

UNIVERSITY OF RHODE ISLAND
(a Component Unit of the State of Rhode Island)

**INDEPENDENT AUDITORS' REPORTS AS REQUIRED
BY THE UNIFORM GUIDANCE AND *GOVERNMENT*
AUDITING STANDARDS AND RELATED
INFORMATION**

JUNE 30, 2021

UNIVERSITY OF RHODE ISLAND
(a Component Unit of the State of Rhode Island)

**Independent Auditors' Reports as Required by the Uniform Guidance and
Government Auditing Standards and Related Information**

June 30, 2021

C O N T E N T S

Independent Auditors' Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on the Schedule of Expenditures of Federal Awards Required by the Uniform Guidance	1-3
Independent Auditors' Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with <i>Government Auditing Standards</i>	4-5
Schedule of Expenditures of Federal Awards	6-14
Notes to the Schedule of Expenditures of Federal Awards	15-16
Schedule of Findings and Questioned Costs	17-20
Required Auditee Information:	
Management's Summary Schedule of Prior Audit Finding	21



INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE

The Board of Trustees of
The University of Rhode Island
Kingston, Rhode Island

Report on Compliance for Each Major Federal Program

We have audited University of Rhode Island's (the "University") compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2021. The University's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (the "Uniform Guidance"). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

Opinion on Each Major Federal Program

In our opinion, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2021.

Report on Internal Control over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

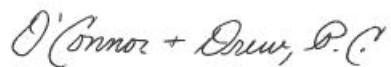
A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses; however, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Schedule of Expenditures of Federal Awards

We have audited the financial statements of the University, as of and for the year ended June 30, 2021. We issued our report thereon dated November 5, 2021, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance. Such information is the responsibility of management and was derived from, and relates directly to, the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.



Certified Public Accountants
Braintree, Massachusetts

February 10, 2022

(except for the Schedule of Expenditures of Federal Awards, for which the date is November 5, 2021)



INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

The Board of Trustees of
The University of Rhode Island
Kingston, Rhode Island

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the University of Rhode Island (the "University"), which comprise the statement of net position as of June 30, 2021, the related statements of revenues and expenses and changes in net position and cash flow for the year then ended, and the related notes to the financial statements, which collectively comprise the University's basic financial statements, and have issued our report thereon dated November 5, 2021. Our report includes a reference to other auditors who audited the financial statements of The University of Rhode Island Foundation & Alumni Engagement and the University of Rhode Island Research Foundation, Inc. as described in our report on the University's financial statements. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University of Rhode Island's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

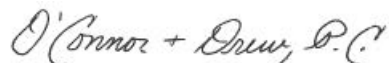
Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University of Rhode Island's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



**Certified Public Accountants
Braintree, Massachusetts**

November 5, 2021

**SCHEDULE OF EXPENDITURES
OF FEDERAL AWARDS**

UNIVERSITY OF RHODE ISLAND

(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

STUDENT FINANCIAL ASSISTANCE CLUSTER	Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Passed Through to Subrecipients
U.S. Department of Education:							
Direct Awards:							
Federal Supplemental Educational Opportunity Grant	84.007				\$ 1,581,077	\$ 1,581,077	\$ -
Federal Work-Study Program	84.033				892,207	892,207	-
Federal Perkins Loan Program (beginning of year)	84.038				4,740,472	-	-
Federal Perkins Loan Program (current year expenditures)	84.038				-	4,740,472	-
Federal Pell Grant Program	84.063				17,088,679	17,088,679	-
Federal Direct Student Loans	84.268				76,128,933	76,128,933	-
Teacher Education Assistance for College and Higher Education (TEACH) Grants	84.379				12,357	12,357	-
					<u>100,443,725</u>	<u>100,443,725</u>	-
U.S. Department of Health and Human Services:							
Direct Awards:							
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Student (beginning of year)	93.342				2,480,586		-
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Student (current year expenditures)	93.342				148,000	2,628,586	-
Nursing Student Loans (beginning of year)	93.364				2,059,060		-
Nursing Student Loans (current year expenditures)	93.364				350,000	2,409,060	-
					<u>5,037,646</u>	<u>5,037,646</u>	-
Total Student Financial Assistance Cluster					<u>105,481,371</u>	<u>105,481,371</u>	-
RESEARCH AND DEVELOPMENT CLUSTER							
U.S. Department of Agriculture:							
Direct Awards:							
Agricultural Research-Basic and Applied Research	10.001				110,918	110,918	-
Plant and Animal Disease, Pest Control, and Animal Care	10.025				87,393	87,393	-
Specialty Crop Block Grant Program- Farm Bill	10.170				83,286	83,286	11,383
Acer Access Development Program	10.174				170,143	170,143	-
Grants for Agricultural Research, Special Research Grants	10.200				71,021	71,021	-
Payments to Agriculture Experiment Stations Under the Hatch Act	10.203				1,363,946	1,363,946	-
Animal Health And Disease Research	10.207				11,580	11,580	-
Higher Education - Multicultural Scholars Grant Program	10.220				32,500	32,500	-
Specialty Crop Research Initiative	10.309				9,732	9,732	-
Agriculture and Food Research Initiative (AFRI)	10.310				684,448	684,448	40,559
Crop Protection and Pest Management Competitive Grant Program	10.329				125,975	125,975	-
Cooperative Extension Service	10.500				1,255,148	1,255,148	-
Expanded Food and Nutrition Education Program	10.514				279,439	279,439	-
Renewable Resources Extension Act and National Focus Fund Projects	10.515				40,590	40,590	-
Soil and Water Conservation	10.902				23,992	23,992	10,899
Soil Survey	10.903				6,675	6,675	-
Environmental Quality Incentives Program	10.912				105,736	105,736	11,141
Technical Agricultural Assistance	10.960				104,153	104,153	-
Pass-through Awards:							
Developing Risk Management and Marketing Plans for Shellfish Growers, Women, and Beginning Farmers in Rhode Island and Massachusetts	10.RD	57326		National Crop Insurance Services	57326	14,763	-
Promotion of Saffron as an Extremely High Value Crop for Rhode Island Agriculture	10.RD	3664284		Rhode Island Department of Environment Management	3664284	26,268	-
Forestry Support to National Resources Conservation Service	10.RD	RIRCD McWilliams 100219		Rhode Island Resource Conservation and Development	RIRCD McWilliams 100219	120,627	161,658
Biological Control of Swallow-Wort (Vincetoxicum spp.) in Connecticut	10.025	418586		University of Connecticut STORRS	418586	2,668	2,668
Grants for Agricultural Research, Special Research Grants	10.200			University of Maryland	91285-2511204	20,534	-
Grants for Agricultural Research, Special Research Grants	10.200			University of Maryland	91289-25111208	26,239	46,773
Sustainable Agriculture Research and Education	10.215			University of Vermont	GNE19-192-33243	5,082	-
Sustainable Agriculture Research and Education	10.215			University of Vermont	SNE19-12-34268	4,240	-
Sustainable Agriculture Research and Education	10.215			University of Vermont	SNE20-012-RI-34268	12,869	-
Sustainable Agriculture Research and Education	10.215			University of Vermont	LNE19-381-33243	50,428	72,618
Integrated Programs	10.303			West Virginia University	18-726-URI	24,270	24,270

