

**University of Rhode Island**  
**REPORT OF THE FACULTY SENATE DESIGNATE FOR THE URI OPEN ACCESS POLICY**  
**March 2014**

**Role Definition**

The role of a designate for the URI Open Access Policy is alluded to in the policy itself:

*"...The Faculty Senate or Faculty Senate's designate will, on behalf of the University, waive application of the license for a particular article or delay access for a specified period of time upon express direction by a Faculty member..."*

Most institutions with policies similar to URI's have appointed designates to be points of contact for the Policy: to provide waivers, to answer faculty questions, to communicate the Policy to publishers, etc. Designates are typically from the library.

**Appointment**

On October 3, 2013, the Executive Committee of the Faculty Senate appointed Professor Andrée Rathemacher (LIB) as the Faculty Senate Designate for the URI Open Access Policy. They noted, "Presently, there is no language defining the duration of the term of the Designate. Until such language is included in the description of the Policy, we ask that you serve a two-year term, extending through Spring 2015."

**Activities**

Waivers

From the time of the Policy's implementation in March 2013 through the drafting of this report, the Designate has issued four waivers to three individuals. The waivers break down as follows:

- 2 Assistant Professor / 1 Associate Professor / 1 Professor
- 3 College of Arts & Sciences / 1 Library
- Publishers: Chemical Educator / Elsevier / Johns Hopkins University Press / Springer

Publisher Correspondence

A number of institutions with similar open access policies have sent letters to publishers to notify them of the policies' existence. As initially pioneered by MIT, this strategy minimizes any risk to authors of not amending their publication agreements, because publishers have already been informed that the institution retains a license in copyright to all articles written by its faculty and that this license persists despite any subsequent transfer of copyright to the publisher.

During summer 2013, the Designate compiled and updated a list of publishers based on lists created by MIT, University of California San Francisco, and Oregon State University and supplemented the list with additional publishers of journals to which the URI Libraries subscribe. Between September 25, 2013 and

November 20, 2013, the Designate sent letters by email to 508 individuals at 457 publishers. Enclosed with each letter were (1) a copy of the University of Rhode Island Open Access Policy, (2) a FAQ for Publishers on the University of Rhode Island Open Access Policy, and (3) a sample Addendum to Publication Agreement.

Responses were received from 29 publishers (a response rate of 6.3%). Of the publishers responding, 17 (59%) indicated their intention to cooperate with the Policy, 10 (34%) indicated that they would not cooperate, and 2 (7%) failed to understand the correspondence.

#### **Liaison with URI Faculty regarding the Policy**

Both the Designate and Professor Julia Lovett (Digital Initiatives Librarian) continue to respond to inquiries from URI faculty regarding the mechanics of complying with the policy. Questions may be directed to [oapolicy@etal.uri.edu](mailto:oapolicy@etal.uri.edu).

#### **Attachments**

Attached to this report are:

- Letter of appointment for Faculty Senate Designate for the URI Open Access Policy
- Letter template sent to publishers with 3 attachments
- List of publishers contacted by Designate

Respectfully submitted,

Andrée Rathemacher

Faculty Senate Designate for the URI Open Access Policy

FACULTY SENATE

Green Hall, 35 Campus Avenue, Kingston, RI 02881 USA p: 401.874.2616 f: 401.874.2809 uri.edu/facsen

October 3, 2013

Professor Andrée Rathemacher  
University Library  
Kingston Library

Dear Andrée:

The Executive Committee of the Faculty Senate would like to appoint you Faculty Senate Designate for the URI Open Access Policy. Presently, there is no language defining the duration of the term of the Designate. Until such language is included in the description of the Policy, we ask that you serve a two-year term, extending through spring 2015.

We would be most grateful if you would serve in this important capacity.

Please inform Nancy Neff, the Senate Coordinator, at [nneff@uri.edu](mailto:nneff@uri.edu) or 4-2616, if you are willing to accept this appointment as the Faculty Senate Designate for the URI Open Access Policy.

