

THE
UNIVERSITY
OF RHODE ISLAND




Serial Number #12-13--15

TO: President David Dooley

FROM: Peter Larsen, Chairperson of the Faculty Senate

1. The attached BILL titled, Procedure for Revisions to the Distribution of Facilities and Administrative (Overhead) Funds: Sections 10.72.10-14 of the University Manual, is forwarded for your consideration.
2. This BILL was adopted by vote of the Faculty Senate on December 13, 2012.
3. After considering this bill, will you please indicate your approval or disapproval. Return the original or forward it to the Board of Governors, completing the appropriate endorsement below.
4. In accordance with Section 10, paragraph 4 of the Senate's By-Laws, this bill will become effective January 3, 2013, three weeks after Senate approval, unless: (1) specific dates for implementation are written into the bill; (2) you return it disapproved; (3) you forward it to the Board of Governors for their approval; or (4) the University Faculty petitions for a referendum. If the bill is forwarded to the Board of Governors, it will not become effective until approved by the Board.

December 14, 2012
(date)



Peter Larsen
Chairperson of the Faculty Senate

ENDORSEMENT

TO: Chairperson of the Faculty Senate

FROM: President of the University

Returned.

a. Approved .

b. Approved subject to final approval by Board of Governors ___.

c. Disapproved ___.

1.4.13

(date)



President



REPORT OF THE EXECUTIVE COMMITTEE
December 5, 2012

Procedure for Revisions to the Distribution of Facilities and Administrative (Overhead) Funds

The Executive Committee recommends approval of the following new sections of the UNIVERSITY MANUAL to support the recommendations of the FSEC on Revisions to the Distribution of Facilities and Administration Funds adopted by the Faculty Senate on September 20, 2012:

Proposed new sections 10.72.10-13 of the University Manual

10.72.10 Procedure for Revisions to the Distribution of Facilities and Administrative (Overhead) Funds At the beginning of each fiscal year, a subcommittee of the Council for Research shall review procedures and distribution of Facilities and Administrative funds (research overhead funds). The review shall: a) evaluate the current expenditure and distribution plan with regard to URI's changing needs and resources; b) examine, as desirable, F&A distribution plans at other peer public research institutions; c) produce a report including, as appropriate, any recommendations for changes in distribution to be considered for implementation in the subsequent fiscal year.

10.72.11 The Subcommittee shall be chaired by the Vice President of Research and Economic Development and the Chair of the Council for Research shall be Vice Chair. The subcommittee shall also consist of four additional faculty members from the Council for Research elected by the Council before its last meeting in the spring and one designee each of the President, the Provost, and the Vice President for Administration and Finance.

10.72.12 Specific arrangements proposed for centers or projects must be reviewed and approved by the Vice President of Research and Economic Development after consultation with the President, PIs, Provost, and appropriate deans, directors and chairs. Such arrangements shall be in writing, be presented to the Subcommittee, and have a defined term (no more than three years) after which they shall be reviewed and, if appropriate, re-established or renegotiated.

10.72.13 Entities receiving F&A funds shall make a full and accurate accounting of how funds were spent during the prior fiscal year, including all SPA expenditures. These reports shall be submitted by October 1 of each year to the Controller by all university units that have expenses related to F&A funds. The Controller will review to ensure reports are reconciled with audit statements.

10.72.14 During the review process, a draft of the report shall be made available to faculty for a minimum 2-week comment period. The Subcommittee shall consider these comments in production of their final report, which shall be submitted to the Council for Research to forward to the Faculty Senate Executive Committee, the President, Provost, Vice President of Research and Economic Development, and Vice President of Administration and Finance no later than January 31 for review, discussion, and approval, and then is subject to final approval by the President. The President shall submit an annual report to the Board and the Faculty Senate on the use of indirect cost recovery funds and any changes in the distribution of funds for the coming year.