

# IMPACT

OF TRANSFORMATIVE INTERDISCIPLINARY  
RESEARCH AND GRADUATE EDUCATION

ON ACADEMIC INSTITUTIONS



MAY 2008

WORKSHOP REPORT



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OF TRANSFORMATIVE INTERDISCIPLINARY  
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## ON ACADEMIC INSTITUTIONS

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### **WORKSHOP REPORT**

#### **SPONSORED BY**

National Science Foundation

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Integrative Graduate Education and Research Traineeship (IGERT) Program

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## Executive Summary

In May 2008, a two-day workshop was held in Arlington, Virginia with the goal of defining the progress of interdisciplinary research and graduate education and their impacts on academic institutions. The workshop was sponsored by the National Science Foundation (NSF) Directorate of Education and Human Resources, Division of Graduate Education, Integrative Graduate Education and Research Traineeship (IGERT) Program.



### Organization and Purpose

The workshop was convened because of the growing acknowledgment of the importance of discoveries and outcomes of interdisciplinary, cutting-edge science and technology for economic and societal growth and vitality. Recognizing the many impacts of interdisciplinary research can catalyze a change in the landscape of U.S. universities to value and increase interdisciplinary graduate education.

Framing options for the future of support for interdisciplinary research and education requires an understanding of the current institutional landscape and the challenges of, opportunities for, and impacts of the transformations stimulated by interdisciplinary research at universities. To ensure a broad view, the perspectives of both institutional leadership and the faculty leading interdisciplinary change projects

such as IGERT were sought. The meeting engaged 101 participants who are the principal investigators (PIs) of IGERT projects as well as the senior leadership of U.S. universities that had active IGERT projects at the time. See Appendix 1 for a list of participants.

Eight working groups addressed questions focused on four critical impact areas of interdisciplinary institutional change:

- Research,
- Faculty,
- Graduate Education, and
- Academic Institutions.

Each of the working groups, four comprising PIs and co-PIs of active IGERT projects and four comprising leading administrators at IGERT institutions, was asked to consider and summarize central questions on the four impact areas that addressed the following topics:

- Progress and impacts made to date;
- What works and what does not;
- Opportunities and challenges going forward; and,
- Metrics for success of interdisciplinary research and graduate education.

The meeting agenda is presented in Appendix 2 and the specific questions addressed by each working group are summarized in Appendix 3. For the purpose of this meeting, participants used the term “interdisciplinary” to mean research and education that crosses disciplinary lines.

Discussion of the theme of interdisciplinarity for each topic frequently touched upon one or more of the other topics. Therefore, the summaries of the workshop themes in this report present key thoughts, contributions, and recommendations derived from both the working groups who

specifically chose to focus on that topic and from other discussions on that topic that occurred during the two-day workshop. This summary is a synopsis rather than a complete and detailed account of the entire work product that each group developed. The process used to develop this report is described in Appendix 4.



### Key Observations and Recommendations

The following are key observations and recommendations resulting from the workshop discussions as presented in the working groups' reports.

### RESEARCH

#### *Key Observations*

Content and methods used in research are in constant flux both within and between disciplines, and researchers must frequently employ interdisciplinary approaches to respond to emerging research problems. To carry out interdisciplinary research, one must have both disciplinary capability and interdisciplinary conversance. The ability to conduct interdisciplinary research is necessary to maintain U.S. competitiveness in high-value industries and has important economic and societal benefits through inventions and innovations that deliver new products and services

or improve the effectiveness and efficiency of existing processes.

Funding agencies play a key and ongoing role in supporting innovation and must continue support for the advances of core disciplinary research while also supporting research that cuts across disciplines. While federal funding agencies express the need for interdisciplinary approaches to problems, their structures and practices fall short. Some funding agencies have responded by funding multi-investigator, interdisciplinary proposals or problem-based proposals, but there are still concerns about the locus for review and funding of individual investigator-initiated grants.

## Recommendations for Advancing Interdisciplinary Research

### Universities

- Develop new models of university organizational structures and funding to facilitate interdisciplinary research and build incentives for interdisciplinary faculty collaboration.
  - Organize discussions about research around achieving open-ended scientific discovery and addressing social challenges rather than framing discussions in terms of disciplinary versus interdisciplinary science.
  - Form research teams driven by basic or applied problem-oriented research challenges that serve to reduce the emphasis on whether a given research matter is disciplinary or interdisciplinary.
- Develop short-term, intermediate-term, and long-term measures of success of interdisciplinary research encompassing pedagogy, the structure of academia, and developing a diverse workforce in science and engineering, as well as external effects on industry, society (societal problems), and policymaking.

### Funding Agencies

- Reduce the boundaries between disciplines at each of the funding agencies to encourage cooperation on review and funding. Foster interdisciplinary research at the individual research grant level in addition to the larger interdisciplinary grants.
- Collaborate among funding agencies and other constituency groups such as industry or states, and learn from each other's experience.
- Maintain a balance of funding between disciplinary and interdisciplinary research, emphasizing scientific problems as the major determinant in the types of funding programs in the portfolio.
- Increase the numbers of grants supporting interdisciplinary research and training clusters and centers in order to enhance the total investment in interdisciplinary research.
- Ensure the inclusion of more reviewers who are receptive to and conversant with interdisciplinary research. Multiple disciplinary reviews are not the same as review by colleagues who are experienced in interdisciplinary collaborations.



### Key Observations

The principal driver of interdisciplinary research is the faculty, as faculty members are in a position to identify new research opportunities. Faculty hiring practices are changing rapidly as the nature of research changes. To address the ongoing changes in the nature of inquiry, institutions continue to develop a range of hiring strategies, including cluster hires with a variety of models and hires with appointments shared between or among university units.

While the excitement of addressing significant new research problems as well as the advantages of

collaborative research are intrinsic incentives, successful collaboration depends upon faculty recognition and appreciation of each other's contributions to the research. However, successful interdisciplinary collaborations in both research and education can be difficult and time-consuming in many current university structures. Too often faculty lack institutional incentives and may even have disincentives for interdisciplinary research and education. Faculty may not be able to find funding for an interdisciplinary research grant or may not be rewarded by obtaining promotion or tenure for participation in

research and education that crosses university units.



## Recommendations for Advancing Interdisciplinarity and Engaging Faculty

### University Policies and Procedures

- Develop mechanisms for faculty with traditional disciplinary expertise to learn and embrace new interdisciplinary approaches and collaborations.
  - Establish incentives and remove disincentives for faculty to perform interdisciplinary research and teaching.
  - Address the incompatibility between traditional hierarchical administrative structures and new interdisciplinary cross-cutting programs.
  - Develop paths to reduce the potential tension between disciplinary and interdisciplinary interests when hiring faculty.
  - Reward successful interdisciplinary initiatives.
  - Provide mentoring and training of both junior and senior faculty in the skills needed to succeed in interdisciplinary research, including effective communication and teamwork.
- Develop new and agreed-upon models for evaluating faculty contributions to interdisciplinary work.
  - Establish policies regarding distribution of interdisciplinary grant overhead funds and credit for multi-authored publications, patents, and grants.
  - Define a mechanism for faculty to explicitly identify, communicate, and obtain credit for their individual contributions within multi-investigator interdisciplinary projects and publications.
- In order to facilitate the development of a broader more interdisciplinary view by faculty research collaborators, consider separating the research/graduate teaching functions from the academic unit-driven undergraduate teaching mission.
- Collect data and evaluate successful models of institutions that have demonstrated success with interdisciplinary initiatives.
- Develop ways to ensure benefit for multiple academic departments by using each other's courses, avoiding duplication of effort, and at the same time acknowledging the value of what their cognate colleagues bring to the table.

## Recommendations for Advancing Interdisciplinarity and Engaging Faculty – Continued

### Faculty Hiring, Appointments, and Assignments

- For both prospective faculty and for current faculty engaging in interdisciplinary endeavors, provide absolute clarity and transparency in the following areas:
  - Policies for tenure, promotion, and raises;
  - Faculty workload assignments when shared across departments or other units to foster interdisciplinarity; and
  - Valuation of work, which must be explicit and include both traditional measures and nontraditional measures that capture interdisciplinary breadth.

## GRADUATE EDUCATION

### Key Observations

There is a current and future need for scientifically trained professionals who can solve more complex problems, apply techniques from one field to another, communicate with others across disciplines, take risks, and be creative. It has been observed that students attracted to interdisciplinary graduate education appear to be more independent and more likely to “think outside the box” than others. On the



other hand, it has also been observed that interdisciplinary graduate training enables students to tackle more complex research problems, to be more creative, and to take greater risks.

