#### **Resources for Inventors and Entrepreneurs**

Collaborative Workshop Series, Rhode Island Small Business Development Center February 8, 2021

**Elizabeth Dougherty** 

**Eastern Regional Outreach Director** 

**Eastern Regional Outreach Office** 



#### **Notice**

This content is for informational purposes only and is not legal advice. Please consult with appropriate sources for legal authority and guidance on these matters.

# The USPTO is America's innovation agency

#### **Committed to:**

- Fostering innovation and economic growth
- Creating a reliable, predictable, and high-quality IP system



uspto

# Historical foundation of intellectual property

- Intellectual property (IP) is deeply rooted in our nation's history.
  - U.S. constitution Article 1, Section 8, Clause 8: "The Congress shall have Power ... to promote the Progress of Science and useful Arts, by securing for limited Times to Authors and Inventors the exclusive Right to their respective Writings and Discoveries ... "





## What is a patent?

#### The right to **exclude others** from:

- making, using, selling, offering for sale, or importing the claimed invention
- Limited term
- Territorial: A U.S. patent provides protection only in the United States
  - No worldwide patents



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What is a trademark?

#### Key Purposes:

- Allow consumers to identify the source or producer of different products and services – helps their buying decisions
- Encourage trademark owners
   to provide goods and services
   of consistent quality and to
   build goodwill in the trademark

What is a copyright?

- Protects "original works of authorship" including literary, dramatic, musical, artistic and other works fixed in a tangible medium
- Library of Congress administers registration; USPTO advises the Executive branch on intellectual property issues including copyright
- © symbol can be used without registration



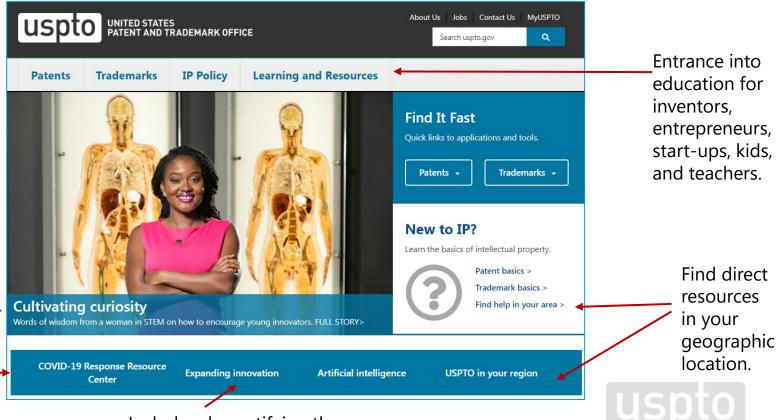
What is a trade secret?

- Any information that derives economic value from not being generally known or ascertainable
- Can be formulas, patterns, compilations, programs, devices, methods, techniques or processes
- Protection stems from common law dating to the 1800's
- All states have some sort of trade secret protection
- Most laws based on the Uniform Trade Secrets Act



#### **USPTO** resources

## www.uspto.gov



Easy access to COVID-19 relief and support

Inspiring stories

and innovation.

of invention

Includes demystifying the patent system toolkit.

## **Expanding Innovation Hub**



"To maintain our technological leadership, the United States must seek to broaden our intellectual property ecosystem demographically, geographically, and economically." — USPTO Director Andrei Iancu



# Demystifying the patent system

Explore our educational tools for understanding the patent system and leveraging intellectual property

> View toolkit



# Mentoring programs

Discover how to make crucial connections for the next generation of innovators in your organization

> Create a mentoring program



## Community groups

Learn about community groups and find out how to start and maintain one within your organization

> Community group resources



## Find help in your area



#### **USPTO** offices:

#### **Headquarters:**

- Alexandria, VA

#### **Regional offices:**

- Detroit
- Denver
- Silicon Valley
- Dallas

#### **Additional resources:**

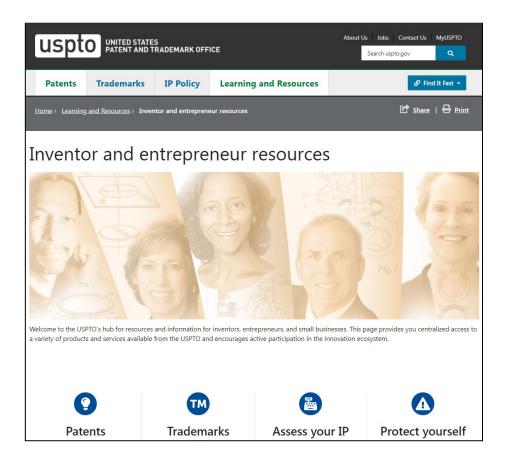
- Inventors Assistance Center
- Patent Pro Bono Program
- Law school clinics
- Patent and Trademark
   Resource Centers

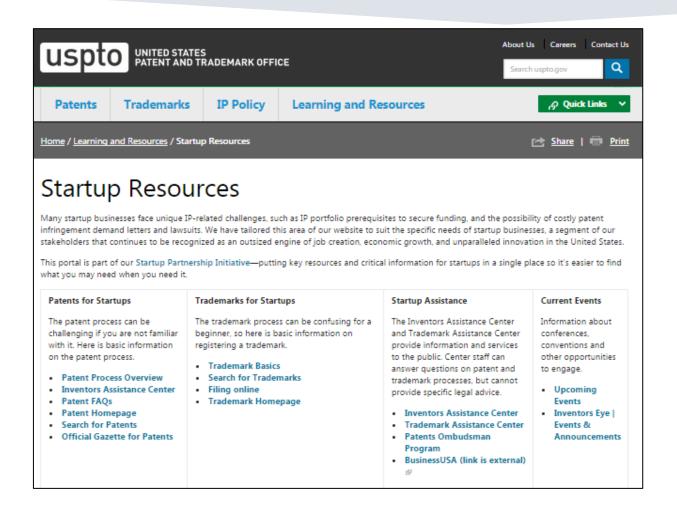
uspto.gov

# Finding help in your area



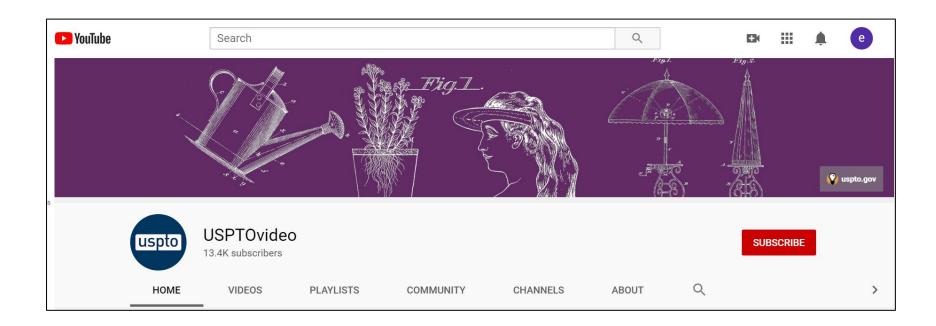
#### Inventor and entrepreneur resources





www.uspto.gov/startups

# **USPTOvideo Library**



## **USPTO Subscription Center**

#### 12 available subscriptions

- Patent Alerts
- Trademark Alerts
- Copyright Alerts
- Patent Trial and Appeal Board
- USPTO Regional Office Updates
- USPTO Press Releases
- USPTO Director's Forum Blog
- USPTO Monthly Review
- FYI at the USPTO
- Inventors Eye
- Intellectual Property for K-12 Educators
- USPTO Awards

