

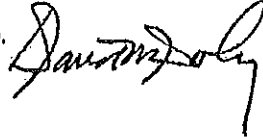
THE
UNIVERSITY
OF RHODE ISLAND
OFFICE OF THE
PRESIDENT

THINK BIG  WE DO™

Green Hall, 35 Campus Avenue, Kingston, RI 02881 USA p: 401.874.4462 f: 401.874.7149 urt.edu/president

David M. Dooley, Ph.D.
President

DATE: June 16, 2016
TO: Sharon Bell
Controller
FROM: David M. Dooley, Ph.D.
President
SUBJ: Overhead Distribution



In accordance with the University's existing overhead policy, the approved F&A distribution rates effective July 1, 2016 are as follows:

Approved F&A Distribution effective July 1, 2016:

SPA - Administration	19.69%
SPA - Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.17%
Provost*	5.58%
Vice President Research & Economic Development*	8.37%
President*	1.86%
Dean/College/Department	25.11%

*The President, Provost, and Vice President Research & Economic Development provide support for Alternate Agreements via annual revenues and/or fund balance. These Agreements include amounts beyond the 25.11% for CPRC, I-Cubed, CI, and GSO. GSO is to receive an additional 5% (for a total of 30.11%) of the overhead they generate as support for their Bay Campus operations responsibilities. Within GSO, the Coastal Institute-Kingston is supported by the majority of the President, Provost, and Vice President Research & Economic Development's portions being redirected to the Coastal Institute-Kingston, providing the Coastal Institute-Kingston with 15% of the overhead generated in FY2017 in accordance with the agreement dated April 29, 2016. The President, Provost, and Vice President Research & Economic Development will contribute the remainder of their portions (.81%) of annual revenue to GSO, along with an additional 4.19% from fund balance, resulting in a total of 30.11% being distributed to GSO, in accordance with the existing overhead policy.

For input efficiency to the PeopleSoft financial system, the method used to accomplish the approved distribution will include:

All Colleges/Areas

SPA - Administration	19.69%
SPA - Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.17%
Provost*	5.58%
Vice President Research & Economic Development*	8.37%
President*	1.86%
Dean/College/Department	25.11%

CPRC, CI, I-Cubed and GSO currently have Alternate Agreements.

GSO Only (for input efficiency)

SPA – Administration	19.69%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.17%
Provost	3.81%
Vice President Research & Economic Development	5.73%
President	1.27%
Dean/College/Department	25.11%
Dean/College/Department (from Prov, VPRED, Pres Share)	5.00%*

*results in 30.11% of GSO OH returned to GSO

Coastal Institute – GSO grants that are processed through CI (for input efficiency)

SPA – Administration	19.69%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.17%
Provost	0.00%
Vice President Research & Economic Development	0.00%
President	0.00%
Dean/College/Department	25.11%
Dean/College/Department (from Prov, VPRED, Pres Share)	0.81%*
Coastal Institute (from Prov, VPRED, Pres Share)	15.00%

*GSO is to receive an additional 4.19% from the fund balances of the following: President, Provost, and VP Research and Economic Development. This will result in 30.11% of GSO OH being returned to GSO in accordance with the existing overhead policy. The 4.19% from fund balance transfers will be accomplished via a quarterly journal entry. A budget in 5999 will reflect the fund balance budget in order to provide GSO with access to these funds. The annual projected overhead budget for all colleges/areas will be input to the PeopleSoft financial system which allows college/areas to spend up to their annual budget (derived from FY2017 revenues) at the start of the fiscal year.

Coastal Institute – non-GSO grants that are processed through CI (for input efficiency)

SPA – Administration	19.69%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.17%
Provost	0.28%
Vice President Research & Economic Development	0.42%
President	0.11%
Dean/College/Department	25.11%
Coastal Institute (from Prov, VPRED, Pres Share)	15.00%

CPRC (for input efficiency)

SPA – Administration	19.69%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.17%
Provost	0.28%
Vice President Research & Economic Development	0.42%
President	0.11%
Dean/College/Department	25.11%*
CPRC (from Prov, VPRED, Pres Share)	15.00%*

*results in 40.11% of CPRC OH returned to CPRC per agreement

I-Cubed (for input efficiency)

SPA – Administration	19.69%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.17%
Provost	0.00%
Vice President Research & Economic Development	0.00%
President	0.00%
Dean/College/Department	0.00%
I-Cubed (from Dean's Share)	25.11%*
I-Cubed (from Prov, VPRED, Pres Share)	15.81%*

*I-Cubed is to receive an additional 3.08% from the fund balances of the following: President, Provost, and VP Research and Economic Development. This will result in 44% of I-Cubed OH being returned to I-Cubed in accordance with the agreement dated January 4, 2015. The 3.08% will be accomplished via a quarterly journal entry. A budget in 5999 will reflect the fund balance budget in order to provide I-Cubed with access to these funds. The annual projected overhead budget for all colleges/areas will be input to the PeopleSoft financial system which allows college/areas to spend up to their annual budget (derived from FY2017 revenues) at the start of the fiscal year.

Below, please find the account and chartfield strings into which overhead revenues are to be booked. Please note that a portion of overhead revenue is deposited directly into Fund 100.