Exposure to interdisciplinary study as undergraduates is the best preparation for interdisciplinary study at the graduate level. Because many complex problems are interdisciplinary in nature, graduate students must acquire a broader knowledge base

## Recommendations for Future Interdisciplinary Graduate Education

- Ensure that undergraduates are prepared to do research and have sufficient depth and breadth in a discipline to undertake interdisciplinary research when they are graduate students.
- Develop mechanisms to support, recognize, and reward teamwork in graduate education and in thesis topic research.
- Develop specific outcome goals for skill development in the broad topic of professional skills and match training to these goals.
- Recognize the unique stresses on graduate students in interdisciplinary programs and provide support and mentoring.
- Make funding mechanisms that are typically tied to departments more portable and guarantee multi-year support, but also ensure a mix of experiences, including teaching experience, for those aiming for careers in academia.
- Provide credentialing through dual degree programs, certificates, minors, concentrations, designated emphases, or other means so as to recognize a graduate student’s interdisciplinary training and potentially aid in communicating both disciplinary depth and interdisciplinary breadth to potential employers.
- Utilize and build on successful collaborations from the past and use these as models for transformative interdisciplinary graduate training.

and different skills in approaching complex interdisciplinary problems. Yet, departmental resource allocation may limit their ability to work across units. Furthermore, graduate students are strongly affected by the complexity and breadth of the research they pursue as well as the number of faculty from areas outside their own with whom they interact. Therefore, students need both training in and exposure to interdisciplinary research and education. The maximal amount of interdisciplinary graduate education within an institution is determined by the amount of interdisciplinary research at the institution. However, interdisciplinary research does not ensure interdisciplinary graduate education.

There are many examples of universities that have found ways to make graduate education more flexible and to provide both disciplinary

depth and interdisciplinary breadth, ranging from cross-campus programs to individualized interdisciplinary doctoral programs.

### ACADEMIC INSTITUTIONS

#### *Key Observations*

University administrations can make a real difference as supporters of faculty to lead and administer visionary interdisciplinary research and educational programs and collaborations. Maximal success of interdisciplinary research requires institutional recognition of its importance through the investment of resources and provision of incentives and rewards to faculty and departments. The central administration of an institution can facilitate interdisciplinary research by the types of new faculty positions created and by the resources provided to new faculty in interdisciplinary areas of research.

Supra-departmental structures such as centers and institutes can play an important role in supporting interdisciplinary research and education and are ideal for housing expensive core facilities to be shared by faculty of various disciplines, but they can also create tension with discipline-based faculty and departments. Some of this tension revolves around graduate education and the participation of graduate students in research in these supra-departmental structures.

The value of interdisciplinary collaborations and their output have been accepted internationally and models are being developed and instituted abroad to exploit these benefits.

## Recommendations for Supporting Interdisciplinarity in Academic Institutions

- Be strategic in planning for investment in interdisciplinary research and education based on institutional strengths, size, and type.
- Move away from rigid hierarchical structures to more dynamic and flexible structures in which faculty have some fluidity of movement between or across disciplinary homes.
  - Provide physical space and shared facilities that bring people together to support collaborative work.
  - Take advantage of new interdisciplinary funding opportunities offered by federal funding agencies.
- Clarify expectations for new and current faculty doing interdisciplinary research and education, and include all parties in the contract.
- Add new elements in promotion and tenure guidelines to include recognition and reward for contributions to interdisciplinary research and education.
- Continue to base interdisciplinary graduate education solidly in disciplinary programs while allowing mechanisms for new programs to evolve.
- Extend support for interdisciplinary research and education into undergraduate education.
- Forge links between majority and minority institutions in order to take advantage of the attraction of interdisciplinary research to broaden participation in science and engineering.
- Examine international models for interdisciplinary research and education and consider adapting/adopting successful models.





## Background and Rationale for the Workshop

From global sustainability to renewable energy to the origins of life in the cosmos to forecasting and potentially mitigating economic upheavals, the largest scientific challenges—and those that may hold the greatest opportunity for transformative technological solutions into the 21st century—are interdisciplinary in nature. The skills required from a new generation of trained scientists and engineers to address these challenges have been and continue to be broadly discussed and debated.

The National Academy of Sciences (NAS) Committee on Science, Engineering and Public Policy (COSEPUP), seeing the mounting challenge, took a lead in addressing the issue in 1995.<sup>1</sup> The NAS report was followed by a series of other works, all of which emphasize the importance and value of interdisciplinary graduate training in the form of broadened research and educational experiences both as a response to more complex global challenges and to enabling broader career opportunities for graduate students.<sup>2</sup>

In 1998, a distinctive program was developed by the NSF to address these issues: the Integrative Graduate Education and Research Traineeship (IGERT) program. In the decade since its inception, IGERT has funded over 4800 interdisciplinary science, technology, engineering, and mathematics (STEM) trainees in 98 institutions. The impact of IGERT on

the first three cohorts was evaluated and the results published in 2006.<sup>3</sup> In addition, output from all IGERT projects from 2006—2007 was summarized in an IGERT Annual Report.<sup>4</sup> An evaluation of the impact

address our larger global interdisciplinary scientific challenges.

It is now nearly 14 years since the 1995 COSEPUP report, and many other reports and publications on

*Discovery increasingly requires the expertise of individuals with different perspectives – from different disciplines... working together to accommodate the extraordinary complexity of today's science and engineering challenges.*

**National Science Foundation Investing in America's Future.  
Strategic Plan FY 2006-2011 (NSF 06-48)**

of IGERT on graduated trainees and their careers is underway. Other reports have cited IGERT—and the interdisciplinary training the students receive—as an example of the type of program that could positively impact and begin to

interdisciplinary training and research have followed it.<sup>5</sup> But many institutions, as well as the federal funding agencies on which these institutions rely for funding, still struggle with developing and implementing appropriate and supportive structures,



procedures, and recognition and reward systems to enable interdisciplinary research and education.

It is with this history and at the 10th anniversary of the inception of the IGERT program as a backdrop that the workshop from which this report is drawn was convened. The purpose of the workshop was to gain insights from the country's leading institutions that have had at least one IGERT award on how to capitalize on the value of

interdisciplinary STEM research and graduate education for the economic and societal health of the country, and to determine what is required for faculty, graduate students, academic institutions, and the research enterprise itself to thrive and contribute to U.S. competitiveness to an even greater extent into the future.

## Summary of Workshop Proceedings

The workshop participants were faculty and administrative leaders from some of America’s most prominent universities engaged in interdisciplinary transformation. Both the faculty and administrative leaders who participated are involved with the implications of interdisciplinary education, training, and research on a regular basis. These implications affect the way that research is conducted; how students are trained and educated; how faculty are hired, promoted, and rewarded; and even the structure of the university itself.

All invited participants in the workshop were active participants in the working groups and all were later invited to comment on the text of the report as summarized here. The Summary of Workshop Proceedings is presented in the four sections that follow. This summary is a synopsis and not a complete account of all discussions and written materials. Statements and observations shared

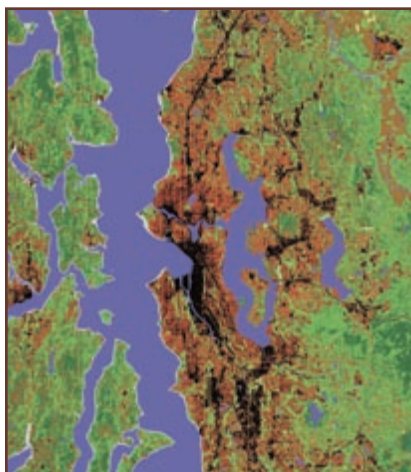
by the various working groups that help to illustrate key points are shown throughout the text of the report.

problems in science and engineering and how to approach them. Both basic and applied interdisciplinary



*Interdisciplinary research can lead to major practical advances and most ‘problem-oriented’ research is interdisciplinary.*

**Research Working Group, Administrators**



### The Impact of Interdisciplinarity on Research

Research that cuts across disciplinary lines has become increasingly prominent and important, both in basic and applied areas, concomitant with changes in technology and the increasing urgency of complex problems with societal impact. Discoveries and new technologies continue to change the way we think about

research are expected to become more important segments of the research venture in the future as issues and problems such as those relating to the biosphere, the impacts of technology on society, and renewable energy become more prominent.

Despite the need for and the value of interdisciplinary research, rigorous disciplinary research also has intrinsic value and provides the foundation for interdisciplinary

problem-oriented approaches to address new problems of large scope. Interdisciplinary research has had important impacts on disciplines in two ways.

- First, paradigms within single disciplines have often changed and benefited from researchers borrowing from and working with researchers from other disciplines. Responding to new discoveries and challenges, disciplines have advanced by utilizing theoretical, experimental, and technological advances from other fields (e.g., biological science has been advanced by discoveries in physical sciences and mathematics; archaeology benefits from new knowledge in climatology, botany, geology, etc).
- Second, many current disciplines have grown out of interdisciplinary research; examples include cognitive psychology, genomics, bioinformatics, neuroscience, and nanoscience.

speed of many processes. In industry, interdisciplinary work is the rule rather than the exception, and potential employees who know how

Funding agencies have a parallel challenge: they must maintain support for advances by core disciplinary research while also

*The challenge for disciplines is not to become interdisciplinary per se, but to be responsive to new discoveries and challenges associated with both scientific innovation and pedagogy.*

**Research Working Group, IGERT Principal Investigators**



to work with teammates outside their own specialized areas of expertise are highly valued.