#### Patent Alert



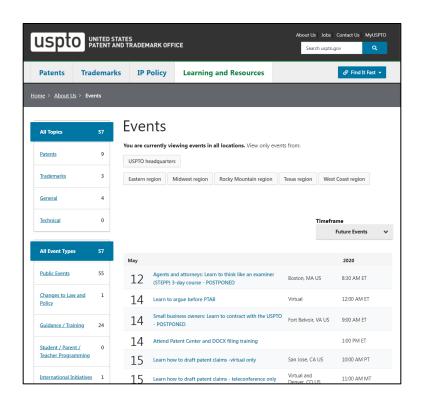
USPTO announces COVID-19 Prioritized Examination Pilot Program for small and micro entities

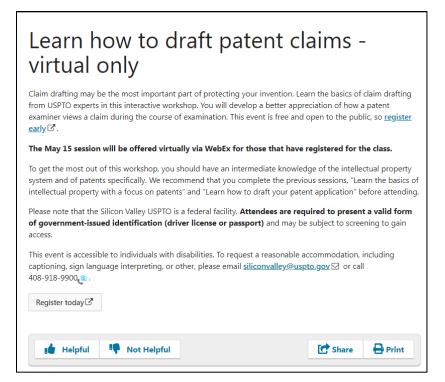
The United States Patent and Trademark Office (USPTO) today announced a new COVID-19 Prioritized Examination Pilot Program.

Under this new pilot program, the USPTO will grant requests for prioritized examination to applicants that qualify for small or micro entity status without payment of the typical fees associated with other prioritized examination. In addition, the USPTO will endeavor to reach final disposition of applications in this program within six months if applicants respond promptly to communications from the USPTO.

Read the full press release on the USPTO website.

## **USPTO Events Webpage**





### **Inventors Assistance Center (IAC)**

The Inventors Assistance Center (IAC) provides patent information and services to the public. The IAC is staffed by former supervisory patent examiners and experienced former primary examiners who answer general questions concerning patent examining policy and procedure.

Monday – Friday, 8:30 a.m. – 8 p.m. ET, except federal holidays

- 800-PTO-9199 (800-786-9199)
- 571-272-1000

TTY customers can dial 800-877-8339 for customer assistance



## **Trademark Assistance Center (TAC)**

- Provides general information about the registration process
- Responds to status inquiries
- Hours of Operation
  - 8:30 a.m. 8 p.m. (ET), Monday through Friday
- Phone
  - (571) 272-9250 or (800) 786-9199
- Email
  - TrademarkAssistanceCenter@uspto.gov
- Webpage: <u>www.uspto.gov/TrademarkAssistance</u>



## Pro Se Assistance Program

#### Hours of Operation

- 8:30 a.m. 5 p.m. (ET),Monday through Friday
- Email
  - innovationdevelopment@uspto.gov
- Phone
  - **-** (866) 757-3848
  - Webpage <u>www.uspto.gov/ProSePatents</u>



## **USPTO Patent Pro Bono Program**

A nationwide network that assists financially under-resourced independent inventors and small businesses

 Program participants must have income 300% below federal poverty guidelines



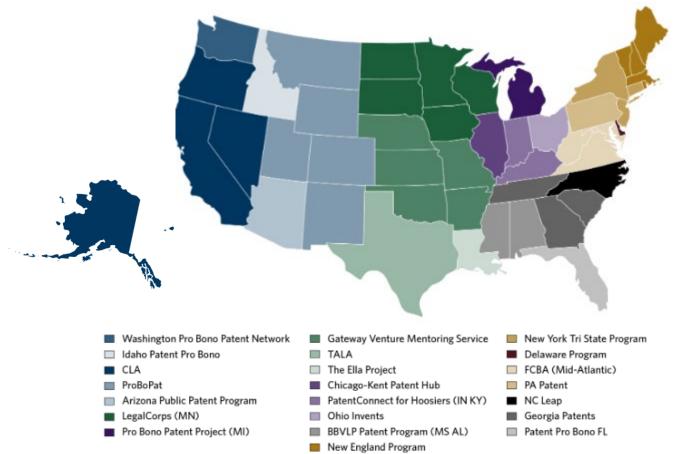




For more information, visit <a href="https://www.uspto.gov/probonopatents">www.uspto.gov/probonopatents</a>.



# **Pro Bono Program organizations**





#### Law school clinics

The USPTO's Law School Clinic Certification Program allows law students enrolled in a participating law school's clinic program to practice before the USPTO under the guidance of a law school faculty clinic supervisor.



For more information, visit <a href="https://www.uspto.gov/lawschoolclinic">www.uspto.gov/lawschoolclinic</a>.



# Patent and Trademark Resource Centers (PTRC)

Nationwide network of public, state, and academic libraries designated by the USPTO to disseminate patent and trademark information and support the intellectual property needs of the public

For more information, visit www.uspto.gov/ptrc.



## Reduced patent fees

#### **Small Entity**

- 50% reduction in most patent fees
- Must be an individual or
- A small business (less than 500 employees) or a non-profit organization

#### **Micro-Entity**

- 75% reduction in most patent fees
- Meet small entity requirement
- Filed no more than 4 previous applications
- Income not greater than 3x median income
- Not assigned to other than a micro-entity
- Inventions assigned to employer don't count against you

For more information on Micro Entity: <a href="https://www.uspto.gov/MicroEntity">www.uspto.gov/MicroEntity</a>

#### **Need patent protection fast?**



Need to FAST track your patent? Use Track One! Move your ideas quickly with USPTO's Track One

www.uspto.gov/patent/initiatives/usptos-prioritized-patent-examination-program



#### Other USPTO resources

Helpline: 1-800-PTO-9199

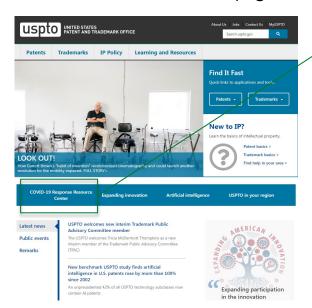
Resource	Website
Utility patent application guide	www.uspto.gov/patents/resources/types/utility.jsp
Patent process	www.uspto.gov/patents/process
Patent search guide	www.uspto.gov/patents/process/search
Inventor and entrepreneur resources	www.uspto.gov/inventors
Pro se assistance	www.uspto.gov/ProSePatents
Micro entity Information	www.uspto.gov/PatentMicroentity

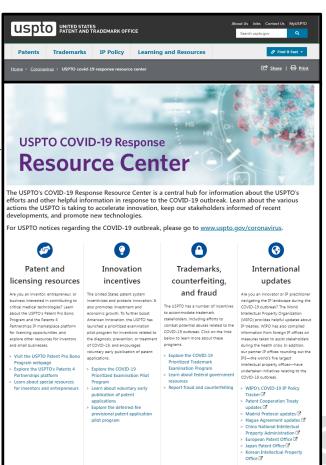


# **COVID-19 Response Resource Center**

The United States Patent and Trademark Office (USPTO) has posted all COVID-19 resources and updates on a page dedicated solely to COVID-19.

