Policy on Unmanned Aircraft Systems

<table>
<thead>
<tr>
<th>Policy Title</th>
<th>Policy on Unmanned Aircraft Systems</th>
</tr>
</thead>
<tbody>
<tr>
<td>Policy #</td>
<td>02.102.1</td>
</tr>
<tr>
<td>Policy Owner</td>
<td>Emergency Management Director /Assistant Director of Public Safety</td>
</tr>
<tr>
<td>Contact Information</td>
<td>Questions regarding this policy should be directed to the Vice President for Research and Economic Development at (401) 874-4974</td>
</tr>
<tr>
<td>Approved By</td>
<td>Senior Leadership Team</td>
</tr>
<tr>
<td>Effective Date</td>
<td>July 20, 2018</td>
</tr>
<tr>
<td>Next Review Date</td>
<td>No later than September 30, 2020</td>
</tr>
</tbody>
</table>

Who Needs to Know About this Policy

This policy applies to (a) all Unmanned Aircraft systems used by University employees, students, contractors, and agents undertaken in connection with any University-Related Activities and (b) any flights of Unmanned Aircraft systems on or over University Property.

Definitions

- **Aircraft.** Any contrivance invented, used, or designed to navigate or fly in the air.
- **Civil Aircraft.** Any Aircraft except a Public Aircraft.

**Eligible Individuals.** The following categories of individuals may be authorized by the University to operate a Small Unmanned Aircraft System over University Property or in connection with University-Related Activities:

- Individual members of the URI Community (faculty, staff, students) wishing to operate a Small Unmanned Aircraft System in connection with a University-Related Activity in which they are participating.
- Vendors hired by the University to perform a service that requires the operation of a Small Unmanned Aircraft System.
- Outside parties (e.g., news organizations) wishing to operate an Unmanned Aircraft system over University Property for a purpose that the University has approved at its sole discretion.
- Government entities operating under their own statutory authority.

**Governmental Function.** An activity undertaken by a government, such as national defense, intelligence missions, firefighting, search and rescue, law enforcement (including transport of prisoners, detainees, and illegal aliens), aeronautical research, or biological or geological resource management.

- **Model Aircraft.** An Unmanned Aircraft that is (a) capable of sustained flight in the atmosphere, (b) flown within visual line of sight of the person operating the Aircraft, and (c) flown for hobby or recreational purposes.
<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>National Airspace System.</strong></td>
<td>The airspace, navigation facilities, and airports of the United States along with their associated information, services, rules, regulations, policies, procedures, personnel, and equipment.</td>
</tr>
<tr>
<td><strong>Operator.</strong></td>
<td>The person responsible for the direct control of the Unmanned Aircraft system; this person may not necessarily be the Remote Pilot in Command.</td>
</tr>
<tr>
<td><strong>Public Aircraft.</strong></td>
<td>An Aircraft owned and operated by the United States Government, government of a State, the District of Columbia, or a territory or possession of the United States, or a political subdivision of one of these governments in furtherance of a Governmental Function and that is not used for commercial purposes.</td>
</tr>
<tr>
<td><strong>Public Certificate of Authorization.</strong></td>
<td>A Certificate of Authorization issued by the FAA permitting an Unmanned Aircraft system to be operated as a Public Aircraft in furtherance of a Governmental Function.</td>
</tr>
<tr>
<td><strong>Remote Pilot Certificate with a Small UAS Rating.</strong></td>
<td>A certificate issued under 14 CFR Part 107 to permit a person to operate a Small Unmanned Aircraft System.</td>
</tr>
<tr>
<td><strong>Remote Pilot in Command.</strong></td>
<td>The person directly responsible for and is the final authority as to the operation of the Small Unmanned Aircraft System.</td>
</tr>
<tr>
<td><strong>See and Avoid.</strong></td>
<td>The duty of the Remote Pilot in Command to exercise vigilance to avoid interference with other Aircraft.</td>
</tr>
<tr>
<td><strong>Small Unmanned Aircraft.</strong></td>
<td>An Unmanned Aircraft weighing less than fifty-five (55) pounds on takeoff including everything that is on board or otherwise attached to the Aircraft.</td>
</tr>
<tr>
<td><strong>Small Unmanned Aircraft System (Small UAS).</strong></td>
<td>A small Unmanned Aircraft and its associated elements (including communication links and the components that control the Small Unmanned Aircraft) that are required for the safe and efficient operation of the Small Unmanned Aircraft in the National Airspace System.</td>
</tr>
<tr>
<td><strong>University-Related Activity.</strong></td>
<td>Any activity undertaken by URI faculty, staff or students, recognized student groups, or contractors or agents of URI on behalf of URI that relate in whole or in part to any academic, research, public service, administrative, or other function or purpose performed under the auspices of URI or in pursuance of URI employment-related obligations, URI academic or curricular programs, or recognized URI extracurricular activities.</td>
</tr>
<tr>
<td><strong>Unmanned Aircraft.</strong></td>
<td>An Aircraft operated without the possibility of direct human intervention from within or on the Aircraft.</td>
</tr>
<tr>
<td><strong>Visual Observer.</strong></td>
<td>A person who has been designated to assist the Remote Pilot in Command in complying with their See and Avoid duties and maintain a lookout for other Aircraft or hazards that may affect the safety of flight.</td>
</tr>
</tbody>
</table>
### University Property

