

URI Board of Trustees  
Institutional Risk & Compliance Committee Calendar

**January Meeting**

- 1) Review Affirmative Action Plan compliance  
(Vice President, Administration and Finance (VPAF)  
(Assistant Vice President, Enterprise Risk Management (AVP,ERM))
- 2) Approve annual Compliance Plan (VPAF, AVP,ERM)
- 3) Review compliance with standards governing the conduct of research (VP Research and Economic Development)

**April Meeting**

- 1) Review of University institutional risk assessment and mitigation plans (VPAF, AVP,ERM)
- 2) Research grant accounting compliance review (VPAF, Controller)
- 3) Annual review of committee charter (Committee)
- 4) Compliance review (VPAF, AVP,ERM)

**June Meeting**

- 1) Review external auditing plan (VPAF, Controller)
- 2) Review University cybersecurity plan (Provost, VPAF, Chief Information Officer (CIO))
- 3) Compliance review (VPAF, AVP,ERM)

**September Meeting**

- 1) Review compliance on required training (VPAF, AVP,ERM)
- 2) Update from external auditors (Committee, External Auditors)
- 3) Compliance review (VPAF, AVP,ERM)

**November Meeting**

- 1) Review annual financial report with external auditors (External Auditors, VPAF, Controller)
- 2) Review annual litigation update – executive session (General Counsel)
- 3) Recommend board approval of annual external audit (Committee)
- 4) Compliance review (VPAF, AVP,ERM)

**Other items to be added as needed**

- 1) Review and approve University's responses to external auditor's management letters (VPAF, Controller)
- 2) Recommend investigations, inspections, and reviews relating to the expenditure of state and federal funds when necessary. (Committee, VPAF)
- 3) Review state audits and approve any associated management response. (Committee, VPAF, Controller)