The COVID-19 Response Resource Center webpage is accessible via the USPTO homepage.





- The COVID-19 Response Resource Center is a central hub for information about the USPTO's efforts to accelerate innovation, keep our stakeholders informed of recent developments, and promote new technologies.
- The webpage details:
  - Patent and licensing resources
  - Innovation incentives
  - Trademark counterfeiting and consumer fraud
  - International updates
  - Contact information for the USPTO



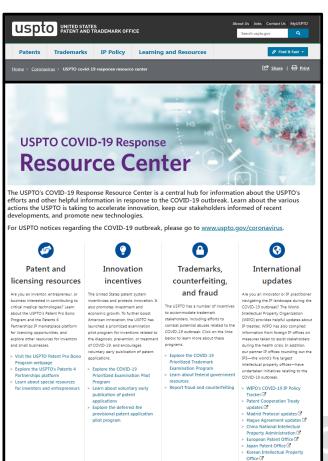


#### Patent and licensing resources:

- The USPTO's Patent Pro Bono Program
- Patents 4 Partnerships platform
- Other special resources for inventors and entrepreneurs







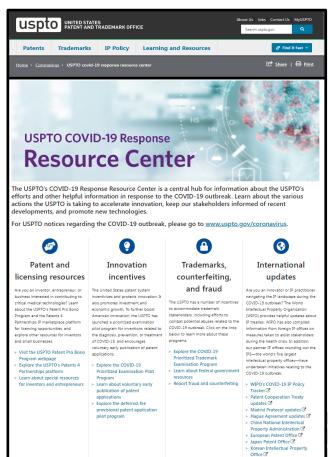


#### Innovation incentives:

- COVID-19 Prioritized Examination Pilot Program
- Voluntary early publication of patent applications
- Deferred-Fee Provisional Patent Application Pilot Program



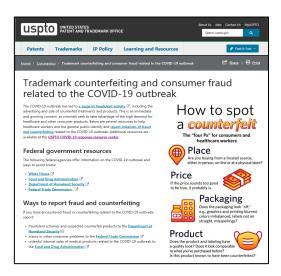






#### Trademarks, counterfeiting, and fraud:

- COVID-19 Prioritized Trademark Examination Program
- Federal government resources
- How to report fraud and counterfeiting



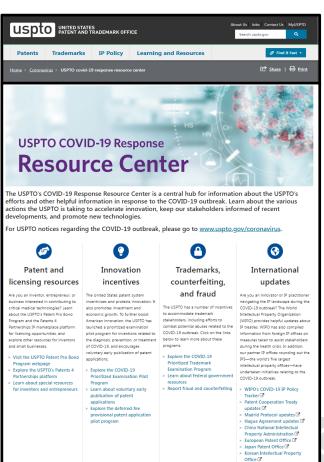




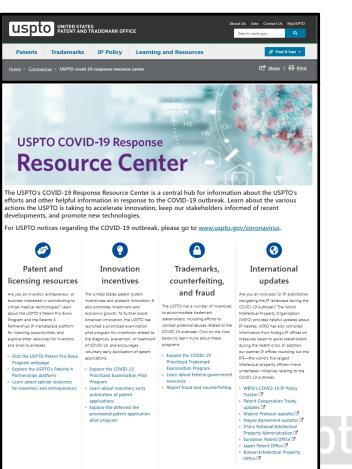
#### International updates:

- The World Intellectual Property Organization's COVID-19 IP Policy Tracker
- Patent Cooperation Treaty updates
- Madrid Protocol updates
- Hague Agreement updates
- Links to other patent offices



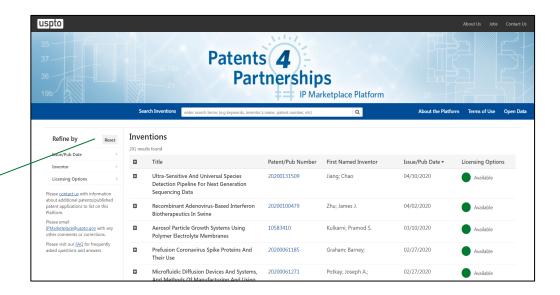


We encourage our stakeholders to send any recommendations or suggestions for us to consider as we assist the intellectual property community to <a href="mailto:COVIDcomments@uspto.gov">COVIDcomments@uspto.gov</a>.



A searchable repository of patents and published patent applications related to the COVID-19 pandemic that are indicated as available for licensing.







- Currently, listings are limited to those technologies that the owner believes are reasonably related to the prevention, treatment, diagnosis, protection from, or alleviation of the symptoms of coronaviruses in general, and COVID-19, in particular.
- You can search and sort the information by keyword, inventor name, assignee, and issue date.
- Content has been drawn from:
  - USPTO
  - Federal Laboratory Consortium for Technology Transfer (FLC Business)
  - AUTM Innovation Marketplace (AIM)
  - Universities
  - National Institutes of Health
  - Department of Energy

- National Aeronautics and Space Administration
- Food and Drug Administration
- Centers for Disease Control and Prevention
- Department of Defense
- Department of Veterans Affairs databases



You can list additional technology on the platform by emailing the completed form to <a href="mailto:IPMarketplace@uspto.gov">IPMarketplace@uspto.gov</a>.





# COVID-19 Prioritized Examination Pilot Programs



For COVID-19 related patent applications:

- The USPTO will grant requests for prioritized examination to patent applicants that qualify for small or micro entity status without payment of the typical fees associated with other prioritized examinations.
- The aim is to provide final disposition of applications in this program within six months if applicants respond promptly to communications from the USPTO.

## 28932

## Federal Register/Vol. 85, No. 94/Thursday, May 14, 2020/Notices

## Notification Regarding Administrative Protective Orders

This notice serves as the only reminder to parties subject to administrative protective order (APO) of their responsibility concerning the disposition of proprietary information disclosed under APO in accordance with 19 CFR 351.305(a)(3). Timely written notification of the return or destruction of APO materials or conversion to judicial protective order is hereby requested. Failure to comply with the regulations and the terms of an APO is a sanctionable violation. Note that Commerce has temporarily modified certain of its requirements for serving documents containing business proprietary information, until May 19, 2020, unless extended.11

This notice is published in accordance with sections 751(a)(1) and 777(i)(1) of the Act, and 19 CFR 351.213(d)(4).