Cordially,



David Byrd  
Chairperson, Faculty Senate

c: David Maslyn  
Joanna Burkhardt

FACULTY SENATE

Green Hall, 35 Campus Avenue, Kingston, RI 02881 USA p: 401.874.2616 f: 401.874.2809 uri.edu/facsen

[Date]

<<title>> <<first>> <<last>>  
<<position>>  
<<company>>  
<<street>>  
<<street\_2>>  
<<city>>, <<StateRegion>> <<zip>> <<country>>

Dear <<title>> <<last>>,

I am writing to inform you about a new University of Rhode Island policy that affects scholarly articles authored by faculty at the University of Rhode Island (URI). On March 21, 2013, the URI Faculty Senate unanimously adopted an Open Access Policy that authorizes the University of Rhode Island to make available faculty-authored scholarly articles and to exercise the copyright in those articles for the purpose of open dissemination. The Policy reflects and supports the faculty's commitment to the widest possible dissemination of its research and scholarship.

By adopting this policy, URI faculty have granted to the University of Rhode Island a nonexclusive license to exercise any and all rights under copyright relating to each scholarly article written by a URI faculty member, provided that the articles are not sold. The license also allows the University of Rhode Island to authorize others to exercise these same rights.

The Policy applies to all scholarly articles completed after the adoption of the policy while the author is a member of the URI faculty, as long as the faculty member did not enter into an incompatible agreement before the adoption of the Policy.

Under the Policy, articles will be deposited in the University of Rhode Island's DigitalCommons@URI repository, an open-access platform developed and maintained by the University of Rhode Island University Libraries. As stipulated by the Policy, the author's final peer-reviewed manuscript (i.e., the version including all changes made as a result of the peer-review process, but prior to a publisher's copy-editing or formatting) will be deposited in DigitalCommons@URI. Where the publishers allows, the final published version of the article may be deposited in place of the author's final peer-reviewed manuscript. Whenever possible, the DigitalCommons@URI repository will provide a link to the final published version of the article on the publisher's web site. Publishers are encouraged to work with us on the mechanism of deposit so that we may support your workflow in the most efficient way possible.

Some authors may supply an addendum to the author publication agreement that reflects the URI Open Access Policy, although the Policy grants a license to the University of Rhode Island that exists prior to the execution of any publisher agreement and applies

regardless of the use of this addendum. This example addendum, the text of the University of Rhode Island Open Access Policy, and a short FAQ are attached for your reference.

This letter serves to notify you of the URI Open Access Policy and also to seek your cooperation and partnership in its implementation. We believe the Policy can work in tandem with journal publishing to disseminate research as broadly and rapidly as possible and increase the overall visibility of publications, a goal universities and publishers share.

We welcome conversations with you that can arrive at a mutually satisfactory understanding of the Policy and its compatibility with your author publication agreements, particularly in relation to our use of a non-exclusive license.

If you have any questions or concerns about publishing URI-authored articles under this Policy, please feel free to contact me at [andree@uri.edu](mailto:andree@uri.edu) or 401-874-5096.

Sincerely,

A handwritten signature in blue ink that reads "Andrée J. Rathemacher". The signature is written in a cursive style with a large initial 'A'.

Andrée J. Rathemacher  
Faculty Senate Designate for the University of Rhode Island Open Access Policy

Enclosures (3)

**FACULTY SENATE**

Green Hall, 35 Campus Avenue, Kingston, RI 02881 USA p: 401.874.2616 f: 401.874.2809 uri.edu/facsen

On March 21, 2013, the Faculty Senate of the University of Rhode Island approved the following Open Access Policy proposed by the Ad Hoc Committee on Open Access as recommended by the Faculty Senate Executive Committee.