The continuing increase in and emphasis on interdisciplinary research has important implications for faculty, graduate students, and institutions of higher education. These issues will be further explored in other areas of this report. Colleges and universities are traditionally organized according to disciplinary structures, and many have now strategically overlaid disciplinary structures with supportive units or

supporting research that cuts across disciplines. While federal funding agencies express the need for interdisciplinary approaches to problems, their structures and practices fall short. Funding agencies have responded by funding multi-investigator, interdisciplinary proposals or problem-based proposals (such as Department of Energy Centers organized around “grand challenges”). However, even in those cases where there is a call for more interdisciplinary research proposals, the proposals received are often reviewed by panels or study sections that may not be structured to handle the various disciplines reflected in the proposal contents. There continue to be concerns about the locus of review and funding when a proposal with an interdis-

*Interestingly, the structure of many funding agencies, like the structure of universities, is still based on disciplines, as are the major resource allocations. These structures face the same administrative challenges that the universities do, and are encouraged to consider being leaders in terms of structural change.*

**Research Working Group, IGERT Principal Investigators**



Interdisciplinary research may have substantial economic and societal benefit to the U.S. It has the potential to maintain U.S. competitiveness in high-value industries both through inventions and through innovations, including those that decrease the cost and increase the

new procedures in order to facilitate interdisciplinary interactions and research. The integration of these overlaid structures with the more traditional structures already in place needs to be articulated to optimize interdisciplinary research and outcomes.







*Assessment of the impact of both the technology and educational outcomes (of interdisciplinary research) is extremely difficult. A first difficulty is the time lag between when a change is implemented and when outcomes can be measured.*

**Research Working Group, IGERT Principal Investigators**

plinary theme is handled through a traditional review mechanism.

### **Measuring Interdisciplinarity in Research**

Although there has been a great deal of discussion concerning the impacts of and need for interdisciplinary research, it has been challenging to explicitly measure its value. Measures of the value of interdisciplinary research and its impact can be framed as short-term (research breakthroughs, development of new academic programs); intermediate-term (effects on industry, public policy, the workforce); and long-term (creation of new disciplines). Societal impact can be framed in the same way: broadening participation in the short-term; developing a more flexible and diverse workforce in the

intermediate-term; and attracting more K-12 students to science and engineering in the long-term.

The degree to which a specific research program is interdisciplinary and the extent of the impact of such a program may be measured by the following factors, some of which are easily recognized, and some of which will require a fundamental definition of how to develop a measurement:

- Multi-PI/co-PI external funding;
- Numbers of people (faculty, graduate students, undergraduates) actively involved in producing collaborative outcomes such as multi-authored papers in high-impact journals;
- Filing of patents that are interdisciplinary;
- Level of transformation produced (interdisciplinary research should partly justify its existence by producing levels of transformation not possible within disciplines); and
- Connectivity among participants (are they well connected and how wide is the connectivity).

*The need for continued support of single investigator, focused research proposals is clear. However, it is equally clear that there need to be efforts on the part of federal funding agencies to foster and support interdisciplinary research.*

**Research Working Group, IGERT Principal Investigators**



## **Recommendations for Advancing Interdisciplinary Research**

### **Universities**

- Organize discussions about research around achieving open-ended scientific discovery and addressing social challenges rather than framing them in terms of disciplinary versus interdisciplinary science.
- Consult with and learn from industry on how best to achieve teamwork on interdisciplinary research problems and how to prepare people for it in the future.
- Develop short-term, intermediate-term, and long-term measures of success of interdisciplinary research, encompassing internal effects on pedagogy, the structure of academia, and development of a diverse workforce in science and engineering, as well as external effects on industry, society (societal problems), and policymakers.
- Form research teams driven by problem-oriented research challenges that serve to defocus emphasis on whether a given research challenge is disciplinary or interdisciplinary.
- Remove disincentives and create incentives for faculty to engage in interdisciplinary research.

## Recommendations for Advancing Interdisciplinary Research – Continued

- Develop new models of university organizational structures and funding to facilitate interdisciplinary research.

### Funding Agencies

- Reduce the boundaries between disciplines at each of the funding agencies to facilitate cooperation on review and funding.
- Maintain a balance of funding between disciplinary and interdisciplinary research, emphasizing scientific problems as the major determinant in the types of funding programs in the portfolio.
- Increase the numbers of grants supporting interdisciplinary research and training clusters and centers in order to enhance the total investment for interdisciplinary research.
- Because the impact of discoveries is often unforeseen, maintain a portfolio approach to research funding including both research with expected shorter term practical and economic impact, and research with less defined but potentially longer term impact.
- Foster interdisciplinary research at the individual research grant level in addition to the larger interdisciplinary grants. Include more reviewers who are receptive to and conversant with interdisciplinary research. Multiple disciplinary reviews are not the same as reviews by colleagues who are experienced in interdisciplinary collaborations.
- Effectively collaborate with other funding agencies and other constituency groups, such as industry or states, and learn from each other's experience regarding interdisciplinary research and education.
- Be aggressive in staying knowledgeable about current and emerging research areas. One mechanism to achieve this goal would be to expand support for workshops in which scientists and constituencies convene to brainstorm responses to critical interdisciplinary research issues.
- Include interdisciplinary skills training as a part of grant-writing workshops.



### The Impact of Interdisciplinarity on Faculty

The faculty is a critical driver of interdisciplinary research and education. In response to the demands of the changing research enterprise and the greater need to work across disciplines, the methods for and types of new faculty hires are changing rapidly. Some universities are engaging in interdisciplinary strategic planning for the future, including planning for faculty hires. Types of appointments include cluster hires, joint or multiple appointments, and appointments to other units such as centers or institutes in addition to departments. Universities are clearly adopting a wide range of hiring strategies.

Examples of such hiring processes and faculty appointments include the following:

- At the University of Alabama, cluster hires are initiated by several interdisciplinary centers, but successful candidates decide which unit they want to join.
- At Oregon State University, the interdisciplinary program can make hires, although each hire is typically associated with one department.
- At the University of Washington, a distinguished professor was hired and allowed to bring her/his team.
- At Rutgers University, cluster hires are at the associate professor or higher level only.
- At Michigan Technological University, an interdisciplinary cluster hiring team composed of

*From a faculty perspective, the change in hiring practices has injected energy into campuses, although problems remain.*

**Faculty Working Group, IGERT Principal Investigators**



researchers in sustainability from across the university invited candidates to select the departments (up to two or three) in which they would be placed.

- At some schools, faculty hires are aligned with strategic strengths. At SUNY Buffalo, for example, faculty hires are aligned to strategic strengths identified via a lengthy bottom-up process.



*The principal driver of effective interdisciplinary research in areas amenable to it is the faculty.*

**Institutions Working Group, Administrators**



Faculty members have many intrinsic incentives to engage in interdisciplinary research and education. These include the opportunity to do something new, particularly if faculty are at mid-career; the excitement of addressing large problems with societal significance; a broader range of funding possibilities; opportunities to network with other faculty outside the home department; the fun of collaboration; the opportunity to recruit better and more diverse students; and the knowledge that these students will get what the faculty consider a better education. While these incentives and rewards are important, they must be bolstered by institutional rewards and recognition.

- For new faculty, there may be a risk in engaging in interdisciplinary activities to the exclusion of disciplinary activities and thus the risk of alienation from a disciplinary unit. Because undergraduate teaching still revolves around disciplines, there may be a tension between the faculty role as teacher and interdisciplinary researcher.
- Other challenges for faculty include the need for a broader knowledge base than their single-discipline colleagues, the difficulty for departments to appreciate or evaluate interdisciplinary research, and interdisciplinary team-teaching as an overload.



*Is collaboration recognized at tenure time?*

**Faculty Working Group, Administrators**

- At Northeastern University, there has been a change from filling teaching needs to fulfilling interdisciplinary needs with joint departmental hires. It is also common to have hires with joint departmental/center appointments.
- Again at Rutgers University, a faculty member started in chemical engineering but was jointly appointed to chemical engineering and bioengineering after acquiring tenure.

Although there are many attractions for interdisciplinary work, there are also concerns at several levels.

- Faculty engaging in interdisciplinary activities may find that ties to their traditional disciplines, whether through personal relationships or professional society affiliations, may be weakened as a result of being more engaged with other disciplines.

### *Measuring and Enabling Interdisciplinarity in Faculty Interaction*

Innovative measures for the value or success of faculty adopting or participating in interdisciplinary research include fulfilling the needs to:

- Quantify co-authorship from different disciplines with roles and contributions of faculty on interdisciplinary scholarly work explicitly identified. Consider giving each author full credit regardless of authorship position.
- Quantify participation in extramurally funded interdisciplinary research and education.
- Prove the achievement of broader impacts with evidence of policy impact, K-12 curriculum changes, adoption of results by the private



sector, and level of satisfaction within and across programs.

