



BUDGET AND FINANCIAL PLANNING

Adams House, 85 Upper College Road, Kingston, RI 02881 USA p: 401.874.2509 web.uri.edu/budget

DATE: December 19, 2018

TO: Patricia Casey
Controller

FROM: Linda Barrett
Director, Budget & Financial Planning

RE: Addendum to Overhead Distribution Memo Dated October 23, 2018

The following is an amendment to the Ryan Institute distribution rates that were noted in the October 23, 2018 memo. Per Vice President Snyder's October 31, 2018 letter to Dr. Grammas, the rates below will apply to all new grant awards received as of December 1, 2018.

Ryan Institute (for input efficiency)

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	2.20%
Vice President Research & Economic Development	2.97%
President	0.00%
Dean/College/Department*	21.79%
Ryan Institute (from Prov, VPRED, Pres, Dean's share)	16.00%

*Per the October 31, 2018 letter from Vice President Snyder:

Because the Ryan Institute for Neuroscience is an interdisciplinary institute, with active faculty involvement from several colleges, the 4% contribution from the Deans will be determined based on the primary academic appointments for principal investigator(s), and the percent effort estimates for each PI and co-PI, noted on the internal transmittal sheets for each new grant submission from the institute.

Please let me know if you have any questions.

Attachments

Cc: David M. Dooley
Peter Snyder
Don DeHayes
Abigail Rider

THE
UNIVERSITY
OF RHODE ISLAND
OFFICE OF THE
PRESIDENT



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David M. Dooley, Ph.D.
President

DATE: October 23, 2018
TO: Patricia Casey
Controller
FROM: Dr. David M. Dooley
President
SUBJ: Overhead Distribution

In accordance with the University's overhead policy and process for F&A distribution, the approved F&A distribution rates effective July 1, 2018 are as follows:

Approved F&A Distribution effective July 1, 2018:

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost*	6.26%
Vice President Research & Economic Development*	9.05%
President*	1.86%
Dean/College/Department	25.79%

*The President, Provost, and Vice President Research & Economic Development provide support for Alternate Agreements via annual revenues and/or fund balance. These Agreements include amounts beyond the 25.79% for CPRC, I-Cubed, CI, IHH, the Ryan Institute, NIUVT, and GSO. GSO is to receive an additional 5% (for a total of 30.79%) of the overhead they generate as support for their Bay Campus operations responsibilities. Within GSO, the Coastal Institute-Kingston is supported by the majority of the President, Provost, and Vice President Research & Economic Development's portions being redirected to the Coastal Institute-Kingston, providing the Coastal Institute-Kingston with 15% of the overhead generated in FY2019 in accordance with the agreement dated April 29, 2016. The President, Provost, and Vice President Research & Economic Development will contribute the remainder of their portions (2.17%) of annual revenue to GSO, along with an additional 2.83% from fund balance, resulting in a total of 30.79% being distributed to GSO, in accordance with the existing overhead policy.

For input efficiency to the PeopleSoft financial system, the method used to accomplish the approved distribution will include:

All Colleges/Areas

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	6.26%
Vice President Research & Economic Development	9.05%
President	1.86%
Dean/College/Department	25.79%

GSO, I-Cubed, and NIUVT currently have Alternate Agreements.

GSO Only (for Input efficiency)

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	4.44%
Vice President Research & Economic Development	6.41%
President	1.32%
Dean/College/Department	25.79%
Dean/College/Department (from Prov, VPRED, Pres Share)	5.00%*

*results in 30.79% of GSO OH returned to GSO

I-Cubed (for Input efficiency)

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	0.00%
Vice President Research & Economic Development	0.00%
President	0.00%
Dean/College/Department*	14.96%
I-Cubed*	28.00%

*The August 28, 2018 letter indicates that 12.81% of new grant indirects for FY2019 will be made available to CELS. Revisions to the overhead distribution after the letter was published allow for 14.96% to be made available to CELS. Per the Vice President for Research, the FY2019 distribution to I-Cubed will still be lowered from 44% to 28% in accordance with the August 28, 2018 letter.

During FY2019, the university will bridge the difference between what the Institute will receive with this new rate, and what the Institute would have received previously.

NIUVT (for input efficiency)

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	2.11%
Vice President Research & Economic Development	3.06%
President	0.00%
Dean/College/Department	21.79%
NIUVT*	16.00%

*Per the August 28, 2018 letter, the university will make available to NIUVT an amount equivalent to 16% of all F&A received by the Institute, from new grants received between 01 July, 2018 and 30, June 2020 (a three year period, inclusive of this current fiscal year). This amount will be provided to NIUVT from equal shares from what would ordinarily be distributed to the VPR, Provost, President, and Dean of College of Engineering.

CI, CPRC, IHHI, and Ryan Institute are governed by previously existing alternate agreements which are currently under review. If changes to the below rates are necessary, they will be detailed in a future communication.

Coastal Institute – GSO grants that are processed through CI (for Input efficiency)

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	0.00%
Vice President Research & Economic Development	0.00%
President	0.00%
Dean/College/Department	25.79%
Dean/College/Department (from Prov, VPRED, Pres Share)	2.17%*
Coastal Institute (from Prov, VPRED, Pres Share)	15.00%

*In order for GSO to receive an additional 5%, 2.83% must come from the fund balances of the following: President, Provost, and VP Research and Economic Development. This will result in 30.79% of GSO OH being returned to GSO in accordance with the existing overhead policy. Please ensure that 2.83% is provided to GSO as indicated. The annual projected overhead budget for all colleges/areas will be input to the PeopleSoft financial system which allows college/areas to spend up to their annual budget (derived from FY2019 revenues) at the start of the fiscal year.

Coastal Institute – non-GSO grants that are processed through CI (for Input efficiency)

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	0.79%
Vice President Research & Economic Development	1.14%
President	0.24%
Dean/College/Department	25.79%
Coastal Institute (from Prov, VPRED, Pres Share)	15.00%

CPRC (for Input efficiency)

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	0.79%
Vice President Research & Economic Development	1.14%
President	0.24%
Dean/College/Department	25.79%
CPRC (from Prov, VPRED, Pres Share)	15.00%

IHHI (for Input efficiency)

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	0.50%
Vice President Research & Economic Development	0.71%
President	0.15%
Dean/College/Department	25.79%
IHHI (from Prov, VPRED, Pres Share)	15.81%

Ryan Institute (for input efficiency)

SPA – Administration	16.97%
SPA – Research	26.22%
To Unrest. Budget for a <u>portion</u> of Research Related Utilities & Insurance	13.85%
Provost	0.50%
Vice President Research & Economic Development	0.71%
President	0.15%
Dean/College/Department	25.79%
Ryan Institute (from Prov, VPRED, Pres Share)	15.81%

Below, please find the account and chartfield strings into which overhead revenues are to be booked. Please note that a portion of overhead revenue is deposited directly into Fund 100.

Unit	F&A Revenue
SPA Administration	4310-110-4006-0000*
SPA Research	4310-110-3208-0000
Unrestricted (Fund 100) budget	4310-100-0000-0000
Colleges/Areas	Varies
President	4310-110-0001-0000
Provost	4310-110-1101-0000
VP Research & Economic Development	4310-110-7001-0000

*Controller and Associate Controller are responsible for this CFS.

Cc: Peter Snyder
Don DeHayes
Abigail Rider
Linda Barrett