**University of Rhode Island Open-Access Policy**

The Faculty of the University of Rhode Island is committed to disseminating the fruits of its research and scholarship as widely as possible. In keeping with that commitment, the Faculty adopts the following policy: Each Faculty member grants to the University of Rhode Island permission to make available his or her scholarly articles and to exercise the copyright in those articles. More specifically, each Faculty member grants to the University of Rhode Island a nonexclusive, irrevocable, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, provided that the articles are not sold, and to authorize others to do the same. The policy applies to all scholarly articles authored or co-authored while the person is a member of the Faculty except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. The Faculty Senate or Faculty Senate's designate will, on behalf of the University, waive application of the license for a particular article or delay access for a specified period of time upon express direction by a Faculty member.

Each Faculty member will provide an electronic copy of the author's final version of each article no later than the date of its publication at no charge to the University Libraries acting as the representative of the Faculty Senate in an appropriate format (such as PDF) specified by the University Libraries.

The University Libraries may make the article available to the public in an open-access repository. The Faculty Senate Library Committee will be responsible for developing an implementation plan for this policy that has faculty interests in mind, interpreting this policy, resolving disputes concerning its interpretation and application, and recommending changes to the Faculty Senate. The policy will be reviewed after three years and a report presented to the Faculty Senate.

## **FAQ for Publishers on the University of Rhode Island Open-Access Policy**

### **Q1. Does URI's Policy allow for commercial use of the material by the University of Rhode Island?**

A. No. URI's Open Access Policy explicitly states with the language "provided that the articles are not sold" that the content under this policy will not be used for commercial purposes by the University of Rhode Island. Under the Policy, publishers retain a commercial license to publish, including the right to commercial re-use of the published articles.

### **Q2. How may articles that fall under the URI Open Access Policy be used?**

A. While the Policy grants the University of Rhode Island a nonexclusive license to exercise all rights under copyright, provided that the articles are not sold, articles posted in DigitalCommons@URI are subject to a more limited Terms of Use that delineate how they may be used. These Terms of Use are available at [http://digitalcommons.uri.edu/oa\\_policy\\_terms.html](http://digitalcommons.uri.edu/oa_policy_terms.html).

### **Q3. What version of the article does "final version" refer to?**

A. The final version is the author's final, peer-reviewed, accepted manuscript, including all figures. We are also interested in having the same rights to the final published article and are willing to discuss with publishers how that might be possible, recognizing that publishers provide a valuable service that requires compensation (as our license fees currently provide).

### **Q4. What effect does the statement "to exercise any and all rights under copyright" have on the publisher's copyrights?**

A. While the faculty has granted broad rights to the University, the intention is to make the articles available through the University of Rhode Island DigitalCommons@URI repository (<http://digitalcommons.uri.edu/>). Under the URI Policy, publishers retain a commercial license to publish, including the right to commercial re-use of the published articles. URI is not permitted such commercial re-use. Note that we interpret the policy to allow purely cost-recovery services such as creation of printed student coursepacks.

### **Q5. What does "authorize others to do the same" mean?**

A. Allowing the University to extend the grant of rights to third parties ensures that other future beneficial uses are not unduly restricted. It would, for example, allow the University to deposit the articles in a subject-based repository on the author's behalf, where they could be accessed in combination with related works. Other potential uses might include allowing articles to be incorporated into non-commercial text-mining databases for research purposes or to allow academics in other countries, with URI's permission, to distribute translations of the work to their students. No systematic activities of this sort are planned at this time. The faculty will be monitoring application of the Policy and will be responsible for authorizing any uses that might be contemplated in the future. We are confident that URI faculty do not intend the rights granted under this policy to replace

formal publication, until and unless suitable mechanisms for supporting peer review and other functions served by the formal scholarly publication system have evolved to bring these activities together.