The land, and the airspace above, all real property owned or controlled by URI.

### Statutes, Regulations, and Policies Governing or Necessitating This Policy

- 14 CFR Part 107 (Operation and Certification of Small Unmanned Aircraft Systems)
- FAA Guidance Issued 5/4/16, Educational Use of Unmanned Aircraft Systems
- RIGL §§1–4 (Uniform Aeronautical Regulatory Act)
- Rhode Island Airport Corporation "Aeronautics Regulations"

### Reason for Policy/Purpose

The purpose of this policy is to ensure that every use of a Small Unmanned Aircraft systems in connection with a University-Related Activity, and every instance involving the operation of a Small Unmanned Aircraft system on or over University Property:

- (a) is allowed by law and University policy,
- (b) meets all conditions and limitations imposed by applicable law and University policy,
- (c) is conducted safely, without harm or unreasonable risk of harm to persons and property,
- (d) respects personal and organizational privacy interests and does not inappropriately or illegally invade or diminish those privacy interests.

### Forms Related to this Policy

- URI Small Unmanned Aircraft Systems Flight Permit Application
- Small UAS Flight Notification

---

### Policy Statement

#### Permitted Uses

The University of Rhode Island authorizes the use of Small Unmanned Aircraft Systems on its campuses and/or in its activities via a permitting process on an individual, project-driven basis. Other categories of Unmanned Aircraft systems are prohibited. The University will not permit individuals to operate a Small UAS over University Property or in connection with University-Related Activities unless authorized by the FAA for the type of Aircraft and purpose of flight(s) being conducted.

Small UAS operations under this policy will be permitted under the following types of FAA authorization:

- Public Aircraft operations under a Public Certificate of Authorization
- Civil Aircraft Operations Under 14 CFR Part 107
- Civil Aircraft Operation under a Section 333 Exemption and Commercial Certificate of Authorization
- Civil Aircraft – Model Aircraft Operations

**NOTE:** Any flight that is made for business, commercial, or professional purposes does not qualify as a Model Aircraft operation and must therefore meet the requirements for Civil or Public Aircraft operations as described above. University employees who fly Small UASs as part of their job duties or in furtherance of their professional activities do not qualify as Model Aircraft Operators.

All Aircraft operated under this policy must have a valid current FAA registration.

#### Eligible Individuals
Eligible Individuals may be permitted to operate Small UAS over University Property or in connection with University-Related Activities for the following uses or purposes: public safety surveillance, agricultural and environmental monitoring, infrastructure inspection, mapping, education, research and development, promotion, marketing, and student enrichment, and recreation. Operators of Small UASs shall be aware of and follow all applicable limitations and conditions place on flight by the FAA.

