

THE
UNIVERSITY
OF RHODE ISLAND

Research Townhall

May 27, 2021

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Sponsored Projects Updates

- Increases in Post-Doctoral Fellow Salary
 - For proposals submitted after 7/1/21, the minimum salary for Post-Doctoral Fellows must meet or exceed the NIH/NRSA stipend guideline of \$53,760.
 - More information on our [website](#)
- Research Performance Progress Reports (RPPR)
 - No longer routed through Cayuse/InfoEd
 - In order for OSP to submit to NIH, OSP must receive updated FCOI disclosures for all URI key investigators
 - More information on our [website](#)

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Sponsored Projects Updates

- New Federal Agency Information [webpage](#)
 - NIH and NSF proposal checklists
 - Agency updates
 - Agency guidelines
- New NIH Working Group
 - Quarterly meeting of interested staff responsible for management of NIH awards
 - Meeting will kick off September 15,2021

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Sponsored Project Updates

- Post-Award
 - Use of intake form for post-award approval
 - Budget revisions, invoice vouchers, invoices against Purchase Orders (POs), Signature Authorizations, Accounts Payable (AP) Correction approvals, IP2 (In-House Payroll Adjustment) approvals
 - Recently added **Credit Statements**
 - More information on our [website](#)

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Contact Info and Responsibilities

Tasks	PI	Dept	Dean	OSP	SCA
Proposal Preparation					
Write technical narrative	X				
Identify subcontractors and request budget and statement of work	X				
Develop budget and budget justification	X	X			
Identify need for cost sharing funds	X				
Provides approval of cost sharing requests		X	X	X	
Evaluate requests for F&A waivers or reductions		X	X	X	
Coordinate space arrangements		X	X		
Provide expert guidance on proposal preparation				X	
Complete Cayuse and route proposal for approval	X	X			
Regulatory Requirements					
Complete compliance forms: Institutional Review Board, Animal Care and Use, Institutional Biosafety Committee	X	X			

- <https://web.uri.edu/research-admin/files/Roles-and-Responsibilities-Matrix-2.13.20.pdf>
- OSP Contacts – some changing responsibilities
 - [Preward](#)
 - [Postaward](#)

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InfoEd Updates

- The Proposal Development (PD) module is active
- OSP is providing training and office hours - <https://web.uri.edu/research-admin/infoed-era-system/>
- Make sure you are using the LIVE site (not Development site)
- Routing – Once a PI has routed a proposal, they still must go in and approve the proposal as the PI.
- **OSP is requesting the proposals be submitted at least 5 days prior to deadline (OSP will be submitting all proposals the latest by 4:30 pm the day of the deadline)**

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Sponsor and Cost Accounting Updates

- Roles and Responsibilities
- External Audit Activity
- Billing Notes
- Reporting Notes
- Special Topics

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Roles & Responsibilities

- Office of Sponsored Projects – under VP Research
 - Pre and Post Award
 - Proposal development
 - Research compliance oversight and management
 - Award acceptance
 - Award modification management
 - Expenditure and cost share oversight
 - Award close out
- Office of Sponsored & Cost Accounting – under VP Administration & Finance
 - Financial focus on billing, cash collections, reporting and audits, financial close out (in coordination with Sponsored Projects)
 - Indirect cost calculation (i.e., F&A rate)
 - Service center reviews

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S&CA Staff/Key Responsibilities

- Chen Gu, Chief Accountant, PS matters, F&A analysis
- Ann Cannon, Chief Accountant, Service Centers, F&A rate calc
- Eileen Tobin, Director, Sponsored & Cost Accounting
- Accountants handle billing, reporting, collections, journal postings, financial closeouts
 - Steve Rydell (NSF, HHS, USAID, NASA, DoD, foundations, non profits, for profits)
 - Jeanette Vaganek (State, DoC, DoEnergy)
 - Kelly Verte (USDA, DoI, DoE)
 - TBD (federal subcontracts)

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External Audit Activity

- Single audit – annual, with research focus every 3 years
- Agency monitoring – desk reviews, agency audits, Office of Inspector General audits
 - Recent audits:
 - FY 16:
 - US Department of Interior; no findings
 - US Department of Justice; audit concluded in 2018 \$917 returned
 - US Small Business Agency bi-annual desk review; recommendations only
 - FY 18:
 - National Science Foundation desk review; recommendations only
 - US Small Business Agency bi-annual desk review; recommendations only
 - FY 19:
 - US Department of Justice desk review; no findings
 - FY 20:
 - US Agency for International Development Agency Review; recommendations only
 - FY 21:
 - National Science Foundation Office of Inspector General audit; Epscor Awards. Part of NSF workplan.
 - Audit began Fall 2020 and is ongoing
 - Indirect Cost Rate; audited by US Department of Health and Human Services, Cost Allocation Services, when rate is submitted

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Billing Notes

- Staffing – Terri Pierson retired January 2021; haven't filled position yet
- Billing issues – reach out to me for initial review (mgwood@uri.edu)
- Contracts/agreements – please try to include sponsor billing contact to speed up review
- June/July/August billing cycles – timing is off due to URI year end

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Reporting Notes

- SF-425 is a common financial report submitted by S&CA staff
- Frequency can be quarterly, annually and/or final
- Notices, please send to Eileen Tobin (etobin@uri.edu), Michele Wood (mgwood@uri.edu)

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Special Topics

- Cost sharing/match – budgets, actuals, monitoring
- Collaborative awards – security to PS
- Final invoices – final expenditures, EAFs, subawards
- Final reports

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Research Integrity Updates

- Dangerous Good Shipping
 - EHS has new procedures for shipping dangerous goods (e.g., batteries, human materials, dry ice)
 - For questions or concerns, please contact the EHS Dangerous Goods Shipping Specialist: Nic Englehart (nenglehart@uri.edu)
- Animal Welfare
 - Laboratory Animal Per Diem rates are rising on July 1. Rates will be posted soon at: <https://web.uri.edu/research-intranet/internal-comparative-biology-resources-center/>
 - Unannounced inspection of animal facilities expected anytime. Please ensure that all records are in order (e.g., protocols in rooms, controlled substance logs)

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COVID-19 Updates

- Currently in Phase 4 of the Division of Research and Economic Development COVID plan
- Expect to move to Phase 5 soon
- For IRB protocols, add vaccination for PI/study staff and participants as a way to minimize risk

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Questions?

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