of 9 credits in each semester to maintain a full-time student status.		

**SUGGESTED ELECTIVES**

MTH 550	Probability and Stochastic Processes	EEC 570	Experimental Economics
PSY 612	Structural Modeling	PSY 690	Mediation Modeling
CSC 525	Simulation	ELS 512/612	Oral Communication skills for international teaching assistants (only for international students)
STA 502	Applied Regression Analysis	STA 520	Fundamentals of Sampling and Applications
STA 501	Analysis of Variance and Variance Components	STA 541	Multivariate Statistical Methods
EEC 528	Microeconomic Theory	STA 542	Discrete Multivariate Methods
EEC 676	Advanced Econometrics		
REN 529	Game Theory		
PSY 612	Structural Modeling		

## SCM DOCTORAL PROGRAM MILESTONES

Monitoring student progress and detecting students who are unlikely to write a dissertation (either not motivated or not qualified) as early as possible is very important to minimize waste of both faculty and student time. Therefore, we recommend the 2 following milestones, one being only given to students with low GPAs in their major seminars:

**1) Qualifier exam (in early June of the 1st year):** Students who have a GPA below 3.50 in their first year's SCM seminars will be required to take this exam.

- The examination will be conducted by a committee of three or more members of the SCM faculty.
- Those required to take the qualifier will be informed by the SCM doctoral program coordinator no later than May 15 and will be given a minimum of 3 weeks to prepare.
- Students who fail the qualifier will not receive graduate assistantships in the remaining years of their doctoral studies.
- There is no make-up for the qualifier exam

**2) First and second-year field papers.** Papers are deemed “complete” if accepted by a conference or student’s dissertation core committee.

**3) Comprehensive exam (after completing major seminars in SCM):** The comprehensive exam will include questions from all 5 major SCM seminars (BUS 609: Sections 001-005). It will have both a written and an oral component. Taking the exam early, students will have the summertime to start working on their dissertation.

- COB's comprehensive exam guidelines will apply.

**4) Dissertation proposal (in the summer of 3rd year)**

**5) Dissertation defense (in the spring/summer of the 4th year)**

## PROCEDURES TO BE FOLLOWED

### Program of Study ([POS Form](#))

<i>Signed By</i> Major Professor or Area Ph.D. Program Coordinator DDR	<i>Due Date</i> Full time: End of the third semester. Part time: End of the fourth semester.
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### Establishment of a Graduate Program Committee ([DPC Form](#))

<i>Committee/Signed By</i> Doctoral Committee*: Major, inside, outside DDR  <i>*Three members, four if co-major advisors</i>	<i>Due Date</i> We recommend the students form their committees as early as possible, ideally by the end of the fourth semester.
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### Request to Schedule/Submit Results of Written Doctoral Comprehensive Exam ([RWC Form](#))

<i>Committee/Signed By</i> Doctoral Committee DDR	<i>Due Date</i> Chair of examining committee shall promptly report results. The major professor or the examination committee chair is responsible for initiating the online result form so that all committee members and DDR can sign the form.
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### Request to Schedule/Submit Results of Oral Doctoral Comprehensive Exam ([RWE Form](#))

<i>Committee/Signed By</i> Doctoral Committee DDR One additional member	<i>Due Date</i> Normally within four weeks of successful completion of the written exam.  <i>The major professor or the examination committee chair is responsible for initiating the online result form so that all committee members and DDR can sign the form.</i>
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### Dissertation Proposal ([Dissertation Proposal Approval Form](#))

<i>Committee/Signed By</i> Doctoral Committee DDR IRB Approval (if needed)	<i>Due Date</i> Must be submitted at least six months before the final defense.  Successful defense of Dissertation Proposal is required for an ABD status. The ABD status needs to be requested separately from the Graduate School.
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**Request to Schedule/Submit Results of Oral Defense of a Dissertation**  
 ([Request to Schedule an Oral Defense of a Doctoral Dissertation](#))

<p><i>Committee/Signed By</i></p> <p>Doctoral Committee (Outside Member) DDR</p>	<p><i>Due Date</i></p> <p>Dissertation submitted at least 20 days before the date of the oral defense.</p> <p>Defended dissertations submitted before the end of graduating semester. The Graduate School posts specific deadlines in each semester.</p>
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**Nomination for Graduation**

<p><i>Committee/Signed By</i></p> <p>Major Professor DDR</p>	<p><i>Due Date</i></p> <p>Before graduation. If the student does not graduate by the expected date, this form will automatically be forwarded to the next semester.</p>
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## RESOURCES FOR GRADUATE STUDENTS