**Permitting of Flights by University Vendors**

Small UAS operations may be conducted by vendors hired by the University. However, all such flights must be approved under this process and must meet all of the requirements of this policy. No vendor may operate an Aircraft on behalf of the University without all necessary state and federal approvals such as a Section 333 Exemption or a Part 107 Remote Pilot Certificate as well as any waivers required under 14 CFR §107.205. Vendors must observe all applicable state and federal restrictions and limitations on Small UAS operation any time they operate on behalf of the University or from University-owned or controlled property. In addition, a University sponsor (e.g., a URI department, office, or official) must be identified who supports the proposed vendor operations, acts as a liaison to the vendor, and takes responsibility for assisting with the application process and coordinating and generally overseeing the vendor's operations. Finally, anyone engaging a vendor or service provider must meet all applicable requirements of the University for contracting with any third party.

**Prohibitions**

The University of Rhode Island only permits the use of Small UAS on its campuses and/or in its activities. Other categories of Unmanned Aircraft systems are prohibited.

No Small UAS operations are permitted for the following uses or purposes: for illegal surveillance or invasion of privacy; to conduct package delivery for compensation or hire; for any other use or activity prohibited by law or University policy.

No Model Aircraft may be flown for recreational, hobby, or educational purposes over University Property except that URI students may in limited circumstances be authorized to fly Model Aircraft in connection with a University-Related Activity for the following recreational purposes with the approval of the University:

- Individual students in film, photography, or aviation-related classes at URI may apply to the University for an exception to operate a Model Aircraft; or
- Student members of an officially recognized student group or club may apply to the University for an exception to operate a Model Aircraft in connection with the group’s authorized activities.

Regardless of which of the two above-listed bases for approval a student uses to obtain URI approval to fly a Model Aircraft over University Property or in connection with a University-Related Activity, all students authorized by URI to fly a Model Aircraft is expected to read, be familiar with, and follow the FAA's "Interpretation of the Special Rule for Model Aircraft," (first published 6/18/14), which explains what parts of the Federal Aviation Regulations apply to these flights. In addition, the Model Aircraft Operator should be aware of, and follow, all of the other applicable limitations on the use of Model Aircraft.

**Flight Permitting**

The Small Unmanned Aircraft System Remote Pilot in Command, when necessary together with an identified URI Sponsor, will apply and for obtain a URI Small UAS Flight Permit for any and all Small UAS operations they wish to perform over University Property or in connection with any University-Related Activity in which they are participating. The University’s Small UAS Committee will establish requirements for flight permitting that may include appropriate documentation and flight approvals.

The URI Small UAS Flight Permit issued by the Emergency Management Director / Assistant Director of Public Safety is specific to Remote Pilot in Command, Aircraft, location, dates/times, and flight purposes indicated in the
application. Vendors and third-party Small UAS Operators may only request flight permits on a per-flight basis. Members of the University community may request a flight permit covering multiple flights over a longer period of time (up to a year) for the same Remote Pilot in Command, Aircraft, location(s), and purpose. The Department of Public Safety must be notified in advance of each individual flight operation conducted under the permit. NOTE: Small UAS flight operations conducted for a different purpose or in a location other than that specified in the original permit will require either a new permit application or an amendment to the existing one.

In instances where the Small UAS Operator is different from the Small UAS Remote Pilot in Command, the Remote Pilot in Command must at all times supervise the Operator as required by FAA regulations. The Remote Pilot in Command will be responsible for any Operators under their supervision, and all Operators are required to comply with this policy.

**Enforcement**

Depending on their association with the University, individuals violating this policy may be subject to sanctions/remedies that can include, but are not limited to, disciplinary action for students, faculty, and staff of the University, civil action, and/or prohibition from conducting future Small UAS operations on University Property or in conjunction with University-Related Activities.

**Exceptions**

In cases of a public safety emergency, a Small UAS may be operated by authorized representatives of the University’s Public Safety Department (in accordance with all applicable FAA compliant Conditions of Use) without prior permission.

Additionally, certain exceptions are noted above under Prohibitions.