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF RHODE ISLAND

(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2021

RESEARCH AND DEVELOPMENT CLUSTER - CONTINUED	Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Passed Through to Subrecipients
U.S. Department of Agriculture - Continued:							
Pass-through Awards - Continued:							
Homeland Security Agricultural	10.304		Cornell University	80289-10775	\$ 4,367	\$ -	\$ -
Homeland Security Agricultural	10.304		Michigan State University	RC106556AF	21,671	26,038	-
Specialty Crop Research Initiative	10.309		Pennsylvania State University	S000323-USDA	51,216	51,216	-
Agriculture and Food Research Initiative (AFRI)	10.310		University of Washington	UWSC10287	30,897	-	-
Agriculture and Food Research Initiative (AFRI)	10.310		University of Connecticut STORRS	420026	6,833	-	-
Agriculture and Food Research Initiative (AFRI)	10.310		University of Massachusetts Medical School	OSP18346-02	17,726	55,456	-
National Food Safety Training, Education, Extension, Outreach, and Technical Assistance, Competitive Grants	10.328		University of Massachusetts, Amherst	18 10161A00	7,116	7,116	-
Cooperative Extension Service	10.500		Auburn University	Putnam_UnivAuburn	(179)	(179)	-
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561		Rhode Island Department of Human Services	3593369	1,165,247	1,165,247	-
Cooperative Forestry Assistance	10.664		Rhode Island Department of Environment Management	3702896	21,082	21,082	-
Regional Conservation Partnership Program	10.930		Connecticut Council on Soil & Water Conservation	08-URI-SH	12,137	12,137	-
Total U.S. Department of Agriculture					6,212,776	6,212,775	74,165
U.S. Department of Commerce:							
Direct Awards:							
Ocean Exploration	11.011				428,233	428,233	2,860
Sea Grant Support	11.417				2,663,003	2,663,003	272,302
Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program	11.427				118,465	118,465	16,358
Climate and Atmospheric Research	11.431				56,284	56,284	-
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432				7,278,343	7,278,343	6,561,665
Marine Fisheries Initiative	11.433				95,770	95,770	31,741
Unallied Management Projects	11.454				788	788	-
Center for Sponsored Coastal Ocean Research Coastal Ocean Program	11.478				43,441	43,441	4,031
Manufacturing Extension Partnership	11.611				46,821	46,821	-
Pass-through Awards:							
Unmanned Aerial Systems for characterization of Dune Morphology	11.RD	NOAA-2	The Nature Conservancy	NOAA-2	3,070	-	-
Fish Population Dynamics	11.RD	18.24	New England Fishery Management Council	18.24	8,390	-	-
United States East Coast Tsunami Hazard Mitigation Program	11.RD	NA20NWS4670061-SURI	Northeast States Emergency Consortium	NA20NWS4670061-SURI	40,098	-	-
OECI-OET-ISC Education/Outreach Video Production/Broadcasting	11.RD		Ocean Exploration Trust	2021-02	20,000	71,557	-
Integrated Ocean Observing Systems (IOOS)	11.012		Northeastern Regional Association of Coastal Ocean Observing Systems	A008-003	62,516	-	-
Integrated Ocean Observing Systems (IOOS)	11.012		Rutgers University	731601	14,614	77,130	-
Sea Grant Support	11.417		University of Connecticut STORRS	36463	32,155	-	-
Sea Grant Support	11.417		University of Connecticut STORRS	368948	90,389	122,544	-
Coastal Zone Management Estuarine Research Reserves	11.420		Rhode Island Department of Environmental Management	NA17NOS4200117	830	830	-
Telepresence - Enabled Exploration of Three National Marine Sanctuaries	11.429		Ocean Exploration Trust	2020-131	62,500	62,500	-
Climate and Atmospheric Research	11.431		Rhode Island Natural History Survey	2019-1	26,396	26,396	-
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432		Woods Hole Oceanographic Institution	A101446	1,236	1,236	-
Meteorologic and Hydrologic Modernization Development	11.467		Northeast States Emergency Consortium	NA19NWS4670011-SUD	41,258	41,258	-
Office for Coastal Management	11.473		National Fish and Wildlife Foundation	0318.20.069217	31,315	31,315	-
Atlantic Coastal Fisheries Cooperative Management Act	11.474		Rutgers University	1194043	64,065	64,065	-
Measurement and Engineering Research and Standards	11.609		Enfield Department	Enfield_Fire_Parent	31,087	31,087	-
Total U.S. Department of Commerce					11,261,067	11,261,066	6,888,957
U.S. Department of Defense:							
Direct Awards:							
Basic and Applied Scientific Research	12.300				3,146,087	3,146,087	43,256
Scientific Research - Combating Weapons of Mass Destruction	12.351				138	138	-
Basic Scientific Research	12.431				213,456	213,456	12,457
The Language Flagship Grants to Institutions of Higher Education	12.550				476,468	476,468	-
Basic, Applied, and Advanced Research in Science and Engineering	12.630				158,823	158,823	1,048
Air Force Defense Research Sciences Program	12.800				381,182	381,182	-