### Dated: May 8, 2020. James Maeder,

Deputy Assistant Secretary for Antidumping and Countervailing Duty Operations. [FR Doc. 2020–10350 Filed 5–13–20; 8:45 am] BILLING CODE 3510–DS-P

### DEPARTMENT OF COMMERCE

## National Institute of Standards and Technology

### Open Meeting of the Information Security and Privacy Advisory Board

**AGENCY:** National Institute of Standards and Technology, Department of Commerce.

**ACTION:** Notice of open meeting.

SUMMAPY: The Information Security and Privacy Advisory Board (ISPAB) will meet Wednesday, June 24, 2020 from 9:00 a.m. until 5:00 p.m., Eastern Time, and Thursday, June 25, 2020 from 9:00 a.m. until 4:30 p.m., Eastern Time. All sessions will be open to the public.

DATES: The meeting will be held on Wednesday, June 24, 2020, from 9:00

Standards and Technology, Telephone: (301) 975–2489, Email address: jeffrey.brewer@nist.gov.

SUPPLEMENTARY INFORMATION: Pursuant to the Federal Advisory Committee Act. as amended, 5 U.S.C. App., notice is hereby given that the ISPAB will hold an open meeting Wednesday, June 24, 2020 from 9:00 a.m. until 5:00 p.m., Eastern Time, and Thursday, June 25, 2020 from 9:00 a.m. until 4:30 p.m. Eastern Time. All sessions will be open to the public. The ISPAB is authorized by 15 U.S.C. 278g-4, as amended, and advises the National Institute of Standards and Technology (NIST), the Secretary of Homeland Security, and the Director of the Office of Management and Budget (OMB) on information security and privacy issues pertaining to Federal government information systems, including through review of proposed standards and guidelines developed by NIST. Details regarding the ISPAB's activities are available at https://csrc.nist.gov/projects/ispab.

The agenda is expected to include the following items:

- Discussion of the United States
   Methods of Product Testing and
   Standards Conformance,
   Presentation from the United States
   Government Testing Programs,
- Government Testing Programs,

  —Discussion of International Testing
  requirements and conformance
  regimes,
- Discussion of Executive Order
   13905—Strengthening National
   Resilience Through Use of
   Positioning, Navigation, and Timing
   (PNT) Services,
- Discussion on telework cybersecurity and privacy, and potential lessons learned

Note that agenda items may change without notice. The final agenda will be posted on the ISPAB event page at: https://cms.csrc.nist.gov/Events/2020/ ispab-june-meeting.

Public Participation: Written questions or comments from the public are invited and may be submitted electronically by email to Jeff Brewer at statements, those who had wished to submit a question or comment but could not be accommodated on the agenda, and those who were unable to attend the meeting via webinar are invited to submit written statements. In addition, written statements are invited and may be submitted to the ISPAB at any time. All written statements should be directed to the ISPAB Sectariat, Information Technology Laboratory by email to: jeffrey.brewer@nist.gov.

Admittance Instructions: All participants will be attending via webinar and must register on ISPAB's event page at: https://cms.csrc.nist.gov/Events/2020/ispab-june-meeting by 5 p.m. Eastern Time, June 222, 2020.

### Kevin A. Kimball. Chief of Staff.

[FR Doc. 2020–10375 Filed 5–13–20; 8:45 am]

### DEPARTMENT OF COMMERCE

## **Patent and Trademark Office**

## [Docket No.: PTO-P-2020-0026]

### COVID-19 Prioritized Examination Pilot Program

**AGENCY:** United States Patent and Trademark Office, Department of Commerce.

### ACTION: Notice.

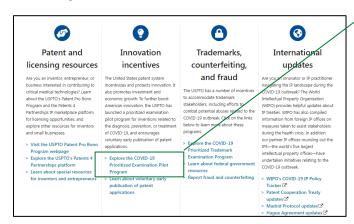
SUMMARY: The United States Patent and Trademark Office (USPTO or Office) is implementing a pilot program to provide prioritized examination of certain patent applications. To qualify, the claim(s) of an application must cover a product or process related to COVID-19, and such product or process must be subject to an applicable FDA approval for COVID-19 to COVID-19.

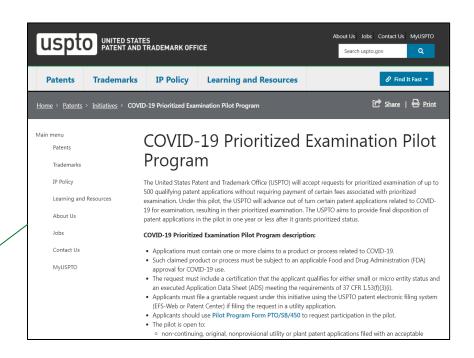
Under this pilot program, the USPTO will grant qualified requests for prioritized examination without payment of certain fees associated with prioritized examination for applicants

Accessible via the COVID-19 Response Resource Center, available at https://www.uspto.gov/coronavirus/uspto-covid-19-response-resource-center



- The USPTO launched a webpage that provides additional resources and information.
- The webpage details:
  - Criteria of the pilot program
  - How to request participation
  - Announcements and public comments
  - Questions and answers



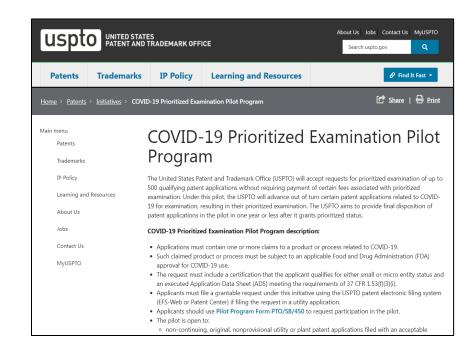






## Criteria of the pilot program:

- There are no fees required to participate in the program.
- Applications must contain one or more claims related to COVID-19 and must be subject to an applicable Food and Drug Administration approval for COVID-19 use.
- Applicants must certify that they qualify for either small or micro entity status.
- Applicants should file the <u>Pilot Program</u> <u>Form PTO/SB/450</u> using the USPTO patent electronic filing system, <u>EFS-Web</u>.

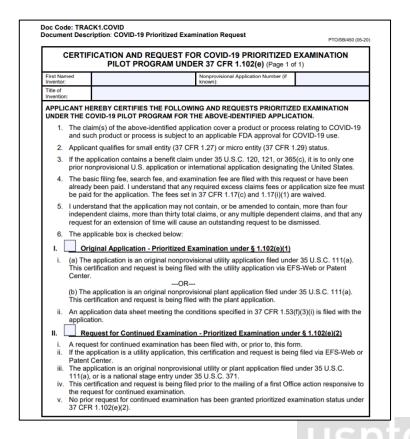






How to request participation:

- Fill out <u>Pilot Program Form PTO/SB/450</u>.
- Save the form and upload it via the patent electronic filing system <u>EFS-Web</u>.
  - When uploading your form, select document description "COVID-19 Prioritized Examination Request" (found under both the "Track I Prioritized Examination" and "Pilot Programs" categories) on the EFS-Web Attach Documents screen to ensure processing.



The webpage also details announcements and public comments:

- Link to the Federal Register Notice (85 Fed. Reg. 28,932)
- The USPTO's announcements regarding the pilot program
- Up-to-date information on how many applications have been granted prioritized examination under this program
- Public comments in response to the Federal Register Notice

## **Questions and Answers**

## Expand all | Collapse all

When does the pilot go into effect?