**Q6. If a paper was submitted before March 21, 2013 but not yet accepted for publication, does the policy apply?**

A. The policy applies to papers for which a URI author entered into a publication agreement on or after March 21, 2013.

**Q7. My journal already allows authors to “self-archive” their final paper after a set embargo period after publication. How does this policy impact the embargo?**

A. The policy calls for the final version to be made available in the DigitalCommons@URI repository no later than the date of publication. This means that final papers deposited by URI authors may be accessible in DigitalCommons@URI before your embargo period ends.

**Q8. Our standard publication agreement is inconsistent with the University of Rhode Island Open Access Policy. Does this mean we can no longer publish papers by URI authors?**

A. No. URI's prior license takes precedence but allows the author to transfer non-exclusive publication and other rights. URI authors may use an addendum specifically designed to make publication agreements consistent with the applicable policy. However, regardless of whether an addendum is used, unless the author directs that a waiver be granted of URI's rights for a particular work completed after the Policy went into effect, URI's license stands.

**Q9. Does the URI Open Access Policy interfere with our ability to serve as an intellectual steward for articles we publish, for instance, pursuing illegal copying or plagiarism?**

A. No. The Open Access Policy does not prevent you from acquiring exclusive rights under copyright (subject to the prior license to URI), and you may still enforce those rights in any way you see fit. Further, you may even pursue infringements of moral rights on behalf of authors (e.g. cases of plagiarism). The nonexclusive license to the University of Rhode Island does not impair your abilities to support authors in this way.

*URI Libraries, July 15, 2013*



## ADDENDUM TO PUBLICATION AGREEMENT

1. This Addendum modifies and supplements the attached publication agreement (the “Publication Agreement”) concerning the following article (including any supplementary materials, the “Work”):

\_\_\_\_\_ in  
(manuscript title)

\_\_\_\_\_  
(journal name)

2. The parties to the Publication Agreement as modified and supplemented by this Addendum are:

\_\_\_\_\_  
(corresponding author)

\_\_\_\_\_  
 (“Publisher”)

\_\_\_\_\_  
(individually or, if more than one author, collectively, “Author”)

3. The parties agree that wherever there is any conflict between this Addendum and the Publication Agreement, the provisions of this Addendum will control and the Publication Agreement will be construed accordingly.
4. Notwithstanding any terms in the Publication Agreement to the contrary, Author and Publisher agree as follows:
- a. All of the terms and conditions of the Publication Agreement, including but not limited to all grants, agreements, representations and warranties, are subject to and qualified by a non-exclusive license previously granted by Author to the University of Rhode Island. Under that license, the University of Rhode Island may make the Work available and may exercise all rights under copyright relating to the Work, and may authorize others to do the same, provided that the Work is not sold. In the exercise of that license, the University of Rhode Island may use the Author’s final manuscript of the Work (including all modifications from the peer review process), but will not use a facsimile of the final published version of the Work unless Publisher permits use of that version. When the University of Rhode Island makes the Work available in an on-line repository under that license, the University of Rhode Island will cite to Publisher’s definitive version of the Work, and will link to Publisher’s version of it is available on-line.
  - b. Where applicable, Publisher acknowledges that Author's assignment of copyright or Author's grant of exclusive rights in the Publication Agreement is subject to Author's prior grant of a non-exclusive copyright license to Author's employing institution and/or to a funding entity that financially supported the research reflected in the Article as part of an agreement between Author or Author's employing institution and such funding entity, such as an agency of the United States government.

- c. Nothing in the Publication Agreement will impose any limitation on the rights and licenses referred to in the paragraphs above or any obligation in connection with their exercise. Neither the existence nor the exercise of those rights and licenses will be deemed to violate any representation or warranty or to breach the Publication Agreement.
5. Either publication of the Work or Publisher's signature below will constitute Publisher's acceptance of and agreement to this Addendum.