UNIVERSITY OF RHODE ISLAND

(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2021

RESEARCH AND DEVELOPMENT CLUSTER - CONTINUED	Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Passed Through to Subrecipients
U.S. Department of Defense - Continued:							
Pass-through Awards:							
National Institute for Undersea Vehicle Technology - Applied Computational Modeling	12.RD	347129	University of Connecticut	347129	\$ 145,912	\$ -	\$ -
CNTS IDIQ for Clinical Research	12.RD	15700-0040/001	Cherokee Nation Technology Solutions	15700-0040/001	50,359	196,271	-
Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation	12.003		City of Newport, Rhode Island	1	159,233	159,233	-
Basic and Applied Scientific Research	12.300		Creare LLC	103289	123,714	-	-
Basic and Applied Scientific Research	12.300		Electro Standards Laboratories	FSElectro12019	487	-	-
Basic and Applied Scientific Research	12.300		McLaughlin Research Corporation	PO-20-0902	30,932	-	-
Basic and Applied Scientific Research	12.300		McLaughlin Research Corporation	PO-19-0854	9,888	-	-
Basic and Applied Scientific Research	12.300		Naval Undersea Warfare Center	N00174-19-1-0005	81,079	-	-
Basic and Applied Scientific Research	12.300		Undersea Technology Innovation Consortium	2018-475	-	-	-
Basic and Applied Scientific Research	12.300		TelAztec	N00178-17-C-1323	15,214	-	-
Basic and Applied Scientific Research	12.300		Goetz Composites	NVX-20-URI-1	22,886	-	-
Basic and Applied Scientific Research	12.300		Navatek	00-K493-900000	231,940	-	-
Basic and Applied Scientific Research	12.300		Rhode Island Department Environmental Management	3684199	89,629	-	-
Basic and Applied Scientific Research	12.300		Physical Science, Inc.	SC-8030-001	116,187	-	-
Basic and Applied Scientific Research	12.300		University of Massachusetts, Dartmouth	32953-VC-RD-110892	6,148	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	165273	106,237	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347117	65,853	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347119	2,664	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347120	7,793	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347122	318	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347123	102,114	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347124	30,942	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347127	24,108	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347128	106,032	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347130	8,881	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	347131	2,434	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386360	25,065	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386364	10,833	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386368	29,801	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386373	92,567	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386378	49,939	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386380	41,710	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386381	107,072	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386382	87,779	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	386388	572,365	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	388683	22,121	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	388687	43,339	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	389994	35,572	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	390719	30,909	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	405429	90,146	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	405447	41,601	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419210	92,197	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419212	13,542	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419214	26,168	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419215	2,983	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419221	51,701	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	419224	73,386	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422919	18,290	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422920	12,352	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422921	20,890	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422924	12,786	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422925	5,163	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422926	3,594	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422927	8,999	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422928	33,845	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422929	26,617	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422930	21,015	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422931	24,717	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	422932	2,406	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	423318	31,147	-	-
Basic and Applied Scientific Research	12.300		University of Connecticut STORRS	426742	26,199	2,974,296	-