<http://web.uri.edu/graduate-school/resources/>

Resources are available to graduate students at several University offices. The offices and organizations included below do not make up a complete or exhaustive list, but do offer services that many graduate students find to be useful. Services include:

- Professional development and career advising services
- Disability Services
- Diversity

## IMPORTANT LINKS

[Graduate School Manual](#) – if you find the process confusing, and you do, this is the place to start! This explains everything. Especially look at [Section 7, Degree Requirements](#). <https://web.uri.edu/graduate-manual/>

[Graduate Degree Requirements](#) - <http://web.uri.edu/graduate-school/graduate-degree-requirements/>

[Graduate School Forms](#) - <http://web.uri.edu/graduate-school/forms/>

[Guidelines for the format of dissertations](#) - <http://web.uri.edu/graduate-school/formatting/>

[Resources for Graduate Students](#) - <http://web.uri.edu/graduate-school/resources/>

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## ADDITIONAL RESOURCES

### GRADUATE STUDENT ASSOCIATION

The Graduate Student Association (GSA) is a government body maintained by and for the graduate students of the University with the purpose of enhancing the academic, intellectual, and social opportunities of its members. We encourage you to get involved!

### GRADUATE ASSISTANTS UNITED

Graduate Assistants United (GAU) is the legally recognized bargaining unit for the approximately 600 graduate research and teaching assistants at the University of Rhode Island. GAU is a democratically run organization with the ability to bargain for benefits for all graduate assistants at the University and to protect employment rights as defined by their contractual agreement.

### BEARRS

Business, Economics and Resources Research Society (**BEARRS**) is a student-led organization within COB to advance student interests in their academic pursuits and to foster a community of scholars by organizing formal and informal gatherings.

### HOUSING

Graduate housing is available on campus as well as off-campus. Be sure to look through the Housing and Residential Life website and contact HRL with any questions or concerns.



## OFFICE OF INTERNATIONAL STUDENTS AND SCHOLARS

Students joining us from abroad will need to be in contact with the University Office of International Students and Scholars as this office will be helping you with items such as the I-20. They also have other information geared specifically towards international students.

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### WRITING RESOURCES

Writing is an important aspect of the research process. URI's Writing Across the Curriculum initiative provides resources and support to strengthen writing skills.

<https://web.uri.edu/writingacrossuri/>

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### FINANCIAL SUPPORT

Additional financial support is available from a variety of agencies and sources both within the University as well as externally. Some of these sources are listed selectively below. Please make every effort to search and find sources that match your research interests.

### UNIVERSITY'S INTERNAL SOURCES OF FUNDING

The University offers several different teaching assistantships, scholarships, and awards, and fellowships. The descriptions may be found on the University Website.

<http://web.uri.edu/graduate-school/financial-support/>

Internal sources of funding include graduate fellowships, teaching assistantships, and research assistantships. Funds are awarded annually on a competitive basis. Students seeking internal funding for the 2020-2021 school year should plan to apply by mid-February, 2020. The completed application includes the Funding Request Form, two letters of recommendation, and a personal statement describing the progress made so far in the program, current research goals, and ultimate research and professional goals. If you are seeking funding assistance, it is recommended that you plan to request letters of recommendation from 2 faculty members familiar with your work by late December/early January and complete your personal statement by January.

Students can apply for [Enhancement of Graduate Research Awards](#) at the university level (subject to availability) as well as for conference travel awards through the Graduate School.

### COB'S INTERNAL SOURCES OF FUNDING

#### Conference Travel Awards

In its mission to help Ph.D. students conduct and present their research to external audiences, the College supports research presentations at major disciplinary conferences. Annual awards for travel and conference registration are available. Applications approved by the major professor and DDR are required.

#### Teaching & Research Excellence Awards

Excellence Awards are available for teaching and research at the [university](#) and [college](#) level and come with a monetary prize.

## EXTERNAL SOURCES OF FUNDING

Numerous grants and fellowships for graduate education are available through external agencies. Graduate students are encouraged to apply to these opportunities, as preparing an application both clarifies the design of your research and exposes you to valuable feedback, and receiving such an award facilitates your research while building your CV.

Katie McGwin, Senior Grant Writer in the Office of Corporate & Foundation Relations, and Dr. Karen Markin, Director of Research Development, are helpful resources in your pursuit of grants.

### **External sources for Finance**

The [AEASWeb](#) provides a list of funding sources to support Doctoral Studies.

The [American Finance Association](#) provides travel grants for Doctoral students.

[Center for Finance and Policy](#) (MIT) Dissertation Award

### **External sources for Marketing**

#### **MSI**

The Marketing Science Institute ([MSI](#)) “funds high-quality research that deals with [topics of importance](#) to member companies.”