Unit	F&A Revenue
SPA Administration	4310-110-4006-0000*
SPA Research	4310-110-3208-0000
Unrestricted (Fund 100) budget	4310-100-0000-0000
Colleges/Areas	Varies
President	4310-110-0001-0000
Provost	4310-110-1101-0000
VP Research & Economic Development	4310-110-7001-0000

*Controller and Associate Controller are responsible for this CFS; per VP Administration & Finance, she delegates divisional overhead responsibilities to these individuals per June 13, 2016 email.

Cc: Gerry Sonnenfeld
Don DeHayes
Christina L. Valentino
Linda Barrett

THE
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DIVISION OF RESEARCH
AND ECONOMIC
DEVELOPMENT

THINK BIG  WE DO™

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July 15, 2016

Dr. Bryan Blissmer
Interim Director
Institute for Integrated Health and Innovation
University of Rhode Island
Kingston, RI 02881

Dear Dr. Blissmer:

As you know, the University of Rhode Island has a defined policy for distribution of funds equivalent to Finance and Administration (F and A or indirect costs) as incentive packages. This was established by the University and evaluated annually by the Council for Research of the Faculty Senate.

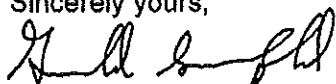
Occasionally, when a new Institute or Center is established that is considered of great potential importance to the University, a special arrangement will be made to allow a greater return of the funds to the institute to support the development of the Institute. These funds come from the funds allocated to the President (1.86% of total F and A), the Provost and Vice President for Academic Affairs (5.58% of total F and A) and the Vice President for Research and Economic Development (8.37% of total F and A). The percentage of F and A received by these areas has been reduced to these numbers effective July 1, 2016. This reduction was instituted for the entire University because of decreases in F and A revenues due to the current national availability of Federal funding.

The President, Provost and I believe that the Institute for Integrated Health and Innovation will be a cornerstone for the University to reach our Academic Strategic Plan goals and Presidential Translational Goals for the 21st Century. In view of this, we will transfer to the Institute for Integrative Health and Innovation the entire amount of our portions of F and A funds generated by the Institute, equivalent a total of 15.81% of the F and A funds generated by the Institute. This will be effective July 1, 2016 for three years until June 30, 2018. At the end of that time period, this practice will be evaluated for possible revision and for continuation.

We ask that these funds be spent by the Health Institute on activities that will support the research and scholarly activities of the Institute. We also ask that you develop criteria for grant application to be considered for submission crediting the Institute as well as the home College of the faculty member submitting. Please work with the Provost and I to help establish these criteria by August 15, 2016,

The University of Rhode Island appreciated the excitement being generated by the start of the Health Institute. We look forward to seeing the exciting result and progress in health improvement the Institute will generate and wish you great success.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Gerald Sonnenfeld". The signature is fluid and cursive, with a prominent initial "G" and a long, sweeping underline.

Gerald Sonnenfeld, Ph.D.

Vice President for Research and Economic Development

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July 15, 2016

Dr. Paula Grammas
Executive Director
George and Ann Ryan Neurosciences Institute
University of Rhode Island
Pharmacy Building
Kingston, RI 02881

Dear Dr. Grammas:

As you know, the University of Rhode Island has a defined policy for distribution of funds equivalent to Finance and Administration (F and A or indirect costs) as incentive packages. This was established by the University and evaluated annually by the Council for Research of the Faculty Senate.

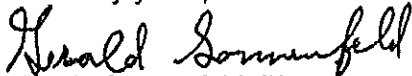
Occasionally, when a new Institute or Center is established that is considered of great potential importance to the University, a special arrangement will be made to allow a greater return of the funds to the institute to support the development of the Institute. These funds come from the funds allocated to the President (1.86% of total F and A), the Provost and Vice President for Academic Affairs (5.58% of total F and A) and the Vice President for Research and Economic Development (8.37% of total F and A). The percentage of F and A received by these areas has been reduced to these numbers effective July 1, 2016. This reduction was instituted for the entire University because of decreases in F and A revenues due to the current national availability of Federal funding.

The President, Provost and I believe that the George and Ann Ryan Neurosciences Institute will be a cornerstone for the University to reach our Academic Strategic Plan goals and Presidential Translational Goals for the 21st Century. In view of this, we will transfer to the Ryan Institute the entire amount of our portions of F and A funds generated by the Institute, or a total of 15.81% of the F and A funds generated by the Institute. This will be effective July 1, 2016 for three years until June 30, 2018. At the end of that time period, this practice will be evaluated for possible revision and for continuation.

We ask that the funds be spent by the Ryan Institute on activities that will support the research and scholarly activities of the Institute. We also ask that you develop criteria for grant application to be considered for submission crediting the Institute as well as the home College of the faculty member submitting. Please work with the Provost and I to help establish these criteria by August 15, 2016,

The University of Rhode Island appreciated the excitement being generated by the start of the Ryan Institute. We look forward to seeing the exciting result and progress in neurosciences-related diseases that the Institute will generate and wish you great success.

Sincerely yours,

A handwritten signature in cursive script that reads "Gerald Sonnenfeld". The signature is written in black ink and is positioned above the printed name.

Gerald Sonnenfeld, Ph.D.

Vice President for Research and Economic Development