The pilot is effective upon the publication of the notice in the Federal Register. Note, the initial published notice erroneously stated that the effective date was July 13. The effective date is May 14.

▼ How long will the pilot last?

The USPTO will accept requests for the prioritized examination pilot program until such time as the USPTO has accepted 500 requests. The USPTO may extend the pilot program (with or without modifications) or terminate it depending on the workload and resources needed to administer the program, feedback from the public, and the effectiveness of the program. If the pilot program is extended or terminated, the USPTO will notify the public.

▼ What does "subject to an applicable FDA approval for COVID-19 use" mean?

U.S. FDA approvals may include, but are not limited to, an Investigational New Drug (IND) application, an Investigational Device Exemption (IDE), a New Drug Application (NDA), a Biologics License Application (BLA), a Premarket Approval (PMA), or an Emergency Use Authorization (EUA). Information on these items are available at www.fda.gov.[3]

v Is an invention that is an apparatus or composition of matter that is related to COVID-19 and subject to an applicable FDA approval eligible for the pilot program?

Yes. To qualify, the claim(s) of an application must cover a product or process related to COVID-19, and such product or process must be subject to an applicable FDA approval for COVID-19 use. The phrase "product or process" includes any process, machine, manufacture, or composition of matter as set forth in 35 U.S.C. 101.

• Can a large entity (i.e., an applicant that does not qualify for small or micro entity status) file a request for the pilot program?

No. The pilot program is only for applicants that qualify for either small entity (37 CFR 1.27) or micro entity (37 CFR 1.29) status. An applicant that does not qualify as either a small or a micro entity applicant may request prioritized examination under 37 CFR 1.102(e).

➤ Why does the pilot program include RCEs when COVID-19 is a new virus?

Some inventions that are useful in treating COVID-19 patients were invented prior to the known cases of



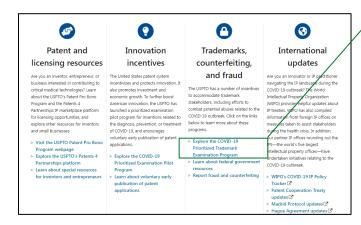


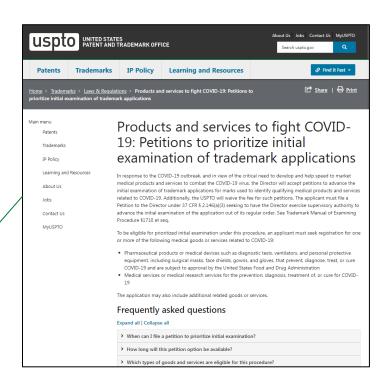
For trademark applications related to COVID-19:

- The USPTO will accept petitions to advance the initial examination of applications for marks used to identify qualifying COVID-19 medical-related products and services and waive the petition fee.
- The goal is to expedite the initial examination process by approximately two months if the petition is granted and applicants promptly respond to the examining attorney.



- The USPTO launched a webpage that provides additional resources and information.
- The webpage details:
  - Criteria of the program
  - How to request participation
  - Frequently asked questions



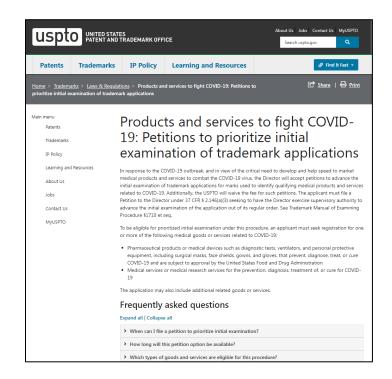






## Criteria of the program:

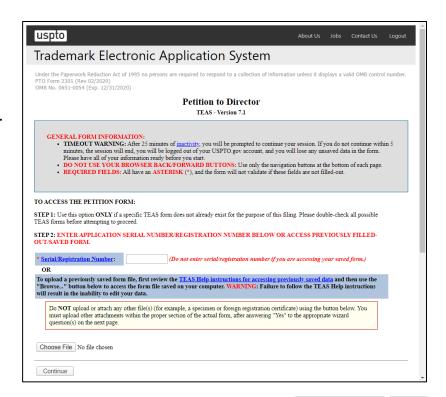
- There are no fees required to participate in the program.
- Applicants must first file the application and then file a Petition to the Director that includes the assigned serial number.
- The application must be for COVID-19 medical-related goods or services subject to FDA approval.





## How to request participation:

- After filing the application, applicants must file a Petition to the Director using the USPTO <u>Trademark Electronic Application System</u> (TEAS).
- The petition must provide:
  - a statement of facts, supported by an affidavit or declaration setting forth the applicant's COVID-19 medical-related goods or services; and
  - an **explanation** of why the goods or services are of a type that qualifies for prioritized examination, including the section of the Code of Federal Regulations under which the goods or services are regulated.





- The USPTO will monitor the workload and resources needed to administer the program, feedback from the public, and the effectiveness of the program.
- Questions:
  - Members of the public may submit comments or questions regarding the program to <u>TMPolicy@uspto.gov</u>.
  - Questions regarding how to access or submit the TEAS Petition to Director form should be addressed to <u>TEAS@uspto.gov</u>.
  - To reach the Trademark Assistance Center, call 1-800-786-9199 or email <u>TrademarkAssistanceCenter@uspto.gov</u>.



For COVID-19 related provisional patent applications:

- The USPTO will permit applicants to defer payment of the provisional filing fee until the filing of a non-provisional if the applicant agrees to publication of the provisional on the Office's searchable collaboration database.
- The goal is to provide a costeffective means for inventors to disclose ideas quickly to facilitate collaborations, partnerships, or joint ventures.



Federal Register/Vol. 85, No. 181/Thursday, September 17, 2020/Notices

policies set forth in Section 2 of the ESA. Authority: The requested permits

have been issued under the MMPA of 1972, as amended (16 USC, 1361 et seq.), the regulations governing the taking and importing of marine mammals (50 CFR part 216), the ESA of 1973, as amended (16 USC, 1531 et seq.), and the regulations governing the taking, importing, and exporting of endangered and threatened species (50 CFR parts 222-226), as applicable.

#### Dated: September 14, 2020. Julia Marie Harrison,

Chief, Permits and Conservation Division, Office of Protected Resources, National Marine Fisheries Service.

[FR Doc. 2020–20495 Filed 9–16–20; 8:45 am] BILLING CODE 3510-22-P

### DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

#### Gulf of Mexico Fishery Management Council; Public Meeting

AGENCY: National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA),

ACTION: Notice of a public meeting.

SUMMARY: The Gulf of Mexico Fishery Management Council (Council) will hold a 1-day meeting via webinar of its Reef Fish Advisory Panel (AP). DATES: The meeting will be held on Tuesday, October 6, 2020, from 9 a.m. to 5:30 p.m., EDT.

ADDRESSES: The meeting will lake place via webinar; you may register by visiting www.gulfcouncil.org and clicking on the Advisory Panel meeting on the calendar. Council address: Gulf of Mexico Fishery Management Council, 4107 W Spruce Street, Suite 200, Tampa, FL 33607; telephone: (813) 348–1630. FOR FURTHER INFORMATION CONTACT: Ryan Rindone, Lead Fishery Biologist, Gulf of Mexico Fishery Management Council; you.nindone@gulfouncil.org.