MSI’s [Alden G. Clayton Dissertation Proposal Competition](#) is “open to qualified doctoral students worldwide who are working on research questions with important marketing, societal, and policy implications... MSI will grant up to five awards, and each winner will receive an unrestricted cash prize of \$5,000. Honorable mention awards may also be granted.”

#### **Valuing Diversity Ph.D. Scholarships**

The [American Marketing Association Foundation](#) offers scholarship funds for people from populations that are underrepresented in the marketing profession.

#### **Other Grants and Awards**

- The [Association for Consumer Research](#) (ACR)/Sheth Foundation offers Dissertation Grants for topics related to Cross-Cultural and Public Purpose Consumer Research.
- The ACR also offers research funding for Transformative Consumer Research ([TCR](#)) projects.
- The [Liam Glynn Research Scholarship Award](#) is sponsored by ASU’s Center for Service Leadership and supports conference travel to SERVSIG.
- Penn State’s Institute for the Study of Business Markets has an annual [Doctoral Support Award competition](#).
- Marketing Edge selects the best dissertation proposals in the areas of Direct and Interactive Marketing to receive financial awards via the [Shankar-Spiegel](#) Award process.
- The [International Dissertation Research Fellowship](#) provides support for dissertation research that advances knowledge about non-U.S. cultures and societies.
- The [Academy of International Business](#) offers an award to help fund dissertation research each year.

- The Academy of Marketing Science and Mary Kay Inc. sponsor a [Dissertation Proposal Competition](#) each year.
- The Society for Marketing Advances sponsors the Annual [SMA Doctoral Dissertation Proposal Award](#) each year.
- The [Society for Consumer Psychology](#) sponsors the Annual [Dissertation Proposal Competition](#).
- [INFORMS](#) offers several awards to Doctoral Students.
- The [Ph.D. Project](#) has a list of [funding resources](#) for African-Americans, Hispanic-Americans, and Native Americans.
- The [American Marketing Association](#) also maintains a list of organizations that fund marketing research.
- The [American Association of University Women](#) offers scholarships and awards.

See [DocSIG](#) and ELMAR for information about conference scholarships, grants, and competitive conference travel awards from external sources.

### **External sources for Supply Chain**

a) Project Management Institute (PMI) Research Grants (i.e. thesis research program)

<https://www.pmi.org/learning/academic-research/sponsored>

b) Institute for Supply Management (ISM) Grants

<https://www.instituteforsupplymanagement.org/education/content.cfm?ItemNumber=21019&SO=1>

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## **DOCTORAL CONSORTIUMS**

Doctoral Consortiums allow Ph.D. students to interact with eminent scholars in their fields. Consortiums usually have interactive sessions and networking opportunities that are designed to enhance student research and prepare students for careers as scholars.

### **FINANCE DOCTORAL CONSORTIUMS**

[Financial Management Association](#) Doctoral Consortium

[Wharton Innovation Doctoral Symposium](#) (Inter-disciplinary)

### **MARKETING DOCTORAL CONSORTIUMS**

[AMA-Sheth Foundation Doctoral Consortium](#) (post-proposal, one student nominated by the area)

[INFORMS Society for Marketing Science](#) Doctoral Consortium

[Society for Marketing Advances Doctoral Consortium](#)

[Academy of Marketing Science](#) Doctoral Consortium (post-proposal, one student nominated by the area)

[AMA Entrepreneurial Marketing SIG Doctoral Consortium](#)

AMA SERVSIG Doctoral Consortium (at [Frontiers in Service](#))

### **SUPPLY CHAIN DOCTORAL CONSORTIUMS**

a) Council of Supply Chain Management Professionals (CSCMP) - pre and post-proposal combined

[http://cscmp.org/CSCMP/Attend/Annual\\_Conference/Academic\\_Research\\_Symposium/Doctoral\\_Symposium\\_Nomination\\_Criteria.aspx](http://cscmp.org/CSCMP/Attend/Annual_Conference/Academic_Research_Symposium/Doctoral_Symposium_Nomination_Criteria.aspx)

b) Decision Sciences Institute (DSI) - pre and post-proposal separate

<http://dsi-annualmeeting.org/pre-proposal-phd-student-consortium/>

c) Production and Operations Management (POMS) - pre and post-proposal combined

[http://www.poms.org/doctoral\\_colloquium/](http://www.poms.org/doctoral_colloquium/)

d) Academy of Management (AoM) - joint with junior faculty

<http://oscm.aom.org/doctoral-junior-faculty-consortium/>