UNIVERSITY OF RHODE ISLAND

(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2021

RESEARCH AND DEVELOPMENT CLUSTER - CONTINUED	Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Passed Through to Subrecipients
U.S. Department of Defense - Continued:							
Pass-through Awards - Continued:							
Scientific Research - Combating Weapons of Mass Destruction	12.351		Northeastern University	505201-78050	\$ 180,118	\$ -	\$ -
Scientific Research - Combating Weapons of Mass Destruction	12.351		Northeastern University	505187-78050	119,379	299,497	-
Military Medical Research and Development	12.420		The Research Foundation for SUNY	1156130-85693	29,515	29,515	-
The Language Flagship Grants to Institutions of Higher Education	12.550		Institute for International Education	IIE_Hc_03.31.21	23,110	23,110	-
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Harvard University	124152-5105177	100,749	100,749	-
Air Force Defense Research Sciences Program	12.800		Electro Standards Laboratories	FSElectro12017	16,214	-	-
Air Force Defense Research Sciences Program	12.800		Strategic Environment Research and Development Program	W912HQ18C0057	-	16,214	-
Total U.S. Department of Defense					8,175,039	8,175,039	56,761
U.S. Department of Housing and Urban Development:							
Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR)	14.269		RI Office of Housing & Comm. Development	13/URI/DR-01	69,959	69,959	-
Total U.S. Department of Housing and Urban Development					69,959	69,959	-
Direct Awards:							
BPA to Cover Overhead Costs of USGA Field Station at URI	15.RD	G17PA00033			244	-	-
Electromagnetic Field Impacts on American Eel Migration from Direct Current Cables	15.RD	140M0118C0001			140,047	140,291	33,326
Bureau of Ocean Energy Management (BOEM) Renewable Energy	15.408				11,035	11,035	-
Bureau of Ocean Energy Management (BOEM) Environmental Studies (ESP)	15.423				25,484	25,484	-
Water Desalination Research and Development	15.506				10,646	10,646	-
Coastal	15.630				93,046	93,046	-
Migratory Bird Joint Ventures	15.637				52,104	52,104	-
Migratory Bird Monitoring, Assessment and Conservation	15.655				2,606	2,606	-
Hurricane Sandy Disaster Relief Activities-FWS	15.677				31,038	31,038	-
Cooperative Ecosystem Studies Units	15.678				95,298	95,298	-
Assistance to State Water Resources Research Institutes	15.805				72,513	72,513	-
Cooperative Research and Training Programs- Resources of the National Park System	15.945				394,240	394,240	18,221
Pass-through Awards:							
Real-Time Opportunity for Development of Environmental Observations (RODEO)	15.RD	1.0003E+12	HDR / e2M	1000300001414	9,270	-	-
CI - StateView Program Development and Operations for the State of Rhode Island	15.RD	AV18-RI-01	AmericaView	AV18-RI-01	28,109	-	-
Block Island Structural Monitoring Program	15.RD	CRMC_DOI_Baxter_2019	Rhode Island Coastal Reservation Management Council	CRMC_DOI_Baxter_2019	320,174	357,553	200,754
Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)	15.423		HDR / e2M	1000300001036	45,752	45,752	-
Fish and Wildlife Management Assistance	15.608	3692226	Rhode Island Department of Environmental Management	3692226	64,377	-	-
Fish and Wildlife Management Assistance	15.608	GSA 00076 Supplement	Wildlife Management Institute	GSA 00076 Supplement	2,661	67,038	-
Wildlife Restoration and Basic Hunter Education	15.611		Rhode Island Department of Environmental Management	3393473	114,913	-	-
Wildlife Restoration and Basic Hunter Education	15.611		Rhode Island Department of Environmental Management	3393918	83,396	-	-
Wildlife Restoration and Basic Hunter Education	15.611		Rhode Island Department of Environmental Management	3394024	32,844	-	-
Wildlife Restoration and Basic Hunter Education	15.611		Rhode Island Department of Environmental Management	3607500	13,601	-	-
Wildlife Restoration and Basic Hunter Education	15.611		Rhode Island Department of Environmental Management	3394032	122,112	-	-
Wildlife Restoration and Basic Hunter Education	15.611		Rhode Island Department of Environmental Management	CI - Population Ecol	77,010	443,876	-
Multistate Conservation Grant	15.628		Rhode Island Department of Environmental Management	CA-DEMILLER12018	71,105	71,105	-
Coastal	15.630		Wildlife Management Institute	FS2018McGreevy	5,004	-	-
Coastal	15.630		Wildlife Management Institute	GSA 00076	61,619	-	-
Coastal	15.630		Rhode Island Department of Environmental Management	3672035	8,996	75,619	-
State Wildlife Grants	15.634		Rhode Island Department of Environmental Management	3380685	58,500	-	-
State Wildlife Grants	15.634		Rhode Island Department of Environmental Management	3656336	15,267	-	-
State Wildlife Grants	15.634		Rhode Island Department of Environmental Management	3671997	14,126	87,893	-
Research Grants (Generic)	15.650		Rhode Island Department of Environmental Management	36665779	202,083	202,083	-
Geological Survey Research and Data Collection	15.808		Rhode Island Water Resources Board	RI_WRB	36,248	36,248	-
National Land Remote Sensing Education Outreach	15.875		HDR/e2M	1000300001232	82,301	82,301	-
Natural Resource Stewardship	15.944		New Mexico Department of Game & Fish	AWD08023	11,387	11,387	-
Total U.S. Department of Interior					2,409,156	2,409,156	252,301
U.S. Department of Justice:							
Pass-through Awards:							
National Institute of Justice W.E.B. DuBois Fellowship Program	16.566		Rhode Island Department of Public Safety	FY19-FSIA-01	61,262	61,262	-
Total U.S. Department of Justice					61,262	61,262	-