AUTHOR

PUBLISHER

\_\_\_\_\_  
(corresponding author on behalf of all authors)

\_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

# **Publishers Contacted about URI Open Access Policy by Faculty Senate Designate**

*September 25, 2013 – November 20, 2013*

## **Contacted (508 individuals at 457 publishers)**

AAAS

Academy of American Franciscan History

Academy of International Business

Academy of Managed Care Pharmacy (AMCP)

Academy of Management

Academy of Natural Sciences of Drexel University

Academy of Political Science

Accounting Historians Journal

Accounting Historians Notebook

ACM (Association for Computing Machinery)

Acoustical Society of America

ACTA Press

Adalah Publications

Adenine Press

Advanstar Communications

Advertising Educational Foundation

Aerospace Medical Association

African Development Foundation

African Studies Association

Agenda Feminist Media

Agricultural History Society

AI Access Foundation

Air and Waste Management Association

Air Force Association

Air Traffic Control Association

Akadémiai Kiadó

Alan Guttmacher Institute

Alexandrine Press

American Academy of Audiology

American Academy of Family Physicians

American Academy of Neurology

American Academy of Pediatric Dentistry

American Academy of Pediatrics

American Academy of Sleep Medicine

American Accounting Association

American Animal Hospital Association

American Anthropological Association

American Association for Cancer Research

American Association for Clinical Chemistry

American Association of Colleges of Pharmacy

American Association of Immunologists  
American Association of Petroleum Geologists  
American Association of Physics Teachers  
American Association of Public Health Dentistry  
American Association of Textile Chemists and Colorists  
American Association of Veterinary Laboratory Diagnosticians  
American Association of Zoo Veterinarians  
American Astronomical Society  
American Board of Family Medicine, Inc  
American Bryological and Lichenological Society  
American Chemical Society  
American College of Chest Physicians  
American College of Clinical Pharmacology  
American College of Healthcare Executives  
American College of Nutrition  
American College of Physicians  
American College of Preventative Medicine  
American Concrete Institute  
American Dairy Science Association  
American Dental Education Association (ADEA)  
American Diabetes Association  
American Economic Association  
American Fisheries Society  
American Geophysical Union  
American Geosciences Institute  
American Heart Association  
American Historical Association  
American Institute of Aeronautics and Astronautics (AIAA)  
American Institute of Biological Sciences  
American Institute of Chemical Engineers (AIChE)  
American Institute of Mathematical Sciences  
American Institute of Physics  
American Institute of Ultrasound in Medicine  
American Journal of Dentistry  
American Journal of Health Promotion  
American Library Association  
American Marketing Association  
American Mathematical Society  
American Medical Association  
American Meteorological Society  
American Nuclear Society  
American Pharmacists Association  
American Physical Society  
American Physiological Society  
American Phytopathological Society  
American Planning Association

American Psychiatric Publishing, Inc.  
American Psychological Association  
American Public Health Association  
American Real Estate Society  
American Roentgen Ray Society  
American Society for Biochemistry and Molecular Biology  
American Society for Bone and Mineral Research  
American Society for Cell Biology  
American Society for Clinical Investigation  
American Society for Clinical Laboratory Science  
American Society for Engineering Education  
American Society for Environmental History  
American Society for Horticultural Science  
American Society for Investigative Pathology  
American Society For Microbiology  
American Society for Non-Destructive Testing  
American Society for Nutrition  
American Society for Pharmacology and Experimental Therapeutics (ASPET)  
American Society for Photogrammetry and Remote Sensing  
American Society for Testing and Materials  
American Society of Agronomy  
American Society of Andrology  
American Society of Animal Science  
American Society of Civil Engineers  
American Society of Clinical Oncology  
American Society of Health-System Pharmacists  
American Society of Heating, Refrigerating and Air-Conditioning Engineers  
American Society of Hematology  
American Society of Landscape Architects  
American Society of Limnology and Oceanography  
American Society of Mammologists  
American Society of Mechanical Engineers (ASME)  
American Society of Nephrology  
American Society of Neuroradiology  
American Society of Parasitologists  
American Society of Plant Biologists  
American Society of Transportation and Logistics  
American Society of Tropical Medicine and Hygiene  
American Sociological Association  
American Solar Energy Society  
American Speech-Language-Hearing Association  
American Statistical Association  
American Thoracic Society  
American Vacuum Society  
American Veterinary Medical Association  
American Welding Society