- Quantify the effort involved in developing interdisciplinary initiatives; for example, participation in working groups, development of letters of intent
- Include the number of students supervised who are from other departments as a consideration in faculty evaluation.

or preliminary proposals, and submission of full proposals.

## Recommendations for Advancing Interdisciplinarity and Engaging Faculty

### University Policies and Procedures

In order to foster interdisciplinary work, universities should take the following steps to benefit the faculty:

- Develop mechanisms for faculty with traditional disciplinary expertise to learn and embrace new interdisciplinary approaches and collaborations.
- Develop paths to reduce the potential tension between disciplinary and interdisciplinary interests when hiring faculty.
- Develop new models for evaluation of faculty contributions to interdisciplinary work. All parties should agree on such policies as distribution of grant overhead funds and credit for multi-authored publications, patents, and grants. Faculty should have a mechanism to more explicitly identify and communicate their individual contributions within multi-investigator interdisciplinary projects and publications.
- Remove disincentives to interdisciplinary teaching and research such as teaching overloads, barriers regarding new curricula, and excessive administrative demands.
- Address the incompatibility between traditional hierarchical administrative structures and new interdisciplinary cross-cutting programs.
- Consider separating the research/graduate teaching functions from the academic unit-driven undergraduate teaching mission such that a broader more interdisciplinary view can be developed by faculty collaborators.
- Establish incentives for the faculty to do interdisciplinary research.

- Assist faculty so that they may most efficiently and effectively carry out interdisciplinary research. Such assistance could include a proactive approach to the formation of interdisciplinary teams, including release time in recognition of the time required; mentoring and training of both junior and senior faculty in the skills needed to succeed in interdisciplinary research, including effective communication and team building; identifying external funding opportunities; and providing incentives such as seed funding or release time for interdisciplinary proposal preparation.
- Reward successful interdisciplinary initiatives, for example, allocate space and additional faculty full-time equivalents (FTEs).
- Collect data and evaluate successful models of institutions that have demonstrated success with interdisciplinary initiatives.

### Faculty Hiring, Appointments and Assignments

Both for prospective faculty and for current faculty engaging in interdisciplinary endeavors, absolute clarity and transparency are essential in the following areas:

- Policies for tenure, promotion, and raises must be laid out well in advance. These decisions are typically made within departments, and interdisciplinary activities take place across departments.
- Faculty workload assignments should be transparent. If the workload is shared across departments and/or other units, then a formal, written agreement such as a Memorandum of Understanding should be reached among all participating parties. The potential difficulties

of appointments crossing units with different missions and workloads must be recognized and addressed.

- Valuation of work must be explicit, including both traditional measures such as productivity and funding obtained, and nontraditional measures such as formation of interdisciplinary groups; publishing outside the

home discipline in collaboration with other faculty; mentoring students outside the home department; valuing course offerings that attract students from other disciplines; and supporting students outside the home discipline. Appropriate rewards must also be made explicit.



### The Impact of Interdisciplinarity on Graduate Education

Today and in the future, the most exciting research topics include many that must be approached from the perspectives of more than one discipline. To become successful leaders and innovators in the interdisciplinary science and engineering of tomorrow, graduate students need both disciplinary depth and interdisciplinary education. In part, the debate about the kind of preparation graduate students need is embedded in the enduring discussion on breadth versus depth in graduate education as well as the emerging discussion on the value of transformative research. Moreover, the question of appropriate graduate-level preparation is related to the topics of transformative graduate training and interdisciplinary graduate training. Yet regardless of the type of graduate educational program, it is accepted that disciplinary depth enables scientists and engineers to bring known and respected expertise to the table in any collaborative project. Thus, deep disciplinary knowledge will continue to be critical and must continue to be instilled.



While critical thinking skills, creativity, and the capacity to create new knowledge will continue to be the foundations of all graduate education, so-called “soft skills” must also be developed in graduate students.

*Interdisciplinary training will prepare students for the careers of the future, which may be vastly different from the careers of today.*

**Graduate Education Working Group, IGERT Principal Investigators**

Teamwork skills are a necessity for all graduate students regardless of their graduate programs. Teamwork skills include the critical ability to communicate across disciplines, and teamwork training can take place either as a part of coursework or during work on a research project.

Government and industry have had more emphasis on and experience in working in teams than academia and, thus, have expertise in this area that should be utilized and adapted for academic contexts. The ability to communicate the value and importance of science to public stakeholders is also becoming more important. Therefore, effective interdisciplinary training must also include mechanisms of effective communication to nonscientific as well as scientific audiences outside a given area of expertise.

In considering what constitutes transformative interdisciplinary graduate training, the following are important elements:

- Training that leads students to work comfortably, independently, and effectively at interfaces, i.e., not only having the knowledge of how interdisciplinary teams could be put together and how to work with people in other fields, but also how to develop research

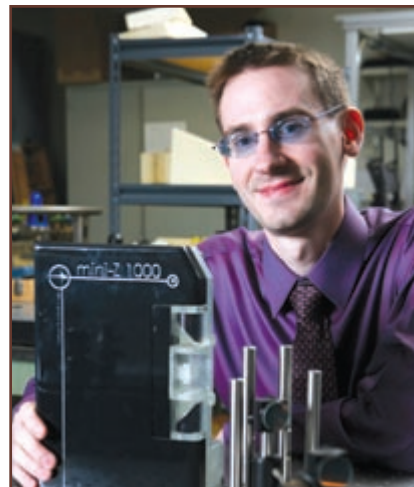


vision and carry out the research at interdisciplinary interfaces.

- Mechanisms to help graduate students develop skills that enable them to reinvent themselves throughout their careers, tracking changes in science as knowledge evolves.
- Integration of ethical considerations into professional development of graduate students.

*Biologists.*<sup>6</sup> Interdisciplinary themes may provide more creative and attractive venues for undergraduate students, improving the retention of creative and diverse students.

Graduate students seeking interdisciplinary training are perceived to have broader backgrounds, more independence, greater creativity, and more willingness to take risks than those entering single-discipline



demand of them a different knowledge base than that required for disciplinary research. Positive impacts of conducting interdisciplinary research are developing skills to approach problems that cannot be solved by single disciplines and a broader range of faculty input and guidance. Potential negative impacts may include less specialized training in certain areas, a less-well-marked professional identity, and a more nebulous set of criteria for success. Finally, the departmental structure of resource allocation can sometimes negatively impact students who work between departments.

Interdisciplinary research can be an effective means of broadening participation by creating bridges between minority-serving institutions (MSIs) and majority-serving

*Strong core disciplines still provide an important foundation for undergraduate study, but undergraduate exposure to interdisciplinary themes can be a strong value-added component.*

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In addition to its importance as an element of transformative graduate training, interdisciplinary research strongly attracts students. K-12, undergraduate, and graduate students alike are excited by the chance to work on problems they see as relevant and important to society, which are often interdisciplinary problems. There is an ongoing discussion whether interdisciplinary graduate education, particularly in areas such as sustainability, may be particularly attractive to women and minorities.

programs. Graduate students getting interdisciplinary training are perceived by faculty to become highly motivated, focused, willing to tackle complex problems, more creative, and more willing to take risks. They may also acquire the flexibility necessary to transform themselves throughout their careers as research opportunities change.

Graduate students undertaking interdisciplinary research are strongly impacted by a number of factors. These factors include the

Students at the undergraduate level need to develop flexibility earlier on if they are to move into interdisciplinary fields at the graduate level. Some undergraduate institutions are becoming more interdisciplinary in their undergraduate curriculum as occurred in response to the National Research Council's Report *BIO 2010: Transforming Undergraduate Education for Future Research*

*We must do more to promote and support undergraduate interdisciplinary training.*

**Graduate Education Working Group, Administrators**

number of faculty from different areas with whom they interact, as well as the complexity and breadth of current research topics, which

institutions at several levels. Examples of the way that these bridges may be built are as follows:

- Interdisciplinary research projects can enhance the research infrastructure available to faculty and students at MSIs. Collaborative research projects enable cost-effective leveraging of NSF's and other agencies' investments in research infrastructure.
- Research ties often lead to educational ties, particularly at the graduate level. For example, teleconferenced research group meetings are the first step in a natural progression that can lead to the sharing of research seminars and graduate courses.
- Interdisciplinary research is an effective means for building strong recruiting pipelines between MSI and non-MSI institutions. For example, students from MSIs who work on cross-campus interdisciplinary research projects are more likely to consider graduate or postdoctoral positions at the partner institution.



- Strong faculty-to-faculty connections are invaluable in recruiting. Faculty at MSIs can be outstanding ambassadors for large research institutions. In some cases, these pipelines can be formalized through bridge programs. The NSF's

Partnership for Research and Education in Materials (PREM) program is an excellent example of the bridging role between MSIs and majority institutions that interdisciplinary research may serve.