UNIVERSITY OF RHODE ISLAND

(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2021

RESEARCH AND DEVELOPMENT CLUSTER - CONTINUED	Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Passed Through to Subrecipients
U.S. Department of Transportation:							
Pass-through Awards:							
University Transportation Center Regional One	20.RD	UMS-1185	University of Maine	UMS-1185	\$ 105,703	\$ 105,703	\$ -
Highway Planning and Construction	20.205		Rhode Island Division of Planning	3393404	59,017	59,017	-
Total U.S. Department of Transportation					164,720	164,720	-
National Aeronautics and Space Administration:							
Direct Awards:							
Continued Mentoring of Junior Women in Physical Oceanography: link to NASA labs	43.RD	80NSSC19K0994		80NSSC19K0994	30,623	30,623	-
Aeronautics	43.001				514,804	514,804	-
Pass-through Awards:							
Assessing Ecosystem Vulnerability to Climate Change through Optics, Imagery and Models	43.RD	5710004170	Massachusetts Institute of Technology	5710004170	27,876	-	-
Kinetics of Grain Boundary Carbides During Creep Deformation of the Nickel Based Superalloy	43.RD	00001614	Brown University	00001614	10,035	-	-
Development of a Space-Deployable Dual-Mode LiDAR for Planetary Seismology	43.RD	0001501	Brown University	00001501	14,244	52,155	-
Aeronautics	43.001		University of Colorado Boulder	1552614	24,962	-	-
Aeronautics	43.001		Earth and Science Research	2017-241-URI	13,182	-	-
Aeronautics	43.001		Woods Hole Group, Inc.	23156800 / A101249	13,724	-	-
Aeronautics	43.001		University of Washington	UWSC10146	50,574	-	-
Aeronautics	43.001		Skidmore College	32175-2	161	-	-
Aeronautics	43.001		Farallon Institute	020-241-URI	19,752	-	-
Aeronautics	43.001		University of Maine	UMS1296	8,365	130,720	-
Education	43.008		Brown University	00001476	7,844	-	-
Education	43.008		Brown University	00001572	9,962	-	-
Education	43.008		Brown University	00001615	10,035	-	-
Education	43.008		Brown University	00001616	37,547	-	-
Education	43.008		Brown University	00001617	43,603	-	-
Education	43.008		Brown University	00001633	27,270	136,261	-
Total National Aeronautics and Space Administration					864,563	864,563	-
National Science Foundation:							
Direct Awards:							
Engineering Grants	47.041				1,245,521	1,245,521	15,098
Mathematical and Physical Sciences	47.049				180,942	180,942	-
Geosciences	47.050				9,438,772	9,438,772	225,446
Computer and Information Science and Engineering	47.070				831,122	831,122	1,283
Biological Sciences	47.074				1,008,209	1,008,209	30,033
Social, Behavioral, and Economic Sciences	47.075				141,659	141,659	1,212
Education and Human Resources	47.076				923,078	923,078	65,617
Polar Programs	47.078				1,734	1,734	-
Office of International Science and Engineering	47.079				428,655	428,655	315,242
Office of Integrated Activities	47.083				4,446,579	4,446,579	1,098,525
Pass-through Awards:							
RCRV2 Phase III - Ship Construction	47.RD	IGA11227782	Oregon State University	IGA11227782	46,729	46,729	-
Engineering Grants	47.041		University of Southern Mississippi	8006386-01.01 URI	617	617	-
Geosciences	47.050		Brown University	1141	43,429	-	-
Geosciences	47.050		Woods Hole Ocean Institution	82423600	11,655	-	-
Geosciences	47.050		Lamont-Doherty Earth Observatory	OCE 1450528	30,182	-	-
Geosciences	47.050		Texas A&M Research Foundation	F001329/41829BA33	331	-	-
Geosciences	47.050		University of Washington	UWSC11189	21,813	-	-
Geosciences	47.050		Woods Hole Ocean Institution	85568600	90,290	197,700	-
Computer and Information Science and Engineering	47.070		Columbia University	3(GG014586-02)	6,983	-	-
Computer and Information Science and Engineering	47.070		Columbia University	9(GG014586-02)	15,281	-	-
Computer and Information Science and Engineering	47.070		Yale University	GR109669(CON-80002382)	4,500	26,764	-
Biological Sciences	47.074		University of Arizona	466240	11,721	-	-
Biological Sciences	47.074		Washington State University Vancouver	Subaward138/022-G004142	8,580	20,301	-
Polar Programs	47.078		University of Connecticut STORRS	KFS#5628610, PO13627	83,533	83,533	-
Office of International Science and Engineering	47.079		University of New Hampshire	16-019	40,688	40,688	-
Office of Integrative Activities	47.083		Dartmouth College	R1302	148,355	-	-
Office of Integrative Activities	47.083		University of Southern California	88919743	402,095	550,450	-
Total National Science Foundation					19,613,053	19,613,053	1,752,456

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF RHODE ISLAND

(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2021

RESEARCH AND DEVELOPMENT CLUSTER - CONTINUED	Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Passed Through to Subrecipients
Small Business Administration:							
Direct Awards:							
Small Business Development Centers	59.037				\$ 1,210,063	\$ 1,210,063	\$ -
Total Small Business Administration					1,210,063	1,210,063	-
Environmental Protection Agency:							
Direct Awards:							
Environmental Education Grants		66.951			14,242	14,242	-
Pass-through Awards:							
Pesticide Safety Education Program for Rhode Island 2021	66.RD	00A00249	Extension Foundation	00A00249	16,676	-	-
US EPA Region 1 Southeast New England Program for Coastal Watershed	66.RD	00A00252	Mass Audubon	00A00252	37,650	-	-
Analysis of the Strength of the Predictive Relationship Between Organism	66.RD	75K000011DB	General Dynamics Information Technology	75K000011DB	7,726	62,052	-
Southeast New England Coastal Watershed Restoration	66.129		Restore America's Estuaries	SNEPWG18-6-URI	79,602	-	4,891
Southeast New England Coastal Watershed Restoration	66.129		Restore America's Estuaries	SNEPWG20-6-URI-MHB	41,035	-	-
Southeast New England Coastal Watershed Restoration	66.129		University of Maine	SNEPI-06	57,754	178,391	-
Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements	66.436		Rural Community Assistance Partnership	83938801	5,010	5,010	-
Capitalization Grants for Drinking Water- State Revolving Funds	66.468		Rhode Island Department of Health	3517986	14,685	-	-
Capitalization Grants for Drinking Water- State Revolving Funds	66.468		Rhode Island Department of Health	3695569-1	85,473	100,158	-
Performance Partnership Grants	66.605		Rhode Island Department of Environmental Management	3621994	1,849	-	-
Performance Partnership Grants	66.605		Rhode Island Department of Environmental Management	3644265	(1,722)	127	-
Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies	66.716		Extension Foundation	SA-2020-29	806	806	-
Total Environmental Protection Agency					360,786	360,786	4,891
U.S. Department of Energy:							
Direct Awards:							
Geotechnical Support for Demonstration of the Triton Anchor System	81.RD	TSI-4089-20-20203103			19,041	19,041	-
Office of Science Financial Assistance Program	81.049				88,317	88,317	-
Pass-through Awards:							
Geotechnical Research for the Development of the HAGIS Anchoring System	81.RD	TSI-2576-19-109768	Triton Systems, Inc.	TSI-2576-19-109768	22,125	-	-
Geotechnical Support for Prototype Development of the Triton Anchor System	81.RD	TSI-2576-19-108768	Triton Systems, Inc.	TSI-2576-19-108768	35,073	-	-
Transformation of Sorghum for the University of California, Berkeley	81.RD	7456216	Lawrence Berkeley National Laboratory	7456216	5,043	-	-
Development of Electrolytes for Use in LNMO/LTO Batteries for 48 V Applications	81.RD	USABC-Gotion	Gotion	USABC-Gotion	191,961	-	-
Synthesis, Screening and Characterization of Novel Electrolyte for Low Temperature Lithium-ion Batteries	81.RD	378690	Brookhaven National Laboratories	378690	93,996	348,198	-
Office of Science Financial Assistance Program	81.049		Brown University	00000475/POH P276735	-	-	-
Office of Science Financial Assistance Program	81.049		Donald Danforth Plant Science Center	23021-R	416,795	-	-
Office of Science Financial Assistance Program	81.049		Pennsylvania State University	5027-URI-DOE-1090	148,501	-	-
Office of Science Financial Assistance Program	81.049		University of Georgia	SUD00001808	31,789	-	-
Office of Science Financial Assistance Program	81.049		Rhode Island College	80000918-01A	115,438	712,523	-
Los Alamos National Laboratory - Fire Protection	81.140		Triad National Security, LLC	526876	15,254	-	-
Los Alamos National Laboratory - Fire Protection	81.140		Triad National Security, LLC	526766	8,181	23,435	-
Total U.S. Department of Energy					1,191,514	1,191,514	-
U.S. Department of Education:							
Pass-through Awards:							
Ready-To-Learn Television	84.295		PBS	URISweetman	28,918	28,918	-
Education Research, Development and Dissemination	84.305		University of Wisconsin, Madison	184	6,718	6,718	-
Oregon Formative Assessment Resources	84.372		Rhode Island Department of Education	3692189	441,464	441,464	-
Total U.S. Department of Education					477,100	477,100	-