Ammons Scientific  
AMSUS  
Annals of Family Medicine  
Annual Reviews  
Architectural Review  
Asiatica Association  
ASM International  
Association for Asian Studies  
Association for Global Business  
Association for Politics and the Life Sciences  
Association For Research In Vision and Ophthalmology, Inc.  
Association for Symbolic Logic  
Association for the Sciences of Limnology and Oceanography  
Association of Applied Biologists  
Association of Schools of Public Health  
ASTM International  
Audio Engineering Society  
Baywood Publishing Company, Inc.  
Begell House Publishers  
Bentham Science Publishers  
Berghahn Journals, Inc.  
BioMed Central Ltd  
Biophysical Society  
BMJ Publishing Group  
Botanical Society of America  
Brill Academic Publishers  
British Institute of Non-destructive Testing  
British Institute of Radiology  
British Psychological Society  
Bulletin of Atomic Scientists  
CABI Head Office  
California Cooperative Oceanic Fisheries Investigations  
Cambridge University Press  
Canadian Aeronautics and Space Institute  
Canadian Mathematical Society  
Canadian Science Publishing (NRC Research Press)  
Cell Press  
Center for Middle Eastern Studies  
Centers for Disease Control and Prevention  
Charles Babbage Institute  
Chemical Engineering Education  
Clay Minerals Society  
Co-Action Publishing  
Coastal Education and Research Foundation  
Cognizant Communication Corporation  
Cold Spring Harbor Laboratory Press Publications

College of American Pathologists  
Company of Biologists  
Copernicus Gesellschaft mbH  
Crop Science Society of America  
CSIRO Publishing  
Duke University Press  
Dustri-Verlag Dr. Karl Feistle GmbH & Co. KG  
e-Century Publishing  
e-Content Management  
Ecological Society of America  
Econometric Society  
EDP Sciences  
Educational Publishing Foundation/American Psychological Association  
Electrochemical Society  
Electronics and Telecommunications Research Institute (ETRI)  
Elsevier  
Emerald Group Publishing Ltd.  
EMH Schweizerische Arzteverlag AG  
Endocrine Society  
Entomological Society of America  
Environment and Energy Publishing  
Equine Science Society  
Equinox Publishing  
European Geosciences Union  
European Journal of Entomology  
European Mathematical Society  
European Optical Society  
European Polymer Federation  
Expert Reviews  
Federation of American Societies for Experimental Biology  
Florida Entomological Society  
Forest Products Society  
Frontiers  
Frontiers in Bioscience  
Future Medicine Ltd  
Genetics Society of America  
Geological Society of America  
Geological Society Publishing House  
Gerontological Society of America  
Guilford Press  
Harborside Press  
Henry Stewart Publications  
HFSP Publishing  
Hindawi Publishing Corporation  
Histochemical Society  
History Today Ltd.

