

The minimum amount of effort committed to a specific sponsored research activity may be **no less than 1 percent** of the Principal Investigator and other key personnel's university effort. Beyond this minimum, the specific amount of effort committed to a particular sponsored activity is left to the judgment of the principal investigator/ program director, based on their estimate of the effort necessary to meet the technical goals and outcomes of the project. In most cases, it is expected that the effort will be substantially larger than 1%.

Any portion of university effort toward a sponsored activity for which the sponsor does not pay salary/benefits, is considered cost-shared effort and is paid using other non-federal university sources. Voluntary cost shared effort must be pre-approved by the Vice President for Research and Economic Development.

### Exclusions

Some federal and federal flow-through sponsored programs do not require a minimum level of committed effort by PIs and key personnel and therefore are not subject to this policy. The following are excluded from the requirements set forth in this policy:

- Equipment and instrumentation grants
- Mentor effort on doctoral dissertation, fellowship, scholarship awards, training grants, and resident research experiences
- Specific purpose awards, such as travel grants, workshops, and conference support grants
- National Science Foundation (NSF) Research Experiences for Undergraduates (REU). (For the PI, committed effort must be present on the research grant supporting the RE)
- NIH supplements (For the PI, committed effort must be present on the parent grant supporting the supplement)
- NIH Other Significant Contributors as cited in the agency's SF424 Application Guide
- Non-federally funded clinical research/trial/treatment – On a case-by-case basis, where PI oversight related to research is expected to be very minimal, it may be appropriate to budget less than one-percent effort. Documentation of time allocations for study activity in these cases must be approved at the school/center level. Examples are: expanded access and compassionate-use protocols, retrospective chart reviews, and low enrollment studies.

(This listing is not all-inclusive: Should you have questions about a particular program or sponsored activity, contact your school/departmental research administrator or the Division of Sponsored Programs for further guidance. See the note below.)

**Note:** Consult the sponsor's specific program terms and conditions for the presence of required effort commitments. If minimum effort commitments are required by the sponsor's program terms, the program specific terms take precedence over the program or sponsored activity exclusions listed above.