UNIVERSITY OF RHODE ISLAND

(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2021

RESEARCH AND DEVELOPMENT CLUSTER - CONTINUED	Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Passed Through to Subrecipients
U.S. Department of Health and Human Services:							
Direct Awards:							
CDC Evaluation of Commercially Available Natural Product-Based Acaricides	93.RD	75D30119C05160		75D30119C05160	\$ 144,601	\$ -	\$ -
Surgical Cardioprotection Through BKCa-Dependent Modulation of Mitochondrial Supercomplexes	93.RD	R01HL135236		R01HL135236	342,764	487,365	9,845
Food and Drug Administration Research	93.103				250,394	250,394	-
Maternal and Child Health Federal Consolidated Programs	93.110				20,275	20,275	-
Environmental Health	93.113				553,053	553,053	-
Oral Diseases and Disorders Research	93.121				424,798	424,798	119,958
NIEHS Superfund Hazardous Substances Basic Research and Education	93.143				1,886,290	1,886,290	814,249
Human Genome Research	93.172				125,181	125,181	-
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243				131,579	131,579	-
Advanced Nursing Education Workforce Grant Program	93.247				662,033	662,033	63,193
Occupational Safety and Health Program	93.262				163,657	163,657	15,996
Alcohol Research Programs	93.273				417,614	417,614	90,610
Drug Abuse and Addiction Research Programs	93.279				613,312	613,312	85,055
Nursing Research	93.361				491,894	491,894	31,834
Cancer Cause and Prevention Research	93.393				17,475	17,475	24,695
Cancer Biology Research	93.396				422,428	422,428	-
Developmental Disabilities Basic Support and Advocacy Grants	93.630				410,824	410,824	390,294
Opioid STR	93.788				516,414	516,414	-
Cardiovascular Diseases Research	93.837				218,790	218,790	106,988
Lung Diseases Research	93.838				278,655	278,655	70,146
Blood Diseases and Resources Research	93.839				243,522	243,522	-
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853				1,992,074	1,992,074	398,560
Allergy and Infectious Diseases Research	93.855				2,322,196	2,322,196	933,581
Biomedical Research and Research Training	93.859				3,976,629	3,976,629	1,967,393
Child Health and Human Development Extramural Research	93.865				83,426	83,426	-
Aging Research	93.866				247,337	247,337	-
Geriatric Education Centers	93.969				819,846	819,846	195,401
Pass-through Awards:							
Practical Approach for Attenuating PFAS Contaminated Landfill Leachate Using Peroxone Activate Persulfate (PAP)	93.RD	2R44ES028649-02-001	Enchem Engineering, Inc.	2R44ES028649-02-001	48,512	-	-
Center of Biomedical Research Excellence: Overdosing Behind the Wheel: Examining Human, Geographic, and Environmental Factors	93.RD	7139196DSL	Lifespan	7139196DSL	1,362	-	-
Neutral Mechanisms of Protective Effects of Early Nutrition on the Development of Social-Emotional Difficulties among Children	93.RD	A20-0501-S001	University of California at Davis	A20-0501-S001	80,021	-	-
Individually Identifiable Health Information - Rhode Island Certified Community Behavioral Health Clinic (RI-CCBHC) Expansion	93.RD	MCA_NMH_UR1_2	Newport County CHMC, Inc	MCA_NMH_UR1_2	92,317	222,212	-
Lifespan Respite Care Program	93.072		R.I. Department of Elderly Affairs	LR1.0017-01-00	6,518	6,518	-
Food and Drug Administration Research	93.103		RI Department of Environ Management	RIDEMU18FD005888	39,012	-	16,185
Food and Drug Administration Research	93.103		University of Connecticut, STORRS	362106	280,589	319,601	-
Area Health Education Centers	93.107		Brown University	00001125	89,130	-	-
Area Health Education Centers	93.107		Brown University	00001676	11,849	100,979	-
Research on Healthcare Costs, Quality and Outcomes	93.226		The Research Foundation for SUNY	89055-1163444-URI	69,554	69,554	-
Mental Health Research Grants	93.242		Brown University	1682	32,515	-	-
Mental Health Research Grants	93.242		Women & Infants Hospital of RI	35,564	68,079	-	-
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		RI Dept of Behavioral Healthcare, Develop	1U79SP020159-01	59,502	-	-
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		RI Dept of Behavioral Healthcare, Develop	3565112	109,547	-	-
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		RI Dept of Behavioral Healthcare, Develop	3626972	151,697	-	-
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		RI Dept of Behavioral Healthcare, Develop	3631046	73,665	-	21,005
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		RI Dept of Behavioral Healthcare, Develop	PO 3502193	79,689	-	-
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		Newport County CHMC, Inc	MCA_NMH_UR1_2	33,191	507,291	-
Drug Abuse and Addiction Research Programs	93.279		Brown University	1483	195,941	-	33,963
Drug Abuse and Addiction Research Programs	93.279		Oregon Health & Science University	1011948_UR1	144,467	-	-
Drug Abuse and Addiction Research Programs	93.279		Oregon Health & Science University	1016803_UR1	45,164	-	-
Drug Abuse and Addiction Research Programs	93.279		University of Oregon	217300C	6,185	-	-
Drug Abuse and Addiction Research Programs	93.279		Rhode Island College	80000932-01A	21,842	413,599	-
Minority Health and Health Disparities Research	93.307		University of California Riverside	S-001198	59,507	-	-
Trans-National Institute of Health Research Support	93.310		Brown University	00001759	12,563	-	-
Trans-National Institute of Health Research Support	93.310		Brown University	00001760	15,070	27,633	-