## telephone: (813) 348–1630. SUPPLEMENTARY INFORMATION: Tuesday, October 6, 2020; 9 a.m.–5:30

Tuesday, October 6, 2020; 9 a. p.m., EDT

The meeting will begin with Introductions and Adoption of Agenda, and review of Scope of Work. The AP will review presentations, documents, Draft Reef Fish Amendment 53: Red Grouper Allocations and Annual Catch Levels and Targets, SEDAR 67: Gulf of

Mexico Vermilion Snapper Stock Assessment, SEDAR 64: Southeastern U.S. Yellowtail Snapper Stock Assessment, Gray Triggerfish Interim Analysis, and Draft Reef Pish Framework Action: Modification of the Gulf of Mexico Lane Snapper Annual Catch Limit.

The AP will review a Public Hearing Draft Amendment 36B: Modifications to Commercial Individual Fishing Quota (IFQ) Programs, receive a presentation on Testing assumptions about sex change and spatial management in the protogynous gag grouper, Mycteroperca microlepis; and, receive public comments.

—Meeting Adjourns
The meeting will be broadcast via

webinar. You may register for the
webinar by visiting www.gulfcouncil.org
and clicking on the AP meeting on the
calendar.

The Agenda is subject to change, and the latest version along with other meeting materials will be posted on www.gulfcouncil.org as they become available.

Although other non-emergency issues not on the agenda may come before this group for discussion, in accordance with the Magnuson-Stevens Fishery Conservation and Management Act, those issues may not be the subject of formal action during this meeting Actions of the Advisory Panel will be restricted to those issues specifically identified in the agenda and any issue arising after publication of this notice that require emergency action under section 305(c) of the Magnuson-Stevens Fishery Conservation and Management Act, provided the public has been notified of the Council's intent to take action to address the emergency.

Authority: 16 U.S.C. 1801 et seq. Dated: September 14, 2020.

Dated: September 14, 2020.

Tracey L. Thompson,
Acting Deputy Director, Office of Sustainable
Fisheries, National Marine Fisheries Service.
[FR Doc. 2020-20535 Filed 9-16-20: 8:45 am]
BILLING CODE 3510-22-P

## DEPARTMENT OF COMMERCE

Patent and Trademark Office [Docket No. PTO-P-2020-0036]

Deferred-Fee Provisional Patent Application Pilot Program and Collaboration Database To Encourage Inventions Related To COVID-19

AGENCY: United States Patent and Trademark Office, Department of Commerce. ACTION: Notice. applications provide a key source of free-flowing technical information among the world's brightest minds, thus promoting further innovation. The **United States Patent and Trademark** Office (USPTO or Office) recognizes that its charge to issue high-quality patents to inventors goes hand-in-hand with dissemination of this important information. Such information flow is now more important than ever in view of the urgent challenges posed by COVID-19. Therefore, the USPTO is implementing a deferred-fee provisional patent application pilot program (the program) to promote the expedited exchange of information about inventions designed to combat COVID-19. Under this program, the USPTO will permit applicants to defer payment of the provisional application filing fee until the filing of a corresponding nonprovisional application. In turn applicants must agree that the technical subject matter disclosed in their provisional applications will be made available to the public via a searchable collaboration database maintained on the USPTO's website. To qualify for the program, the subject matter disclosed in the provisional application must concern a product or process related to COVID-19, and such product or process must be subject to an applicable Food and Drug Administration (FDA) approval for COVID-19 use, whether such approval has been obtained, is pending, or will be sought prior to marketing the subject matter for COVID-

SUMMARY: Patents and published paten

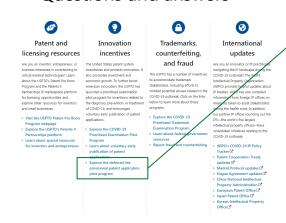
DATES: Comments must be received by November 16, 2020 to ensure consideration.

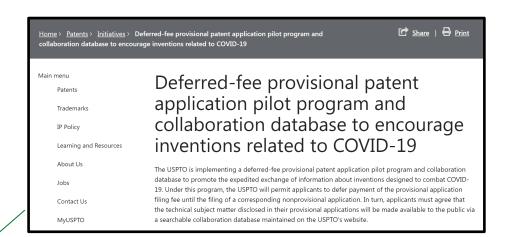
Pilot Duration: The deferred-fee provisional patent application pilot program will accept certifications and requests for participation for a period of 12 months, beginning on September 17. 2020. The USPTO may extend the pilot program (with or without modifications) or terminate it depending on the workload and resources needed to administer it, feedback from the public, and its effectiveness. Depending on feedback and public interest, the technological scope could also be expanded beyond COVID-19 to other areas that are the focus of pioneering or rapid innovation. If the pilot program is extended or terminated, the USPTO will notify the public. The USPTO may also make the program permanent via the rule-making process. ADDRESSES: Comments should be sent

ADDRESSES: Comments should be sent by email addressed to Covid19ProvisionalApplication@ uspto.gov. If submission of comments b

Accessible via the COVID-19 Response Resource Center, available at https://www.uspto.gov/coronavirus/uspto-covid-19-response-resource-center

- The USPTO launched a webpage that provides additional resources and information.
- The webpage details:
  - Criteria of the pilot program
  - How to request participation
  - Announcements
  - Ouestions and answers

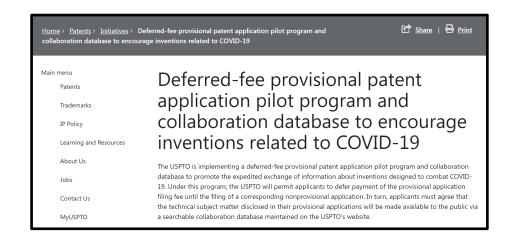






## Criteria of the pilot program:

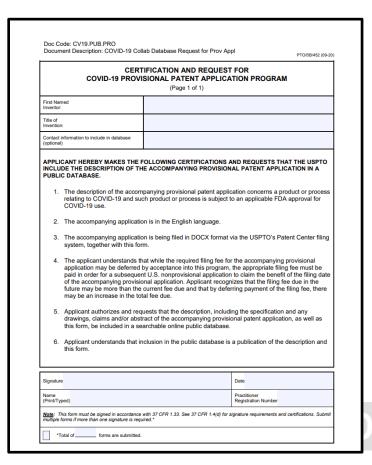
- Certification that the product or process is related to COVID-19 and subject to applicable FDA approval.
- Agree to public disclosure of the technical subject matter via the Office's searchable collaboration database.
- Applicants should file the <u>Pilot</u>
   <u>Program Form PTO/SB/452</u> using the USPTO patent electronic filing system, <u>EFS-Web</u>.





## How to request participation:

- Fill out <u>Pilot Program form PTO/SB/452.</u>
- Save the form and upload it via <u>Patent Center</u> with the provisional application including all required parts.
  - When uploading your form, select document description "COVID-19 Collab Database Request for Prov Appl" (found under "Pilot Programs" category) on the Patent Center Attach Documents screen to ensure processing.