New approaches to interdisciplinary training include admissions policies that allow students to make choices

*Providing opportunities to participate in an interdisciplinary program of study may enhance efforts to recruit a diverse student body. The integration of undergraduate and graduate training should be enhanced in order to improve the recruitment of a diverse graduate population. The pipeline needs to be broadened at the undergraduate level...*

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concerning traditional departments or interdisciplinary programs or mixtures of these; common introductory graduate courses shared among departments; co-advisors from different disciplines; rotations across research laboratories; designated emphases, specializations, or concentrations; interdepartmental programs that cut across departments; new structured interdisciplinary programs; and individually designed interdisciplinary programs.

Examples of mechanisms to allow or promote student flexibility and breadth include the following:

- At SUNY Buffalo, emphasis on interdisciplinary education has led graduate directors from different engineering and physical science departments to begin developing common introductory courses shared among departments. These

courses create space in the curriculum to do more interdisciplinary work at the upper levels.

- At the University of California-Davis, one of the mechanisms used to allow greater flexibility and breadth while ensuring depth in a recognized discipline/field is the "Designated Emphasis (DE)." The campus has a number

of DEs, such as the DE in Biotechnology and DE in Biophotonics, which allow Ph.D. students from a variety of graduate groups/programs to receive additional training in a particular interdisciplinary area that is recognized on their diplomas and transcripts. For example, they may complete a Ph.D. in Chemical Engineering along with a DE in Biotechnology. This approach provides a formalized structure that is similar to "specializations" or "concentrations" at other institutions. One of the most important considerations is to strike a balance between disciplinary expertise and interdisciplinary training.

- The Pennsylvania State University offers graduate students a dual-title graduate degree program. Students enter through a discipline-based graduate program and must then apply to and be

admitted into the secondary area of study for substantial coursework under the supervision of a faculty advisor from that area. The Graduate Council must approve any newly constituted dual-title degree. The student's diploma carries the name of both the major and the dual-title offering.

- Another mechanism to encourage interdisciplinary, collaborative research is to allow students to include jointly authored chapters in their dissertations. Graduate schools at the University of Idaho and the University of Minnesota allow students to include chapters that are co-authored by multiple students, i.e., the same chapter is used in multiple dissertations. This practice goes a step beyond allowing jointly authored chapters to be included in the senior author's dissertation, which most universities do.
- Another novel approach is the ACCESS program at the University of California-Los Angeles in which students are admitted to graduate study in a given interdisciplinary field and receive

may be easier to implement in some fields than others. For example, such a rotation system is common in biology but not in

- At the University of Maine, students in the Interdisciplinary Ph.D. (IPhD) program must establish an interdisciplinary

*Researchers with interdisciplinary training and a solid disciplinary foundation will be required for many careers of the future.*

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engineering, in which students usually join research groups within their first year.

- The “Matrix” organization employed at Michigan State, the University of Minnesota, and the University of Idaho consists of interdepartmental programs that enable collaboration, interaction, and joint efforts among students and faculty in different departments.
- At the University of Florida, students may enter an interdisciplinary program and then decide on the department with which they have an affinity, giving them exposure and options across disciplines.

graduate committee and negotiate both the program of study and their support with relevant faculty members.



- Arizona State University has developed multiple platforms by which students may enter doctoral programs: they may enter into a more traditional Ph.D. program heavily grounded in a discipline; they may enter through a traditional Ph.D. program that has developed a host of concentrations that are shared by other interdisciplinary programs and be in courses with students from other disciplines (within the concentration); or they may enter truly interdisciplinary Ph.D. programs where students are part of a more interdisciplinary

*The ability to effectively work in teams to solve complex problems will be essential to many careers in the future.*

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funding pledged by participating departments before they have even selected the particular degree program in which they will enroll. They can then select the department and research group they will join later on in their program. This approach

- In addition to Interdepartmental Degree Programs, the University of Michigan offers graduate students the option of combining studies from two Ph.D. programs that will lead to a single Ph.D. (the Student-Initiated Degree Program).



world and yet can take concentrations and coursework in other programs. In the university's experience, the key is to find the best match for the students depending on their goals, perspectives, and career aspirations.

### *Measuring and Evaluating Interdisciplinarity and Its Impact on Graduate Education and Students*

Evaluation of interdisciplinary educational programs might include topics as outlined below, some of which are easily measurable and

- The nature of the research done as described in the thesis abstracts;
- Comparing interdisciplinary theses and dissertations with those of students in traditional departments for impact through, for example, citations, publications and/or citations in influential journals; and

- Opportunities and career outcomes for students after graduation. Specifically:

- Does the employment obtained meet the student's goals?
- Do students get jobs advertised as interdisciplinary?
- Do students with interdisciplinary training have different career trajectories than students who have not? Do they advance more rapidly, have greater flexibility, or follow different career paths?
- Do these students contribute to discoveries at the "white spaces" between disciplines?
- Do they more often become entrepreneurs?
- Are students with interdisciplinary training effective educators, communicators, and team builders?



*Future STEM graduates must be able to explain why science matters to society and how basic science and technology relate to each other.*

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Emerging fields are expected to present new job opportunities. The promise of a career after graduation is a strong motivator for graduate students to acquire the skills and expertise they will need for these careers and to complete their degrees. Flexibility and adaptability will be hallmarks of successful scientists of the future, and while interdisciplinary training may not be an advantage in obtaining positions defined by single discipline expertise, it will improve a graduate's possibilities of obtaining other positions.

In addition, there is an important role for training programs that make it possible for graduates to adapt to changes in career opportunities that they face after graduation and to plan for flexible career paths. It may be important to screen applicants to graduate programs not only for academic prowess in the discipline, but also for evidence of leadership, communication skills, and teamwork experience that would enable them to be flexible in their careers.

some of which will require new methods of measurement.

- Numbers of students attending meetings outside their home disciplines;
- Number and quality of team-taught classes bridging multiple disciplines and academic units;
- Student participation in interdisciplinary collaborations and leadership roles in interdisciplinary teams;
- Publication records of the students in the program, including joint publications across disciplines;
- Compositions of thesis committees that include an interdisciplinary mix;

*As a nation, we cannot continue to rely on the availability of international talent.*

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## Recommendations for Future Interdisciplinary Graduate Education

- Undergraduates should be better prepared to do research and should have sufficient breadth to undertake interdisciplinary research when they become graduate students.
- Graduate students should be better prepared to formulate and implement broad-based interdisciplinary research questions and helped to develop better basic analytic and quantitative skills.
- New learning technologies should be integrated into graduate education.
- Graduate education of the future should free itself from the “3-credit intellectual structure” and begin creating more immersion and module experiences that focus on knowledge and competencies with appropriate learning outcomes at the end of the experience. As the breadth and depth of knowledge and skills required by interdisciplinary students increase, the organization of training experiences must be reconfigured for the most effective and efficient delivery.
- Mechanisms should be developed to support teamwork in graduate education and in thesis topic research.
- Models for transformative interdisciplinary graduate training may be found in successful collaborations from the past where interdisciplinary teams made incredible advances. This approach could be used more broadly to engage young scholars from disparate disciplines to tackle significant scientific challenges and societal problems. It would foster collaborative efforts in fields where single-investigator research is traditionally more common.
- Specific outcomes for skill development in the broad topic of professional skills need to be developed and training needs to be matched to these outcomes. Skills for communication and engagement with the public; training in ethics and responsible conduct of research; global awareness; and the ability to use new learning technologies, incorporating more cooperative and collaborative learning techniques and greater breadth should be included.
- Recognizing the unique stresses on graduate students in interdisciplinary programs, mentoring and tracking should be carefully planned.
- Funding mechanisms within the university are typically tied to departments but should be more portable. A funding mechanism for the first year of graduate school should allow greater exploration prior to choosing an advisor and research area. Further, support mechanisms should be found to fund graduate students in a way that allows and encourages their education and research to cross institutional units.
- Multi-year support should be guaranteed, but a mix of experiences should be ensured, including teaching experience for those aiming at careers in academia.
- Dissertation-year fellowship support is desirable so that graduate students may carry out interdisciplinary thesis research.
- Building collaborative interdisciplinary research involving both minority-serving and majority institutions should be utilized as a means to broaden participation in science and engineering.
- Credentialing through dual-degree programs, certificates, minors, concentrations, designated emphases, or other means should be found to identify a graduate student’s interdisciplinary training and potentially aid in communicating both disciplinary depth and interdisciplinary breadth to potential employers.
- While there is a need to increase the number of U.S. citizens and permanent residents in science and engineering so that innovation is not outsourced, admissions policies should take into account not only student demand and student funding availability but also workforce needs and the placements of graduates in specific fields, including interdisciplinary fields.
- Recruitment of underrepresented minorities to STEM graduate study should focus on growing the entire pipeline rather than redistributing a fixed number of minority students who would be bound for graduate school in any case. Interdisciplinary research on topics of societal significance can be an important attractant.