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF RHODE ISLAND

(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2021

Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Passed Through to Subrecipients
RESEARCH AND DEVELOPMENT CLUSTER - CONTINUED						
U.S. Department of Health and Human Services - Continued:						
Pass-through Awards - Continued:						
Nursing Research	93.361	Yale University	M17A12609(A10935)	\$ 85,805	\$ 85,805	\$ -
Cancer Research Manpower	93.398	Brown University	00000941	13,740	13,740	-
Child Care and Development Block Grant	93.575	RI Assoc for the Educa of Young Children	3669269	24,149	24,149	-
Elder Abuse Prevention Interventions Program	93.747	Disabled Persons Protection Commission	Venkatasubramanian, MDPPC	39,972	39,972	-
Section 223 Demonstration Programs to Improve Community Mental Health Services	93.829	Newport County CHMC, Inc	MCA, NMH URI	302,305	-	-
Section 223 Demonstration Programs to Improve Community Mental Health Services	93.829	Thrive Behavioral Health, Inc	CCBHC-URI	118,040	420,345	-
Cardiovascular Diseases Research	93.837	University of California, Los Angeles	031690-01-URI	134,208	134,208	46,334
Lung Diseases Research	93.838	Ocean State Research Institute, Inc	122208	29,507	-	13,669
Lung Diseases Research	93.838	Albert Einstein College of Medicine	310969	19,892	49,399	-
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	Massachusetts General Hospital	236365	24,536	24,536	-
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	John Hopkins University	2004741519	62,448	62,448	-
Allergy and Infectious Diseases Research	93.855	Columbia University	6(GG011896-65)	3,093	-	-
Biomedical Research and Research Training	93.859	Brown University	00000938	177,586	-	-
Biomedical Research and Research Training	93.859	Brown University	00001023	20,511	-	-
Biomedical Research and Research Training	93.859	Brown University	00001098	-	-	-
Biomedical Research and Research Training	93.859	Brown University	00001441	15,978	-	-
Biomedical Research and Research Training	93.859	Brown University	00001637	50,181	-	-
Biomedical Research and Research Training	93.859	Brown University	00001396	8,634	-	-
Biomedical Research and Research Training	93.859	Yale University	GR108643(CON-80002175)	269,109	-	-
Biomedical Research and Research Training	93.859	Celdara Medical, LLC	CMcho2018	36,297	-	-
Biomedical Research and Research Training	93.859	Rhode Island Hospital	7137384NHW	14,251	-	-
Biomedical Research and Research Training	93.859	Rhode Island Hospital	7137384SJK	29,564	-	-
Biomedical Research and Research Training	93.859	The Miriam Hospital	7147123KLL	14,363	658,830	-
Child Health and Human Development Extramural Research	93.865	Boston University	450002896	37,627	-	-
Child Health and Human Development Extramural Research	93.865	Florida State University	R000002706	35,047	72,674	-
Aging Research	93.866	Yale University	GR100954CON80000919	291,928	-	-
Aging Research	93.866	The Research Foundation for SUNY	82900	309,346	-	-
Aging Research	93.866	RI Hospital	701-7137507	71,567	672,841	-
Research, Prevention, and Education Programs on Lyme Disease in the United States	93.942	Western Connecticut State University	CDC2019A	61,452	61,452	-
Block Grants for Prevention and Treatment of Substance Abuse	93.959	RI Dept of Behavioral Healthcare, Develop	3526599	134,755	134,755	-
Total U.S. Department of Health and Human Services				22,030,281	22,030,281	5,448,954
U.S. Department of Homeland Security:						
Pass-through Awards:						
Support of ARA Explosive Detection	97.RD	Applied Research Associates	S-539-G40001-00-URI	80,055	80,055	-
Centers for Homeland Security	97.061	University of North Carolina - Chapel Hill	5101662	343,040	-	-
Centers for Homeland Security	97.061	University of North Carolina - Chapel Hill	5117116	66,373	-	-
Centers for Homeland Security	97.061	University of North Carolina - Chapel Hill	5119463	158,172	-	-
Centers for Homeland Security	97.061	Northeastern University	SUB 505035-78059	157,977	725,562	-
Total U.S. Department of Homeland Security				805,617	805,617	-
Agency for International Development:						
Direct Awards:						
USAID Foreign Assistance for Programs Overseas	98.001			7,143,613	7,143,613	3,103,032
Pass-through Awards:						
USAID Foreign Assistance for Programs Overseas	98.001	Mississippi State University	19300.312455.04	337,489	-	-
USAID Foreign Assistance for Programs Overseas	98.001	International Union for Conservation	IUCN-Res06102019	61,487	-	-
USAID Foreign Assistance for Programs Overseas	98.001	PACT	72068718C000001	426,175	-	-
USAID Foreign Assistance for Programs Overseas	98.001	PACT	265-011929	315,872	-	-
USAID Foreign Assistance for Programs Overseas	98.001	DAI Global LLC	1004343-S21-35515	119	1,141,142	-
Total Agency for International Development				8,284,755	8,284,755	3,103,032
Total Research and Development Cluster				\$ 83,191,711	\$ 83,191,709	\$ 17,581,517
NON-CLUSTER						
U.S. Department of Homeland Security:						
Direct Awards:						
Federal Emergency Management Agency (FEMA) - Disaster Grants - Public Assistance	97.036			237,700	237,700	-
				237,700	237,700	-
U.S. Department of Education:						
Direct Awards:						
COVID-19 Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	84.425E			6,767,284	-	-
COVID-19 Higher Education Emergency Relief Fund (HEERF) Institutional Portion	84.425F			17,440,404	24,207,688	-
Total HEERF				24,207,688	24,207,688	-