The webpage contains additional information:

- Link to the Federal Register Notice (<u>Federal Register notice 85 Fed. Reg.</u> 58038)
- The USPTO's announcements regarding the pilot program

## **Questions and Answers**

## Expand all | Collapse all

▼ 1 When is the pilot effective?

The pilot is effective or starts on September 17, 2020, the date of publication of the notice in the Federal Register.

→ 2 How long will the pilot last?

The pilot will last for one year from September 17, 2020, unless subsequently revised by the Office.

▼ 3 May I file a request in a previously filed provisional application?

No, the request can only be made with the filing of a provisional application.

▼ 4 How do I file a request for the pilot program?

Participation is requested by filing a technical disclosure in legible DOCX format as well as a provisional application cover sheet and a certification and request to participate in the program (form PTO/SB/452, titled "Certification and Request for COVID-19 Provisional Patent Application Program," available at <a href="https://www.uspto.gov/patent/forms/forms-patent-applications-filed-or-after-september-16-2012">https://www.uspto.gov/patent/forms/forms-patent-applications-filed-or-after-september-16-2012</a>). The filing fee for a provisional application does not need to be paid at the time of filing. A submission that fails to include a legible specification in DOCX format will not be treated as a program submission, even if it is accompanied by form PTO/SB/452. The submission will be handled as a provisional application, and a notice will be sent pursuant to 37 CFR 1.53(g), including a requirement for payment of the basic filing fee ordinarily within two months of the date of the notice. See MPEP 601.01(b).



- The USPTO will monitor the workload and resources needed to administer the program, feedback from the public, and the effectiveness of the program.
- Questions:
  - Members of the public may submit comments or questions regarding the program to <u>COVID19ProvisionalApplication@uspto.gov</u>
  - Requests for assistance with electronic filing should be directed to the Patent Electronic Business Center at <u>EBC@uspto.gov</u>.



# Stakeholder support



# Remote interviews and hearings

Interviews, oral hearings, and in-person meetings have all been conducted remotely by video or telephone since March 13:

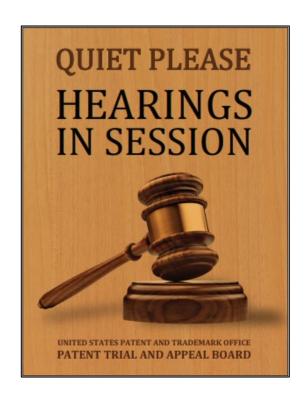
- For interviews, applicants should contact the patent examiner or managing attorney directly.
- For Patent Trial and Appeal Board (PTAB) oral hearings, practitioners should contact <u>PTABHearings@uspto.gov</u>.
  - The PTAB has also given a Boardside Chat Webinar discussing everything practitioners need to know about appearing before the PTAB.
- For Trademark Trial and Appeal Board (TTAB) oral hearings, practitioners should contact <u>TTABHearings@uspto.gov</u>.





# Remote hearings generally

- The PTAB addressed the logistics for virtual proceedings and public remote viewing of hearings on May 1, 2020 in their <u>Boardside Chat Webinar</u>.
- Oral hearings for appeals are by telephone.
- Oral hearings for AIA trials are by video or telephone.
- Parties will receive a Hearing Order with relevant instructions.
  - Appeals: a few days before oral hearing date
  - AIA trials: approximately three weeks before oral hearing date
- You can email questions or special requests to <u>PTABHearings@uspto.gov</u>.
- You can request public access to hearings at least three business days prior to the oral hearing date.





# International unity

- A joint statement from the USPTO and the EPO about standing united in supporting the public during this crucial time was published on April 30, 2020.
- A joint statement from the USPTO and the JPO about standing united for the future of innovation was published on June 1, 2020.



The coronavirus outbreak has cha staff of the European Patent Offi Office (USPTO) stand in solidarit

Beyond the instances of personal impact on the global economy. Ev nations in various degrees of lock

In these challenging times, it is pioneering research who will play societies. In both the US and Eun property rights (IPR) generate indirectly responsible for around exports, amounting to more than

Among these IPR-intensive indus and universities that are research that could put an end to the coro people and as a society fighting need of medical care. Indeed, su of human development, and will





1 June 2020

Joint Message from the USPTO and the JPO: For the Future of Innovation

The United States Patent and Trademark Office (USPTO) and the Japan Patent Office (JPO) would like to express our most heartfelt sympathies to the victims of the novel coronavirus (COVID-19) and their families. We sincerely hope that everyone affected recovers soon and that the spread of COVID-19 infection will end as soon as possible.

This unprecedented crisis has had a significant negative impact on the global economy. Amidst this challenging situation, we are once again reminded of the importance of innovations that support our society.

As evidenced by the daily reports around the world, there is no doubt that innovation is a powerful weapon against COVID-19. On countless occasions, humanity has overcome crises throughout history by the development and promotion of innovation.

For example, the fact that working at home through telework and various online services has become more common worldwide, shows that innovations in the field of Information Technology (IT) have become a major foundation of our economy and daily lives. Likewise, medical technologies used to fight the pandemic have been created due to inventions throughout time. This type of development has been made possible by robust intellectual property systems that incentivize and protect

In this regard, the USPTO and the JPO would like to pay our deep respects to all the inventors around the world who have served as a driving force to overcome

# **Waivers**

The USPTO considers the effects of the COVID-19 outbreak to be an "extraordinary situation" within the meaning of 37 CFR § 1.183. As a result, the USPTO has:

- Waived the original handwritten signature requirement of 37 CFR § 1.4(e)(1) and (2)
  - eSignature = typed name between two forward slashes (e.g., /Dr. James T. Jones, Jr./)
- Allowed the filing of plant patent applications and correspondence via the patent electronic filing system, <u>EFS-Web or Patent Center</u>

Relief Available to Plant Patent Applicants in View of the COVID-19 Outbreak: File Plant Patent Applications and Correspondence via USPTO Patent Electronic Filing Systems

The United States Patent and Trademark Office (USPTO) considers the effects of the COVID-19 outbreak to be an "extraordinary situation" within the meaning of 37 CFR 1.183. Accordingly, the USPTO will permit the filing of plant patent applications and follow-on documents via USPTO patent electronic filing systems (EFS-Web or Patent Center) in accordance with this position.

As set forth in the Legal Fri 35 U.S.C. 161) filed under: patent applications have not electronic filing systems (so online/legal-framework-efsplant patent applications an hereby waived on a tempora users of USPTO patent electapplications via USPTO patent

The requirements for filing patent electronic filing syste identification and processin requirements are as follows

- (1) The USPTO's tr must accompany (2) The document do
- (3) An indication m filing systems th plant patent appl
- (4) If fees are being (e.g., in a fee tra to charge all fees must be provided electronic filing
- (5) The document do Code DRW.NON Supplemental Co

As discussed in Section 160 08.2017), where color is a didentified in the specification dictionary or color chart, su Relief Available to Plant Patent Applicants in View of the COVID-19 Outbreak: File Plant Patent Applications and Correspondence via USPTO Patent Electronic Filing Systems

The United States Patent and Trademark Office (USFTO) considers the effects of the COVID-19 outbreak to be an "extraordinary situation" within the meaning of 37 CFR 1.183. Accordingly, the USFTO will permit the filing of plant patent applications and follow-on documents via USFTO patent electronic filing systems (EFS-Web or Patent Center) in accordance with this notice.