Hogrefe Publishing  
Human Factors and Ergonomics Society  
Human Resource Planning Society  
IASTED Secretariat  
ICR Publishers, Ltd.  
IEEE Publications  
IGI Global  
IM Publications  
Imperial College Press  
Imprint Academic  
Inderscience Publishers Editorial Office  
Indiana University Press, Office of Scholarly Publishing  
Industrial & Labor Relations Review  
Industrial Research Institute  
Informa Healthcare  
Institute for Operations Research and the Managements Sciences (INFORMS)  
Institute for Urban Design  
Institute of Development Studies  
Institute of Environmental Sciences and Technology  
Institute of Industrial Engineers  
Institute of International Relations  
Institute of Marine Engineering Science and Technology  
Institute of Materials, Minerals, and Mining  
Institute of Mathematical Statistics  
Institute of Physics  
Institution of Chemical Engineers  
Institution of Civil Engineers  
Institution of Engineering and Technology (IET)  
Institution of Mechanical Engineers  
Institution of Transportation Engineers  
Institutional Investor  
Inter-Research  
International Association for Bridge and Structural Engineering (IABSE)  
International Association for Shell and Spatial Structures  
International Association of Hydraulic Engineering and Research Secretariat  
International Association of Hydrological Sciences  
International Association of Wood Anatomists  
International Atomic Energy Agency  
International Bee Research Association  
International Glaciological Society  
International Institute of Anti-Cancer Research  
International Journal of Industrial Engineering  
International Medical Press Ltd  
International Press  
International Society of Automation  
International Union Against Tuberculosis and Lung Disease



International Union of Crystallography  
International Union of Geological Sciences  
International Union of Pure and Applied Chemistry  
IOS Press  
ISHIB  
IWA Publishing  
Japan Society of Applied Physics  
John Benjamins Publishing  
John Libbey Eurotext Ltd  
John Wiley & Sons, Inc.  
Johns Hopkins University Press  
Journal of Architectural and Planning Research  
Journal of Bone and Joint Surgery, Inc.  
Journal of Drugs in Dermatology  
Journal of General and Applied Microbiology  
Journal of Insect Science  
Journal of Medical Internet Research  
Journal of Mind and Behavior  
JTE Multimedia  
Karger Medical and Scientific Publishers  
Landes Bioscience, Inc.  
Lawrence & Wishart  
Linguistic Society of America  
Liverpool University Press  
Lynne Rienner Publishers  
M.E. Sharpe, Inc.  
Magnolia Press  
Manchester University Press  
Maney Publishing  
Marine Biological Laboratory  
Marine Technology Society  
Mark Twain Journal  
Mary Ann Liebert  
Massachusetts Medical Society  
Materials Research Society  
Mathematical Association of America  
Mathematical Sciences Publishers  
Mayo Clinic Proceedings  
MDPI  
Medical Library Association  
Metropolis  
Michigan State University Press  
Middle East Studies Association  
Mineralogical Society of America  
MIT Press  
MIT Sloan Management Review

Modern Language Association  
Multi-Science Publishing  
MultiMed Inc  
Mycological Society of America  
National Academy of Sciences  
National Bureau of Economic Research  
National Institute of Environmental Health Sciences  
National Medical Association  
National Recreation and Park Association  
Nature Publishing Group  
Neoplasia Press  
New England Journal of Medicine  
New England Water Environment Association  
New England Water Works Association  
New Leaf Associates  
New Left Review  
Northwest Scientific Association  
Now Publishers Inc.  
Ocean Side Publications Inc.  
Organisation for Economic Co-operation and Development  
Ohio State University Press  
Old City Publishing  
Omicron Delta Epsilon  
Oncology Nursing Society  
Optical Society of America  
Organization of American Historians  
Oxford University Press  
Palgrave Macmillan Ltd.  
Pan American Health Organization  
Pennsylvania State University Press  
Pensoft Publishers  
Perspectives of New Music  
Pharmacotherapy Publications Inc.  
Physical Society of Japan  
Physicians Postgraduate Press  
Pion Ltd.  
PNG Publications  
Policy Press  
Polish Academy of Sciences  
Polish Botanical Society  
Portland Press Ltd.  
Potato Association of America  
Poultry Science Association  
Praxis  
Preston Publications, Inc.  
Production and Operation Management Society