## The Impact of Interdisciplinarity on Academic Institutions

The magnitude and scope of interdisciplinary research—and structures and incentives to support it—vary significantly across academic institutions. Those institutions that have focused on disciplines that are historically based on solitary rather than collaborative scholarship are by design less interdisciplinary in structure and outlook. Both the size of an institution and the amount of disciplinary teaching responsibilities have an important impact on the

were several decades ago. Examples include Biology and Mechanical Engineering. Some research areas, such as Materials Science, did not exist as disciplines until quite recently. Some departments, such as Neuroscience, began as interdisciplinary endeavors, and sometimes formation of new departments takes place long after their founding disciplines are recognized, as in the case of Computer Science. In still other cases, research centers and institutes rather than departments have been created to bring faculty together to work on research problems that cross disciplinary boundaries.



evolving from cluster hires or centers. Traditional departments are beginning to look outward, and their faculty are more connected across disciplines. Faculty may have joint or multiple appointments. Physical locations of faculty from traditional departments and interdisciplinary programs may be at various places on campus. Faculty offices may be in a centralized location but their laboratories may be in other buildings where equipment can be shared across disciplines. These new structures are often formed based on new challenges, and not on the core discipline, providing a context in which to engage and connect faculty.

Central units can facilitate interdisciplinary research by the type of faculty positions created and by providing proximal research space and core facilities. Continued successful faculty collaboration requires recognition of the importance of these interdisciplinary efforts as they are frequently outside the usual criteria for tenure and promotion.

Strategic faculty hiring with shared positions between departments can be key to fostering the development of new areas of interdisciplinary collaboration. Success in these shared



*Evolution is pervasive!*

**Academic Institutions Working Group, Principal Investigators**

faculty’s ability to focus on and the freedom to pursue opportunities outside their own disciplines. Small departments may not have the resources to allocate to interdisciplinary research or teaching without threatening their ability to deliver their core curriculum. Yet smaller institutions may also have the advantage of being able to implement change in targeted, strategic areas more quickly. Larger institutions may have more resources and may have more opportunities to “grow” interdisciplinary research or education at relatively little risk.

Disciplines are not fixed in time but continue to evolve, and thus the university must adapt administratively and structurally to accommodate this evolution. Departments may retain the same title, but they can be quite different than they

Changes in departmental and university practice are often based on new research challenges, and these changes are numerous. Traditional departments are hiring faculty outside their own disciplines (chemists hiring biologists, chemical engineering units hiring chemistry and biology majors). New interdisciplinary departments are naturally



positions requires clear and transparent understandings between deans, department chairs, and faculty about promotion and tenure criteria.

While the incentives for interdisciplinary collaboration are substantial, there are also significant disincentives for change toward interdisciplinary research and education. Among the most important disincentives are structures and policies that place disciplinary research and training in conflict with interdisciplinary research and training or that do not support the infrastructure required for interdisciplinary success. Observations from the workshop regarding structure and policy challenges include the following:



*Many pressing problems requiring solution are interdisciplinary, so there is a mismatch between current disciplinary structure and the nature of inquiry.*

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- Policies pertaining to faculty incentives and rewards including tenure and promotion criteria are often implemented primarily by departments.
- Stringent within-discipline accrediting criteria at the institution can limit shared faculty time for interdisciplinary teaching and research.
- Departmental responsibilities for the undergraduate curriculum can impact not only faculty participation in interdisciplinary activities, but also graduate student participation through heavy requirements for departmental teaching assistantships that are important for student support.

- The current ranking systems by a variety of enterprises, including the National Research Council, have taxonomies rooted in traditional disciplines. These rankings are used both externally and internally to evaluate programs and departments. Those programs that have moved toward interdisciplinary education are ranked inappropriately or not ranked at all and, therefore, are at a disadvantage for applicants using the ranking systems as important criteria in evaluating their choice of which institutions to attend, or administrators valuing the programs within the institution.

- Research and administrative staff members are impacted by interdisciplinary programs, since they must respond to a broader clientele. The financial support for these individuals can be a shared responsibility among various central units or they can be temporary positions paid from any interdisciplinary funding (e.g., IGERT). The former model provides the most stability but is the least used. The second, soft-money solution is the more common and is the least desirable for many reasons including lack of stability, insufficient funds for these functions, and temporary staff that lack institutional memory



or sufficient training in grants or academic management processes.

- There can be a major impact on grants management by the institution, since interdisciplinary proposal submission and management are more complex. This impact can be a burden for small departments or potentially confusing if there is not sufficient clarity on the process.

In addition, several challenges arise in measuring productivity and assigning credit for interdisciplinary endeavors across institutional units:

- Perspectives concerning authorships differ among disciplines (e.g., perceived merit of single versus multi-author publications, author order in recognition of contribution, etc.),
- The assignment of credit for collaborative products (proposal submission, funding, graduate thesis work) is difficult.
- FTE distribution across units for courses with students enrolled from different disciplines often differs.

The importance of interdisciplinary collaborations for the future of the scientific enterprise has also prompted examination internationally, and models for interdisciplinary research and graduate education are being developed that succeed in respecting existing cultural differences. It is important to explore institutional arrangements that might be usefully adopted or adapted. The U.S. model of graduate education focuses on purely academic institutions and independent research institutes, most of which are structured much like academic institutions.

In contrast, many European models linking interdisciplinary research with graduate education include much closer collaborations between academic institutions and the private sector. The private sector collaboration can work very well for both basic and applied research, depending on the field and industry involved. A major limitation, however, is the conflict of interest between the faculty member's freedom to publish and the private sector's intellectual property position.

Another common research and education model that is used outside the U.S. is interdisciplinary research and graduate education concentrated in government laboratories. The current limitations in the U.S. for the

government laboratory model compared to other countries include different models of primary and secondary education in other countries, different models for the structure of the scientific workforce, different accrediting structures and differing views of and roles of government labs.

Organisation (CSIRO) model in which industry, government, and academia collaborate with aspects of a think tank operation including visiting international scientists, a fluid and open environment, numerous student opportunities, an understanding

*The university, department or school must establish metrics to reward interdisciplinary activity.*

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U.S. accrediting associations have been reluctant to grant accreditation to non-academic institutions, so the latter must partner with an academic institution to be accredited for graduate education. The principal tension is the perception that the faculty of one unit is responsible for the teaching and the other gets the benefit of the trained student.

Some examples of international models include:

- The Max Planck Institutes (Germany) model for industry and government participation along interdisciplinary themes.
- The Australian Commonwealth Scientific and Industrial Research

of industry needs, and consultancy are a normal expectation for CSIRO researchers.

The increasing importance of graduate education at international sites serves as a reminder that science and engineering are global, and that U.S. Ph.D. graduates will be in competition with doctoral graduates from abroad. The U.S. must continue to nurture creativity and develop those skills that will serve its graduates well in the future.

***Measuring Interdisciplinarity in Academic Institutions***

- Generally speaking the same metrics used to evaluate disciplinary research and education (e.g., publications, funding, student outcomes) can be used to evaluate interdisciplinary programs, but they need to be evaluated independently.
- Specific metrics need to be developed at all levels—faculty, student, and institutional.

*The most important incentives for interdisciplinary research and education are that they attract and retain high-quality faculty and students.*

**Academic Institutions Working Group, Administrators**



## Recommendations for Supporting Interdisciplinarity in Academic Institutions

- Institutions must be strategic in planning for investment in interdisciplinary research and education based on their strengths, sizes, and types.
- Institutions should move from hierarchical structures to more dynamic and flexible structures in which faculty have some fluidity of movement between or across disciplinary homes.
- Physical space and shared facilities such as microscopy unit, analytical labs, etc., that bring people together should be provided to support collaborative work.
- Interdisciplinary graduate education should, in most cases, remain solidly based in disciplinary programs while allowing for a mechanism for new programs to evolve.
- New faculty positions for interdisciplinary research and education require clarity of expectations, and all parties must be included in the contract.
- New elements of promotion and tenure guidelines need to be added to include recognition and reward for contributions to interdisciplinary research and education.
- Support for interdisciplinary research and education should be extended into undergraduate education.
- Support is required for administrative help and other personnel and may need to include funding sources external to the institution.
- Links between majority and minority institutions should be forged in order to take advantage of the attraction of interdisciplinary research to broaden participation in science and engineering.
- Institutions should explore establishing internal granting programs that require interdisciplinary collaboration.
- Ways of better organizing the institution should be found to take advantage of new external interdisciplinary funding opportunities.