As set forth in the Legal Framework for Patent Electronic System, plant patent applications (see \$5 U.S.C. 16) filed under \$3 U.S.C. 11(a) and follow-on documents associated with plant patent applications have not been permitted to be filed with the USPTO via USPTO patent electronic filing systems (see <a href="https://www.uspto.gov/patents-application-process/filing-online/legal-framework-efs-web).">https://www.uspto.gov/patents-application-process/filing-online/legal-framework-efs-web).</a> Due to the COVID-19 outbreak, any prohibition against filing plant patent applications and follow-on documents via USPTO patent electronic filing systems is hereby waived on a temporary basis until further notice. Filers are reminded that only registered users of USPTO patent electronic filing systems may file follow-on documents into existing applications via USPTO patent electronic filing systems.

The requirements for filing such plant patent applications and follow-on documents via USPTO patent electronic filing systems were developed to assist filers and facilitate the USPTO's identification and processing of the plant patent applications and follow-on documents. The requirements are as follows:

- The USPTO's transmittal form, PTO/AIA/19, Plant Patent Application Transmittal, must accompany the application;
- (2) The document description "Transmittal of New Application" (Doc Code TRNA) must be selected for PTO/AIA/19 in USPTO patent electronic filing systems;
- (3) An indication must be made on the appropriate screen in USPTO patent electronic filing systems that a utility patent application is being filed (there is no option for a plant patent application);
- (4) If fees are being paid, an authorization to charge all fees to a USPTO deposit account (e.g., in a fee transmittal form such as USPTO form PTO/SB/17) or an authorization to charge all fees to a credit card using the Credit Card Payment Form (PTO-2038) must be provided. This Credit Card Payment Form can be filed via USPTO patent electronic filing systems or facsimile; and
- (5) The document description "Drawings-other than black and white line drawings" (Doc Code DRW.NONBW) must be used for the drawings so that they will load into the Supplemental Complex Repository for Examiners (SCORE).

As discussed in Section 1605 of the Manual of Patent Examining Procedure (MPEP, e9 r-08.2017), where color is a distinctive feature of the plant, the color should be positively identified in the specification by reference to a designated color as given by a recognized color dictionary or color chart, such as the Horticultural Colour Chart of the Royal Horticultural

Accessible via the COVID-19 Response Resource Center, available at https://www.uspto.gov/coronavirus/uspto-covid-19-response-resource-center

# **Waivers**

The USPTO considers the effects of the COVID-19 outbreak to be an "extraordinary situation" within the meaning of 37 CFR § 1.183. As a result, the USPTO has:

- Permitted patentees to file initial patent term extension applications that meet certain criteria via EFS-Web or Patent Center
- Extended the time period for petitioning for certain rights of priority or benefit and waived the associated petition fee

### Relief Available to Patentees in View of the COVID-19 Outbreak for Submission of Initial Patent Term Extension Applications Filed Pursuant to 35 U.S.C. 156

The United States Patent and Trademark Office (USPTO) considers the effects of the COVID-19 outbreak to be an "extraordinary situation" within the meaning of 37 CFR 1.183. In accordance with this notice, the USPTO will permit the filing of initial patent term extension applications pursuant to 35 U.S.C. 156 via the USPTO patent electronic filing systems (EFS-Web or Patent Center).

According to the "Legal Framework for Patent Electronic System"

(https://www.uspto.gov/patentscertain documents are prohibite systems. See section B2 of the " of documents. Item number 4 or 35 U.S.C. 156." Additionally, M section B2] is submitted via EFS will not be considered officially certificate of transmission under

Due to the COVID-19 outbreak, applications in accordance with filing systems is hereby waived filing system filings only, the readditional copies of the initial en

The following must be provided extension application filed via t

- 1. An appropriate docur
- a. "Patent Term TERM.REQ" 156(d)(1) (go
- b. "PTE Interim selected for a CFR 1.790);
- An email address in representative; and
- 3. The applicable fee:
  - a. the fee set for patent term e

## Notice of the United States Patent and Trademark Office's COVID-19 Outbreak Relief Relating to Restoring the Right of Priority or Benefit to Patent Applicants

On March 13, 2020, the President declared a national emergency under the National Emergencies Act as result of the COVID-19 outbreak. Subsequently, the Director of the United States Patent and Trademark Office (USPTO) determined that the emergency prejudiced the rights of applicants, patent owners, or others appearing before the USPTO in patent matters and may have prevented them from filing documents or fees with the Office. The Director also determined that the effects of the COVID-19 outbreak have created an extraordinary situation for affected patent applicants and patenteses. Therefore, pursuant to subsection 12004(a) of Coronavirus Aid, Relief, and Economic Security Act (CARES Act) and 37 CFR § 1.183, the USPTO is extending the time period for petitioning for certain rights of priority or benefit and waiving the associated petition fee.

## Restoration of the Right of Priority to or Benefit of a Prior-Filed Application

Ordinarily, if an applicant seeks to claim priority to or benefit of a prior-filed foreign or provisional application, the application seeking priority or benefit must be filed within 12 months (or 6 months in the case of a design application claiming foreign priority) of the prior-filed application. After this period expires, the patent laws permit an applicant two more months to file an application seeking priority or benefit in order to be able to petition for restoration of the right to claim priority to or benefit of a prior-filed foreign or provisional application under 37 CFR § 1.55(e) or 1.78(b), if the delay in filing the application seeking priority or benefit was unintentional. See Manual of Patent Examining Procedure 211.0(a) and 213.03. The petition fee under 37 CFR § 1.75(n) is required for a petition under 37 CFR § 1.55(e) or 1.78(b).

Pursuant to subsection 12004(a) of the CARES Act and 37 CFR § 1.183, the USPTO provides the following additional relief:

## Nonprovisional Applications and 35 U.S.C. §§ 119(a) and 172

For any nonprovisional application seeking priority of a prior-filed foreign application for which the 12-month time period under 35 U.S.C. § 119(a) (or 6-month time period under 35 U.S.C. § 172) ended between, and inclusive of both, March 27, 2020, and July 30, 2020, the USPTO will

- Permit the two-month time period under 35 U.S.C. § 119(a) for restoring the right of priority to a foreign application to run until the later of:
   July 31, 2020; or
- b) the expiration of the two-month period set forth in 35 U.S.C. § 119(a); and
- Waive the petition fee in 37 CFR § 1.17(m) for a petition under 37 CFR § 1.55(c);



# Thank you!

## **Elizabeth Dougherty**

Eastern Regional Outreach Director

Elizabeth.dougherty@uspto.gov Easternregionaloutreachoffice@uspto.gov

571-270-7733

www.uspto.gov