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF RHODE ISLAND
(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2021

	Assistance Listing Number	Additional Award Identification Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Total by Program	Passed Through to Subrecipients
NON-CLUSTER - CONTINUED							
U.S. Department of Treasury:							
Pass-through Awards:							
COVID-19 - Coronavirus Relief Fund							
	21.019		RI Department of Administration	CRF	\$ 14,000,000	\$ 14,000,000	\$ -
					<u>14,000,000</u>	<u>14,000,000</u>	<u>-</u>
Total Non-Cluster					\$ 38,445,388	\$ 38,445,388	\$ -
					<u>\$ 227,118,470</u>	<u>\$ 227,118,470</u>	<u>\$ 17,581,517</u>
Total Expenditures of Federal Awards							

UNIVERSITY OF RHODE ISLAND
(a Component Unit of the State of Rhode Island)

Notes to the Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Note 1 - **Basis of Presentation**

The accompanying Schedule of Expenditures of Federal Awards (the “Schedule”) includes the federal award activity of University of Rhode Island (the “University”) under programs of the Federal Government for the year ended June 30, 2021. The information on this Schedule is prepared in accordance with the requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (“Uniform Guidance”). Because the Schedule presents only a selected portion of the operations of the University, it is not intended to and does not present the financial position, changes in net position or cash flows of the University.

Note 2 - **Summary of Significant Accounting Policies**

Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles contained in the Uniform Guidance.

Note 3 - **Indirect Cost Rate**

The University has elected not to use the 10-percent de minimis indirect cost rate as allowed under the Uniform Guidance.

Note 4 - **Federal Student Loan Programs**

University Administered Loan Programs

The federal student loan programs listed subsequently are administered directly by the University and balances and transactions relating to these programs are included in the University’s basic financial statements. Loans outstanding at the beginning of the year and loans made during the year are included in the federal expenditures presented in the Schedule. The balance of loans outstanding and administrative costs incurred at June 30, 2021 consists of:

UNIVERSITY OF RHODE ISLAND
(a Component Unit of the State of Rhode Island)

Notes to the Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2021

Note 4 - **Federal Student Loan Programs - Continued**

University Administered Loan Programs - continued

Assistance Listing Number	Program Name	Outstanding Balance at June 30, 2021	Administrative Costs
84.038	Federal Perkins Loan	\$ 2,944,187	\$ -
93.342	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	\$ 1,992,846	\$ -
93.364	Nursing Student Loans	\$ 1,753,706	\$ -

Direct Student Loan Program

The University disbursed \$76,128,933 of loans under the Federal Direct Student Loans program, which include Stafford Subsidized and Unsubsidized Loans and Parent Plus Loans. The University is only responsible for the performance of certain administrative duties and, accordingly, there are no significant continuing compliance requirements and these loans are not included in the University's financial statements.

UNIVERSITY OF RHODE ISLAND
(a Component Unit of the State of Rhode Island)

Schedule of Findings and Questioned Costs

Year Ended June 30, 2021

Section I – Summary of Auditors’ Results:

Financial Statements

Type of auditors’ report issued: Unmodified

Internal control over financial reporting:

- Material weaknesses identified? yes no
- Significant deficiencies identified that are not considered to be material weaknesses? yes no

Noncompliance material to the financial statements noted? yes no

Federal Awards

Type of auditors’ report issued on compliance for major programs: Unmodified

Internal control over major programs:

- Material weaknesses identified? yes no
- Significant deficiencies identified that are not considered to be material weaknesses? yes no

Any audit findings disclosed that are required to be reported in accordance with the Uniform Guidance? yes no

UNIVERSITY OF RHODE ISLAND
(a Component Unit of the State of Rhode Island)

Schedule of Findings and Questioned Costs - Continued

Year Ended June 30, 2021

Identification of Major Programs

Name of Federal Program or Cluster	Assistance Listing Number
Research and Development Cluster	Various
Non-Cluster:	
Education Stabilization Funds (ESF):	
Higher Education Emergency Relief Fund (HEERF) Student Aid Portion	84.425E
HEERF Institutional Portion	84.425F

Dollar threshold used to distinguish between type A and type B programs:

\$24,300,000

Auditee qualified as a low-risk auditee?

___ yes X no

UNIVERSITY OF RHODE ISLAND
(a Component Unit of the State of Rhode Island)

Schedule of Findings and Questioned Costs - Continued

Year Ended June 30, 2021

Section II – Financial Statement Findings:

None.

UNIVERSITY OF RHODE ISLAND
(a Component Unit of the State of Rhode Island)

Schedule of Findings and Questioned Costs - Continued

Year Ended June 30, 2021

Section III – Federal Award Findings and Questioned Costs:

None.

Management's Summary Schedule of Prior Audit Finding

Year Ended June 30, 2021

Finding number: 2020-001
Federal agency: U.S. Department of Education
Programs: Federal Direct Student Loans
CFDA #'s: 84.268
Award year: 2020

Condition

Federal regulations require the University to notify students, within the required timeframe, of credits to the student's account of any Direct Loan.

Award Year 2020:

Out of a sample of forty students with direct loan disbursements, one student was not notified.

Current Year Status:

Corrective action was taken in the current year. The auditors' test in this area resulted in no findings.