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## Photo Credits

Front Cover (left to right): IGERT Fellow Amy Henry and Thai Ph.D. student Panpim Thongsripong collecting disease vectors in a tropical forest near Khao Yai National Park in Thailand. (0549514: Wilcox, University of Hawaii)

*Credit: Ron Paik, University of Hawaii*

Climate change represents one of today's most prominent scientific challenges, and polar bears are one of the many species affected by climate change. Charles Kolstad, principal investigator of an IGERT project bringing together economics and environmental science at the University of California at Santa Barbara (UCSB), gave graduate student Nick Burger an opportunity to work with him as a lead author of a section of the fourth report of the Intergovernmental Panel on Climate Change (IPCC). (0114437: Kolstad, University of California - Santa Barbara)

*Credit: Susanne Miller, U.S. Fish and Wildlife Service*

BLM co-camp director, Christopher Noyles, using the SUBR GPS equipment to measure the location of a Michigan Tech seismic monitoring system deployed on the Bering Glacier, Alaska. (0333401: Sutherland, Michigan Technological University)

*Credit: Bob Shuchman, MTRI*

University of Washington IGERT trainees and faculty work with Sichuan University students and Jiuzhaigou National Park Staff to establish permanent ecological plots in former Tibetan pastures and farm land. (0333408: Hinckley, University of Washington)

*Credit: Julie Combs*

BootCamp 2007 IGERT trainee Silvia Cermelli-Ferrante prepares samples in IGERT faculty member Dr. Edward Nelson's lab. IGERT students were introduced to flow cytometry, which is a technology that simultaneously measures and then analyzes multiple physical characteristics of single particles, usually cells, as they flow in a fluid stream through a beam of light. (0549479: Li, University of California - Irvine)

*Credit: Rachel Mangold*

Page 3: Members of the department of biological sciences at the University of Alabama, are shown at the Sipsey River floodplain in west-central Alabama, a field site used for research by IGERT trainees. (9972810: Ward, University of Alabama - Tuscaloosa)

*Credit: Dr. Amelia K. Ward, Center for Freshwater Studies, University of Alabama*

Page 4: Avoiding the tropical heat, Hawaii IGERT students sort collected mosquito samples late at night near Khao Yai National Park in Thailand. (0549514: Wilcox, University of Hawaii)

*Credit: Ron Paik, University of Hawaii*

Page 8: A northern saw-whet owl (*Aegolius acadicus*) in the Pacific Northwest Forest, part of a research study in the Pacific Northwest Forest by John Marzluff, College of Forest Resources, University of Washington. (0114351: Bradley, University of Washington)

*Credit: John Marzluff, College of Forest Resources, University of Washington*

Page 9: A Landsat Thematic Mapper satellite image from 2002 showing land cover for central Puget Sound in Washington State. These types of maps are used by IGERT PIs and trainees to better understand the ways in which humans interact with their environment. (0114351: Bradley, University of Washington)

*Credit: Jeffrey Hepinstall, Urban Ecology Research Laboratory, Department of Urban Design and Planning, University of Washington*

Page 10: This picture was taken in 2007, one year after the 2006 Tripod Complex Fire in northern Washington State. The wildfires were initiated by two lightning strikes and spread over 175,000 acres of mixed conifer forest in the Okanogan National Forest. The Tripod Complex was one of the largest wildfires in Washington in the past half-century, costing more than \$82 million in resources to fight. (0333408: Hinckley, University of Washington)

*Credit: Joanne Ho, College of Forest Resources, University of Washington*

Page 13: An O'ahu Early Detection Project intern, Joshua Atwood, and internship host Danielle Frohlich use a key to identify a non-native palm species during a botanical survey in Waipahu, O'ahu. (0504103: August, University of Rhode Island)

*Credit: Joshua Atwood, University of Rhode Island*

Page 14: AME IGERT personnel work with a stroke survivor using the mediated rehabilitation system developed by the program. (0504647: Rikakis, Arizona State University)

*Credit: Hari Sundaram, Arizona State University*

Page 15: An O'ahu Early Detection Project intern, Joshua Atwood, assists botanists from the O'ahu Invasive Species Committee in removing the invasive plant *Miconia calvescens* from Manoa Valley on the island of O'ahu. (0504103: August, University of Rhode Island)

*Credit: Joshua Atwood, University of Rhode Island*

Page 16: Brian Schulkin, an IGERT trainee and doctoral student in physics at Rensselaer Polytechnic Institute has invented an ultralight, handheld terahertz spectrometer called the Mini-Z. (0333314: Wang, Rensselaer Polytechnic Institute)

*Credit: Rensselaer/ Kris Qua*

Page 17: TTUWindfluvana: Students and instructors visiting wind farm near Lubbock, Texas. (0221688: Mehta, Texas Tech University)

*Credit: Courtesy of Wind Science and Engineering Research Center, Texas Tech University - Kishor C. Mehta*

Page 18: Shubha Chakravarty conducting fieldwork in Kenya. (0333418: Stiglitz, Affiliation)

*Credit: Shubha Chakravarty*

Page 21 (bottom): This picture overlooks parts of the Okanogan National Forest that were not consumed by the 2006 Tripod Complex wildfire. The brown-colored trees signal that the area has been attacked by the bark beetle. Dead, standing trees (brown) intermixed with live trees increase the chances of fire occurring, and reduces the chance of survival of the neighboring live, green trees. This is because standing dead trees act as dry fuels in the canopy. They allow fire not only to burn on the ground, but also induce crown fire in the canopy. (0333408: Hinckley, University of Washington)

*Credit: Joanne Ho, College of Forest Resources, University of Washington*

Page 21 (top): Susannah Gordon-Messer demonstrates how to make slime during a program at the Discovery Museums in Acton, MA. (0549390: Marder, Brandeis University)

*Credit: Vicki Green, The Discovery Museums*

Page 22: Reference stand 10 of the H.J. Andrews Long-term Ecological Research (LTER) site provides long-term monitoring of forest conditions, allowing researchers to reconstruct past disturbances and understand how these past events have shaped the character of today's forest. (0333257: Jones, Oregon State University)

*Credit: Al Levno, USDA Forest Service, Pacific Northwest Research Station*

Back Cover (left to right): BootCamp 2007 IGERT students are trained inside the Integrated Nanosystems Research Facility on microfabrication techniques. Richard Chang (center) background IGERT trainee Mark Merlo. (0549479: Li, University of California–Irvine)  
*Credit: Rachel Mangold*

Electrode array smaller than a penny. (0549352: Touretzky, Carnegie Mellon University)  
*Credit: Ryan Kely, Matthew Smith, and Tai Sing Lee, Center for the Neural Basis of Cognition, Carnegie Mellon University*

IGERT Trainee Scot Wayne presented a 30-minute discussion of common indoor air pollutants and their sources to kick off a trainee-organized public workshop on indoor air quality. (Corsi: University of Texas Austin)  
*Credit: Ralph Barrera, Austin-American Statesman*



## Appendix 1

### List of Workshop Participants Organized by Working Groups

#### RESEARCH WORKING GROUP

##### *IGERT Principal Investigators*

**Dr. John Flach**

*Professor / Chair of Psychology  
Wright State University*

**Dr. Melissa Hines**

*Professor, Director, Cornell Center  
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*Professor of Biochemistry, Micro-  
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**Dr. Timothy A. Kohler**

*Regents Professor of Anthropology  
Washington State University*

**Dr. Kenneth A. Oye**

*Associate Professor of Political  
Science and Engineering Systems  
Massachusetts Institute of Technology*

**Dr. Anu Ramaswami**

*Professor of Civil Engineering  
University of Colorado Denver*

**Dr. John W. Sutherland**

*Henes Chair Professor  
of Mechanical Engineering  
Michigan Technological University*

**Dr. Branka Valcic  
(for Dr. Gary Kofinas)**

*Assistant Professor of Economics  
University of Alaska Fairbanks*

**Dr. Ouri Wolfson**

*Professor of Computer Science  
University of Illinois Chicago*

**Dr. Neal W. Woodbury**

*Professor of Chemistry  
and Biochemistry  
Arizona State University*

##### *Administrators*

**Dr. John A. Bantle II**

*Vice President for Research  
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**Dr. James A. Calvin**

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*for Academic Affairs*  
Tuskegee University







## Appendix 2

### Workshop Agenda

DAY 1: TUESDAY MAY 20, 2008

**1:30 pm – 2:30 pm      Session I : Welcome**

*Speakers*    Dr. Kathie L. Olsen  
*Deputy Director, National Science Foundation*

Dr. Wanda E. Ward  
*Acting Deputy Assistant Director, Education and Human Resources Directorate*

Ms. Carol F. Stoel  
*Acting Division Director, Division of Graduate Education*

Dr. Carol Van Hartesveldt  
*Program Director, IGERT*

**2:30 pm – 2:45 pm      Meeting Overview and Anticipated Outcome(s)**

Summary report on the Institutional Impacts of Interdisciplinary Research and Graduate Education and the role of IGERT. Report to include what has been accomplished to date; what still needs to be done; how will it get accomplished and the metrics required for monitoring progress and outcomes. Topics to be discussed are embodied in the breakout groups.