Psychonomic Society  
Public Library of Science (PLOS)  
Quadrant HealthCom Inc.  
Quintessence Publishing Co Inc  
Radiation Research Society  
Radiological Society of North America  
Rand Corporation  
Raptor Research Foundation  
Rockefeller University Press  
Rocky Mountain Mathematics Consortium  
Royal Institute of British Architects  
Royal Meteorological Society  
Royal Netherland Society of Agricultural Science  
Royal Society  
Royal Society of Chemistry  
Royal Society of Medicine Press, LTD  
Royal Statistical Society  
Sage Publications  
Scandinavian Journal of Work, Environment and Health  
Schattauer GmbH  
Science History Publications  
Scientific Journals International  
Seismological Society of America  
SLACK Incorporated  
Societa Italiana di Fisica  
Société Mathématique de France  
Society for Applied Anthropology  
Society for Applied Spectroscopy  
Society for Experimental Biology and Medicine  
Society for Experimental Mechanics  
Society for General Microbiology  
Society for Historians of the Early American Republic  
Society for Imaging Science and Technology  
Society for Industrial and Applied Mathematics (SIAM)  
Society for Mining, Metallurgy, and Exploration  
Society for Neuroscience  
Society for Personality Research Inc.  
Society for Range Management  
Society for Sedimentary Geology  
Society for the Advancement of Material and Process Engineering (SAMPE)  
Society for the Experimental Analysis of Behavior  
Society for the Historians of the Gilded Age and Progressive Era  
Society for the Study of Reproduction  
Society for Underwater Technology  
Society of American Foresters  
Society of Architectural Historians

Society of Automotive Engineers  
Society of Economic Geologists  
Society of Environmental Toxicology and Chemistry Press  
Society of Laparoscopic Surgeons  
Society of Naval Architects and Marine Engineers  
Society of Nuclear Medicine and Molecular Imaging  
Society of Petroleum Engineers  
Society of Plastics Engineers  
Society of Rheology  
Society of Tribologists and Lubrication Engineers  
Soil and Water Conservation Society  
Soil Science Society of America  
Southern Historical Association  
SPIE  
Springer Science & Business Media  
Taylor & Francis  
Technology and Culture  
TESOL Journal  
Texas Heart Institute  
The Society for In Vitro Biology  
Thieme Medical Publishing  
Thomas Land Publishers, Inc  
Thomson Reuters  
TMS: The Minerals Metals and Materials Society  
Transportation Research Board of the National Academies  
UC Hastings College of the Law  
University of California Press  
University of Chicago Press Journals Division  
University of Hawaii Press  
University of Illinois Press  
University of Minnesota Press  
University of Nebraska Press  
University of North Carolina Press  
University of Pennsylvania Press  
University of Texas Press  
University of Toronto Press  
University of Wisconsin Press  
Urban and Regional Information Systems Association  
Versita  
Walter de Gruyter GmbH & Co. KG  
Water Environment Federation  
Westburn Publishers  
Wichtig Editore SRI  
William and Mary Quarterly  
WIT Press  
Wolters Kluwer Health

World Health Organization  
World Scientific Publishing Co Pte Ltd  
Yale University Press

### **Responses Received (29)**

#### Indicating intent to cooperate with Policy (17)

American Heart Association  
American Institute of Aeronautics and Astronautics (AIAA)  
American Society for Biochemistry and Molecular Biology  
BioMed Central  
BMJ Publishing Group  
Co-Action Publishing  
Copernicus Gesellschaft GmbH  
European Journal of Entomology  
Institute of Physics  
Institution of Engineering and Technology (IET)  
International Association for Shell and Spatial Structures  
Mathematical Sciences Publishers  
Medical Library Association  
Modern Language Association  
Public Library of Science (PLOS)  
Royal Institute of British Architects  
Society for Underwater Technology

#### Indicating intent not to cooperate with Policy (10)

American Historical Association  
American Journal of Health Promotion  
American Psychiatric Publishing  
American Public Health Association  
American Society of Mammalogists  
Elsevier  
Imprint Academic  
JTE Multimedia  
Royal Society  
University of Pennsylvania Press

#### Failure to understand correspondence (2)

Physical Society of Japan  
Society for General Microbiology