Dr. Judith Giordan  
*Program Director, IGERT*

**2:45 pm – 3:00 pm      BREAK and repositioning**

**3:00 pm – 5:00 pm**

**Session II: Concurrent Working Breakout Session**

Determining the progress and impacts made to date; what works and doesn't; opportunities, challenges and options going forward and metrics for success of interdisciplinary research and graduate education on:

Session IIa and b: Faculty  
Session IIc and d: Graduate Students  
Session IIe and f: Research  
Session IIg and h: Institutions

*Work*      Introductions of participants  
                  Definition of work plan, timing, roles and responsibilities  
                  Kick-off of work per templates provided

**5:00 pm – 6:00 pm**

**Session III: Cross-Group Interaction (includes break)**

*Work*      Cross-group comparison and discussion – Faculty/Admin on same topics  
                  Cross-group discussion and comparison – Faculty/Admin on different topics

**6:30 pm – 9:30 pm**

**Session IV: Working Dinner with Speaker**

*Host*      Dr. Cora Marrett  
                  Assistant Director, Directorate for Education and Human Resources

*Speaker*    The Honorable Vernon J. Ehlers  
                  Ranking Member  
                  Subcommittee on Research and Science  
                  Committee on Science and Technology  
                  House of Representatives

Working groups to be seated together for dinner to continue work from Session II and incorporate speaker comments into their thinking.

*Work*      Incorporate remarks of speaker into thought process for group  
                  Address template questions and issues per group  
                  Define work plan for next day

## DAY 2: WEDNESDAY MAY 21, 2008

- 8:00 am – 8:15 am**      **Session V: Welcome and Agenda Overview**  
Carol Van Hartesveldt/Judith Giordan
- 8:30 am – 1:00 pm**      **Session II (continued): Concurrent Working Breakout Session**  
includes light refreshments during the morning at 9:30 and a working lunch (box)  
(Pick up lunch and return to working sessions)
- Session IIa and b: Faculty  
Session IIc and d: Graduate Students  
Session IIe and f: Research  
Session IIg and h: Institutions
- Work*      Address template questions and issues  
Determine ways for gaining additional input
- 9:30 am – 9:45 am**      **Break**
- 1:00 pm – 2:00 pm**      **Session II (continued): Concurrent Working Breakout Session**
- Session IIa and b: Faculty  
Session IIc and d: Graduate Students  
Session IIe and f: Research  
Session IIg and h: Institutions
- Work*      Begin summary of work accomplished  
Define next steps when back at institutions for finalizing input summary  
to NSF in accordance with timelines  
Determine roles and responsibilities for follow-up and next steps  
Develop report out for Session VI ( to follow immediately)
- 2:00 pm – 2:15 pm**      **Break**
- 2:15 pm – 3:30 pm**      **Session VI: Next Steps from Session II** (Breakout Session Chairs)
- Wrap-up      Carol Van Hartesveldt





## Appendix 3

### Question Templates for Working Groups

#### Research

Please Explicitly Address

- What are the barriers, if any, to transformative interdisciplinary scientific advancements and what should be done to eliminate these barriers?
- How should/could traditional disciplines respond to newly emerging interdisciplinary research areas?
- What role, if any, has interdisciplinary research played in aiding advancements within single disciplines?
- Which interest groups (both internal and external to the university) are most impacted by transformational interdisciplinary research advancements, and how can this impact be assessed?
- How can interdisciplinary research play a role in bridging between researchers at minority serving institutions (MSIs) and non-MSI institutions?
- How have the federal funding agencies responded to new interdisciplinary science? Do the current funding mechanisms work at the various agencies to which you apply? Do some handle funding of transformative interdisciplinary research better than others? What are some of the models that should be followed and why?

#### Moving Into Future

Please Explicitly Address

- What will be the role of interdisciplinary scientific, technology, engineering and mathematics (STEM) research, and its impact on society, into the future?
- How should the value of such transformative interdisciplinary research, and its impact on society, be measured or assessed today and into the future?
- What factors will influence the emergence /growth of interdisciplinary STEM research into the future?

#### For Your Consideration

Please Explicitly Address

- In your collective view, what is the potential economic value of interdisciplinary discoveries, and what criteria are you using to develop this view?
- Should the potential economic value of interdisciplinary discoveries play a role, if at all, in shifting research towards interdisciplinary themes?

## Faculty

Please Explicitly Address

- How have or should hiring practices for faculty change as a consequence of the evolution of research paradigms toward questions of greater complexity and broader scope (e.g., interdisciplinary or multi-disciplinary; cross department; cross college; cross institution; other)?
- What do faculty view as the value of interdisciplinary research and collaborations to their careers and why?
- What do faculty view as the challenges of interdisciplinary research and collaborations to their careers and why?
- What are the incentives or disincentives for faculty to adopt interdisciplinary perspectives:
  - In graduate education?
  - In their own research?
- What measures could be/should be used to determine the level of value or success for faculty adopting/participating in interdisciplinary:
  - Research efforts?
  - Graduate education?

### Moving Into Future

Please Explicitly Address

- What mechanisms do you believe should be developed or implemented – and by whom – to support faculty adoption of interdisciplinary perspectives in:
  - Their own research now and into the future?
  - Graduate education now and into the future?

### For Your Consideration

Please Explicitly Address

- Are there universities that have addressed overarching faculty questions successfully? If so, how have they been addressed? Will these questions change into the future or remain the same? Will these methods of addressing overarching faculty questions remain the same into the future or will these methods need to change?
- What will be the impact, if any, on the faculty pipeline for the future as current faculty retire and new potential faculty have a combination of traditional as well as interdisciplinary training?
- Have faculty hires who have had interdisciplinary training been successful in your university setting?
- Please discuss the relative ease or challenge for interdisciplinary interactions among faculty as a function of the disciplines involved.

## Graduate Students

Please Explicitly Address

- What do you see as the impact that interdisciplinary research/science/engineering has had and will have on graduate education?
- What mechanisms has your institution adopted to allow or promote student flexibility in their graduate education or research?
- How are your graduate students prepared to do the interdisciplinary research of the future?
- How can we broaden the participation by underrepresented groups in science, technology, engineering, and mathematics (STEM) graduate education? What role, if any, can/does interdisciplinary STEM graduate training play in achieving this goal?
- How does one define “transformative graduate training”? What elements must be involved for it to be successful? What would be the objective measures for success for such training?
- How has interdisciplinary training impacted the ability/ease of graduate students to get:
  - Their PhD degrees?
  - A position after attaining their degree?
- What measures or methods of evaluation and assessment could be/should be used to determine the impact of and value from interdisciplinary graduate education:
  - For graduate students?
  - On the careers of graduates?

### Moving Into Future

Please Explicitly Address

- How is interdisciplinary training important for the careers of the future?
- What should the science, technology, engineering, and mathematics (STEM) graduate training for the 21st century encompass?
- What mechanisms need to be developed, changed or added, if any, to graduate STEM training for the 21st century as compared with current training?
- What is the value of/what role should traineeship programs play for 21st century global science and the economy?

### For Your Consideration

Please Explicitly Address

- How should institution policies for acceptance of graduate students change into the future?
  - Is there a mandate for change?
  - Should the numbers of students being accepted increase, decrease, stay the same? Please share the reasons for your responses.
- What role, if any, should career and job opportunities for graduates play in affecting acceptance policies for graduate students?
- What is the value/relative importance of attracting U.S. citizens/permanent residents into graduate training in STEM fields?
- As pertains to graduate STEM training, please discuss the relative ease or challenge for interdisciplinary interactions as a function of disciplines.

## Institutions

Please Explicitly Address

- From your overall perspective, in institutions, what is the magnitude and scope of:
  - Interdisciplinary research?
  - Interdisciplinary graduate education?
- At your institution, how do you measure magnitude and scope and assess the value of:
  - Interdisciplinary research?
  - Interdisciplinary graduate education?
- Have changes taken place within or between structures representing the traditional disciplines due to emerging interdisciplinary interactions, and if so, how?
  - What are the incentives or disincentives for change due to interdisciplinary research and education and what mechanisms do you believe should be developed or implemented by institutions to maximize these opportunities?
  - Which personnel groups are impacted by institutional changes due to interdisciplinary advancements, and how can this impact be assessed (e.g., groups other than faculty and students)?
  - What is the role, if any, of interdisciplinary traineeship programs in catalyzing institutional change?

### Moving Into Future

Please Explicitly Address

- How should/will interdisciplinary research/science/engineering affect how your institution does business in the future?
  - What should/will your response be to the ways interdisciplinary research/science/engineering will affect how your institution does business in the future for your institution? For your faculty? For your graduate students?

### For Your Consideration

Please Explicitly Address

- What role, if any, do centers/research institutes and other such supra-departmental structures play in supporting interdisciplinary research and education?
  - How does the size or type of institution, if in any way, impact the institution's ability to embrace and use to greatest benefit:
    - Interdisciplinary research?
    - Interdisciplinary graduate education?
  - Are there models for interdisciplinary research and/or graduate education that have been developed internationally and could/should be applied in the U.S.?



## Appendix 4

### Overview of Work Process

